

Guidelines

Interventional Techniques: Evidence-based Practice Guidelines in the Management of Chronic Spinal Pain

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Background: The evidence-based practice guidelines for the management of chronic spinal pain with interventional techniques were developed to provide recommendations to clinicians in the United States.

Objective: To develop evidence-based clinical practice guidelines for interventional techniques in the diagnosis and treatment of chronic spinal pain, utilizing all types of evidence and to apply an evidence-based approach, with broad representation by specialists from academic and clinical practices.

Design: Study design consisted of formulation of essentials of guidelines and a series of potential evidence linkages representing conclusions and statements about relationships between clinical interventions and outcomes.

Methods: The elements of the guideline preparation process included literature searches, literature synthesis, systematic review, consensus evaluation, open forum presentation, and blinded peer review. Methodologic quality evaluation criteria utilized included the Agency for Healthcare Research and Quality (AHRQ) criteria, Quality Assessment of Diagnostic Accuracy Studies (QUADAS) criteria, and Cochrane review criteria. The designation of levels of evidence was from Level I (conclusive), Level II (strong), Level III (moderate), Level IV (limited), to Level V (indeterminate).

Results: Among the diagnostic interventions, the accuracy of facet joint nerve blocks is strong in the diagnosis of lumbar and cervical facet joint pain, whereas, it is moderate in the diagnosis of thoracic facet joint pain. The evidence is strong for lumbar discography, whereas, the evidence is limited for cervical and thoracic discography. The evidence for transforaminal epidural injections or selective nerve root blocks in the preoperative evaluation of patients with negative or inconclusive imaging studies is moderate. The evidence for diagnostic sacroiliac joint injections is moderate.

The evidence for therapeutic lumbar intraarticular facet injections is moderate for short-term and long-term improvement, whereas, it is limited for cervical facet joint injections. The evidence for lumbar and cervical medial branch blocks is moderate. The evidence for medial branch neurotomy is moderate.

The evidence for caudal epidural steroid injections is strong for short-term relief and moderate for long-term relief in managing chronic low back and radicular pain, and limited in managing pain of postlumbar laminectomy syndrome. The evidence for interlaminar epidural steroid injections is strong for short-term relief and limited for long-term relief in managing lumbar radiculopathy, whereas,

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for cervical radiculopathy the evidence is moderate. The evidence for transforaminal epidural steroid injections is strong for short-term and moderate for long-term improvement in managing lumbar nerve root pain, whereas, it is moderate for cervical nerve root pain and limited in managing pain secondary to lumbar post laminectomy syndrome and spinal stenosis.

The evidence for percutaneous epidural adhesiolysis is strong. For spinal endoscopic adhesiolysis, the evidence is strong for short-term relief and moderate for long-term relief.

For sacroiliac intraarticular injections, the evidence is moderate for short-term relief and limited for long-term relief. The evidence for radiofrequency neurotomy for sacroiliac joint pain is limited.

The evidence for intradiscal electrothermal therapy is moderate in managing chronic discogenic low back pain, whereas for annuloplasty the evidence is limited.

Among the various techniques utilized for percutaneous disc decompression, the evidence is moderate for short-term and limited for long-term relief for automated percutaneous lumbar discectomy, and percutaneous laser discectomy, whereas it is limited for nucleoplasty and for DeKompressor technology.

For vertebral augmentation procedures, the evidence is moderate for for both vertebroplasty and kyphoplasty.

The evidence for spinal cord stimulation in failed back surgery syndrome and complex regional pain syndrome is strong for short-term relief and moderate for long-term relief. The evidence for implantable intrathecal infusion systems is strong for short-term relief and moderate for long-term relief.

Conclusion: These guidelines include the evaluation of evidence for diagnostic and therapeutic procedures in managing chronic spinal pain and recommendations for managing spinal pain. However, these guidelines do not constitute inflexible treatment recommendations. **These guidelines also do not represent a "standard of care."**

Key words: Interventional techniques, chronic spinal pain, diagnostic blocks, therapeutic interventions, facet joint interventions, epidural injections, epidural adhesiolysis, discography, radiofrequency, disc decompression, vertebroplasty, kyphoplasty, spinal cord stimulation, intrathecal implantable systems

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1.0 INTRODUCTION

1.1 Purpose

Evidence-based clinical practice guidelines for interventional techniques in the management of chronic spinal pain are statements developed to improve quality of care, patient access, treatment outcomes, appropriateness of care, efficiency and effectiveness, and achieve cost containment by improving the cost-benefit ratio (1-4).

1.2 Rationale

Interventional pain management is an emerging specialty. Consequently, the problems faced by this specialty may be disproportionate compared to established specialties. Interventional pain management is also faced with increased utilization. The data for interventional techniques in the Medicare population shows that from 1998 to 2005, overall growth in interventional techniques has been 179%, increasing from 1,406,417 procedures in 1998 to 3,925,467 procedures in 2005 (5,6). Extrapolation of the Medicare statistics suggests that 4 times as many procedures are performed in the general U.S. population.

Available evidence documents a wide degree of variance in the definition and the practice of interventional pain management (1-6). Application of interventional techniques by multiple specialties is highly variable for even the most commonly performed procedures and treated condition(s) (6).

National Uniform Claims Committee (NUCC) (7) defined interventional pain management as the discipline of medicine devoted to the diagnosis and treatment of pain and related disorders by the application of interventional techniques in the management of subacute, chronic, persistent, and intractable pain, independently or in conjunction with other modalities of treatments.

Medicare Payment Advisory Commission (MedPAC) (8) described interventional techniques as minimally invasive procedures including percutaneous precision needle placement, with placement of drugs in targeted areas or ablation of targeted nerves; and some surgical techniques such as laser or endoscopic discectomy, intrathecal infusion pumps and spinal cord stimulators, for the diagnosis and management of chronic, persistent or intractable pain.

1.3 Importance

Many of the causes of spinal pain and other

chronic pain conditions are considered as either acute recurrent problems that are characterized by periods of quiescence punctuated by flare-ups, or chronic diseases, like diabetes or hypertension, requiring long-term treatment with ongoing care. On the basis of advances in imaging, neural anatomic findings, new discoveries in chemical mediation, the development of precision diagnostic and therapeutic injection techniques, and reported non-operative treatment successes, the importance of interventional techniques in managing chronic spinal pain has been defined.

Many guidelines, systematic reviews, Cochrane Reviews, and other articles pertaining to interventional pain management have been published (1-4,9-82). Neither cancer pain nor spine surgery guidelines may be applied to manage chronic spinal pain. The debated quality of the systematic reviews, guidelines, and policies, along with non-applicability across the populations, bias, with alleged major shortcomings, resulting in potentially harmful healthcare implications for patients in the United States have been highlighted (1-4,9-97). Consequently, the American Society of Interventional Pain Physicians (ASIPP) has developed an ongoing process of evidence synthesis and guideline preparation and appropriate updating since 1999 (1-4). The interventional techniques guidelines and opioid guidelines developed by the American Society of Interventional Pain Physicians have been listed on AHRQ/NGC website (98,99). ASIPP guidelines have been developed with vigorous quantitative and qualitative methodology with literature review and synthesis.

This is the fourth revision and update of guidelines to address the issues of systematic evaluation and ongoing care of chronic or persistent pain. Primarily, these guidelines provide information about the scientific basis of recommended procedures. The guidelines, properly applied, should increase compliance, dispel misconceptions, contribute to appropriate patient expectations, and facilitate the relationship between patients, physicians, and the payers.

1.4 Population and Preferences

The population covered by these guidelines includes all patients suffering with chronic spinal pain eligible to undergo commonly utilized and effective interventional technique(s). A treatment plan must be established taking into consideration the evidence, patient preferences, and risk-benefit ratio.

1.5 Implementation and Review

The dates for implementation and review were established:

- ◆ Effective date – February 1, 2007
- ◆ Expiration date – January 31, 2009
- ◆ Scheduled review – April 1, 2008

1.6 Application

These guidelines are intended for use by interventional pain physicians and other physicians trained in interventional pain management. However, these guidelines do not constitute inflexible treatment recommendations. It is expected that a provider will establish a plan of care on a case-by-case basis, taking into account an individual patient's medical condition, personal needs, and preferences, and the physician's experience. Based on an individual patient's needs, treatment different from that outlined here could be warranted. These guidelines do not represent a "standard of care."

The goal of these guidelines is to provide practitioners and payors information to determine whether the available evidence supports the notion of a "standard" for interventional techniques. "Standard" refers to what is applicable to the majority of patients, with a preference for patient convenience and ease of administration without compromising the treatment efficacy or morbidity (100). It is essential to recognize the difference between "standard" and "standard of care," as utilized by a legal definition.

1.7 Focus

These updated and revised guidelines focus on a range of interventions that are the essential elements of effective management of chronic spinal pain. It is recognized that management of chronic spinal pain takes place in a wide context of healthcare settings, involving multiple specialists, and multiple techniques which also include non-interventional techniques. Consequently, the decision to implement a particular management approach should be based on a comprehensive assessment of the patient's overall health status, medical requirements, and patient preferences.

1.8 Technology

These guidelines describe multiple interventional techniques available in the management of chronic spinal pain, both diagnostic and therapeutic.

The diagnostic interventional techniques include

facet joint blocks, provocative discography, sacroiliac joint blocks, and transforaminal epidural injections or selective nerve root blocks.

Therapeutic interventional techniques include facet joint interventions which encompass intraarticular injections, medial branch blocks, and medial branch neurotomy; sacroiliac joint interventions, including sacroiliac joint blocks, and radiofrequency neurotomy; epidural injections including caudal epidural injections, interlaminar epidural injections, and transforaminal epidural injections; epidural adhesiolysis including percutaneous adhesiolysis, and spinal endoscopic adhesiolysis; intradiscal therapies including intradiscal electrothermal therapy (IDET) and radiofrequency posterior annuloplasty; percutaneous disc decompression with automated percutaneous lumbar discectomy, percutaneous laser discectomy, nucleoplasty and decompression utilizing mechanical high RPM device or DeKompressor technology; vertebral augmentation techniques including vertebroplasty, and kyphoplasty; and implantable therapies, which include spinal cord stimulation and intrathecal drug administration systems.

These guidelines also describe evaluation and management services, delivery of interventional technology, and an algorithmic approach to diagnosis and management of chronic spinal pain.

1.9 Methodology

In developing these guidelines, all types of evidence are utilized. The methodology utilized was the best scientific approach available with comprehensive evidence synthesis. Further, if an evidence-based approach failed to provide adequate levels of evidence, consensus and expert opinions have been utilized. Those approaches have been described in separate publications (86,87,93,101-118). These guidelines include both patient and physician focus to understand the issues and the guidelines easily, so that appropriate care may be provided and the guidelines may be followed in overall management plan.

While an evidence-based approach may seem to enhance the scientific rigor of guideline development, recommendations may not always meet the highest scientific standards. Evidence-based medicine is defined as the conscientious, explicit, and judicious use of current best evidence in making decisions about the care of individual patients (103).

1.9.1 Practice of Evidence Based Medicine

The practice of evidence-based medicine requires the integration of individual clinical expertise with the best available external evidence from systematic research. Decisions that affect the care of patients should be made with due weight accorded to all valid, relevant information. This includes valid and relevant clinical evidence derived from randomized, controlled trials, and *all* types of evidence, patient preferences, and resources. Thus, it is emphasized that no one sort of evidence should necessarily be the determining factor in decision-making. *All* implies that there should be an active search for all that is valid, relevant information and that an assessment should be made of the accuracy of information and the applicability of the evidence to the decision in question (85,86,87,112).

1.9.2 Guidelines

Clinical practice guidelines present statements of best practice based on a thorough evaluation of the evidence from published studies on the outcomes of treatment. In these guidelines, multiple methods used for collecting and evaluating evidence are applied for a wide range of clinical interventions and disciplines to a wide range of interventional procedures, both diagnostic and therapeutic.

The guidelines are based on the practice of evidence-based medicine which is based on four basic contingencies originally defined evidence-based practice (112).

- ◆ First, the recognition of the patient's problem and the construction of a structured clinical question.
- ◆ Second, the ability to efficiently and effectively search the medical literature to retrieve the best available evidence to answer the clinical question.
- ◆ Third, critical appraisal of the evidence.
- ◆ Fourth, integration of the evidence with all aspects of individual patient decision making to determine the best clinical care of the patient.

1.9.3 Development of Guidelines

In the development of these clinical practice guidelines, multiple resources were utilized to create principles for developing guidelines. Of particular importance are the National Health and Medical Research Council criteria (113) with 9 basic principles as follows:

- ◆ Outcomes (survival rates to quality-of-life attributes)
- ◆ Best available evidence (according to its quality, relevance and strength)
- ◆ Appropriate systems to synthesize the available evidence (judgment, experience and good sense)

- ◆ Multidisciplinary process of development
- ◆ Flexibility and adaptability
- ◆ Cost-effectiveness of treatments
- ◆ Appropriate dissemination
- ◆ Evaluation of implementation and impact of guidelines
- ◆ Appropriate revision of the guidelines on a regular basis

As recommended by the National Health and Medical Research Council (113) and Shaneyfelt et al (94), the present guidelines include the following:

1. Documentation of the purpose of the guidelines
2. Description of the natural history of chronic spinal pain and treatments and various interventional techniques that are available
3. Identification of various conditions where recommendations might not apply
4. Detailed description of the probable out-comes
5. Maintenance of flexibility and comprehensive nature of the guidelines
6. Description of the support services required for each potential treatment
7. Inclusion of the information for consumers and clinicians, on all special clinical training or equipment that is needed
8. Cost-effectiveness and cost comparisons of various options
9. Reference to the type and strength of evidence on which recommendations are based
10. Documentation of certainty or uncertainty of any conclusions
11. Documentation of the economic appraisals used in formulating the guidelines
12. Acknowledgment of consensus-based recommendations whenever applied

1.9.4 Mechanism of Development of Guidelines

A policy committee, with broad representation, consisting of academic and clinical practitioners recognized as experts in one or more interventional techniques of concern and representing a variety of practices and geographic areas, were included and convened. This committee formalized the essentials of guidelines. This was followed by formulation of a series of potential evidence linkages, representing conclusions and statements about relationships between clinical interventions and outcomes. The elements of the guideline preparation process included literature searches, literature syntheses, systematic review, consensus evaluation, open forum presentations, and blinded peer review.

Descriptions of evidence synthesis and guideline preparation are described in multiple documents (84,86,87,101-118). In addition, multiple systematic, narrative, and/or best evidence synthesis reviews pertaining to interventional techniques have been considered and included. In synthesizing the evidence, systematic reviews, randomized clinical trials, observational studies, and diagnostic accuracy studies were evaluated utilizing reporting criteria and quality evaluation criteria. For a particular technique, if at least ten randomized trials were not available, nonrandomized or observational studies were also included.

1.9.5 Level of Evidence

Systems for grading the strength of a body of evidence are much less uniform and consistent than those for rating study quality. Consequently, the guideline committee designed levels of evidence from Level I through Level V, modified from various publications (Table 1) (86,87,104-108,114,116-118).

2.0 CHRONIC PAIN

2.1 Definitions

Chronic pain is a complex phenomenon. Consequently, it is difficult to define. A combination of definitions is utilized (1-4):

- ◆ Pain that persists beyond the usual course of an acute disease or a reasonable time for any injury to heal that is associated with chronic pathologic processes that cause continuous pain or pain at intervals for months or years
- ◆ Persistent pain that is not amenable to routine pain control methods
- ◆ Pain where healing may never occur

2.2 Prevalence

The prevalence of chronic pain in the adult population ranges from 2% to 40%, with a median point prevalence of 15% (119). Persistent pain has been reported with an overall prevalence of 20% of primary care patients, with approximately 48% reporting back pain (120). The literature also has consistently

Table 1. Designation of levels of evidence

Level I	Conclusive: Research-based evidence with multiple relevant and high-quality scientific studies or consistent reviews of meta-analyses.
Level II	Strong: Research-based evidence from at least 1 properly designed randomized, controlled trial; or research-based evidence from multiple properly designed studies of smaller size; or multiple low quality trials.
Level III	Moderate: a) Evidence obtained from well-designed pseudorandomized controlled trials (alternate allocation or some other method); b) evidence obtained from comparative studies with concurrent controls and allocation not randomized (cohort studies, case-controlled studies, or interrupted time series with a control group); c) evidence obtained from comparative studies with historical control, two or more single-arm studies, or interrupted time series without a parallel control group.
Level IV	Limited: Evidence from well-designed nonexperimental studies from more than 1 center or research group; or conflicting evidence with inconsistent findings in multiple trials.
Level V	Indeterminate: Opinions of respected authorities, based on clinical evidence, descriptive studies, or reports of expert committees.

described the high prevalence of chronic pain in children, adults and the elderly with associated functional disability (119-126). In an evaluation of the prevalence and determinants of pain and pain-related disability in urban and rural setting in Southeastern Ontario, 76% reported some pain over the past 6 months (127). High pain intensity with low pain interference was seen in 26% (Grade II) and high pain intensity with high pain interference was seen in 17% (Grades III and IV). Of those reporting pain, 49% reported chronic pain (i.e., pain for a minimum of 90 days over the past 6 months) which represented 37% of the sample. In children it was shown that the prevalence of any pain within the previous 6 months was 70%, while chronic pain was reported by 14%, and 7% of the children suffered with Grade III or Grade IV pain with high intensity associated with moderate to severe disability (126).

Chronic pain with the involvement of multiple regions is a common occurrence in more than 60% of patients (123,128-130).

2.3 Spinal Pain

Among chronic pain disorders, pain arising from various structures of the spine constitutes the majority of problems. The lifetime prevalence of spinal pain has been reported as 54% to 80% (1-4,119,122,125,131-137). Annual prevalence of chronic low back pain ranges from 15% to 45%, with a point prevalence of 30% (124,125,131,133). Studies of the prevalence of low back pain and neck pain (131,133) and its impact on general health showed 25% of patients reporting grade II to IV low back pain (high pain intensity with disability) vs 14% with neck pain. The studies evaluating chronic low back pain estimated the average age related prevalence of persistent low back pain in approximately 15% in children, adolescents, and adults, and 27% in the elderly (119,124-127). Historically, even though back pain research has primarily focused on younger, working adults, there is clear evidence that back pain is one of the most frequent complaints in older persons (119,124,138), and is an independent correlate of functional limitations (124,139), perceived difficulty in performing daily life activities (140), and a risk factor for future disability.

2.4 Chronicity

Conventional beliefs are that most episodes of low back pain will be short-lived, with 80% to 90% of attacks resolving in about 6 weeks irrespective of the administration or type of treatment, with only 5%

to 10% of patients developing persistent back pain. However, this concept has been questioned, as the condition tends to relapse, so that most patients will experience recurrent episodes. Modern evidence has shown that chronic persistent low back pain and neck pain in children, adults, and elderly are seen in 25%-60% of patients, one year or longer after the initial episode (141-167).

2.5 Health and Economic Impact

Spinal pain is associated with significant economic, societal, and health impact (168-200). Estimates and patterns of direct healthcare expenditures among individuals with back pain in the United States have reached \$90.7 billion for the year 1998 (184). On average, individuals with back pain incurred healthcare expenditures about 60% higher than individuals without back pain (\$3,498 versus \$2,178). In the United States, it was estimated that the cost of treatment in the first year after failed back surgery for pain was approximately \$18,883 in 1997 (193). Further, annual healthcare cost incurred by chronic pain patients, excluding cost for surgical procedures, may range from \$500 to as high as \$35,400, with the average ranging from \$12,900 to \$18,883 annually (193,194). However, the majority of these costs are associated with disability compensation, lost productivity, and lost tax revenue. Disability secondary to spinal pain is enormous (179,184,186,196-197). In the United Kingdom, low back pain was the largest single cause of absence from work in 1988 to 1989 and accounting for 12.5% of all sick days and over £11 billion in direct and indirect costs in 2000 (195).

Among the various factors contributing to costs in the United States workers functional impairment, activity limitations, reduced quality of life, disability, underemployment, reduced work productivity and direct medical costs have been described (180). Among the United States workers, back pain exacerbations and lost productive time (LPT) costs evaluation showed back pain in workers between 40 to 65 years of age costs employers an estimated \$7.4 billion per year. Workers with back pain exacerbations accounted for 71.6% of this cost. It was also estimated that back pain related LPT in workers 18 to 65 years of age costs employers \$19.8 billion per year (180). Moreover, 50% of the annual cost of the back pain-related LPT in these workers was associated with pain exacerbation (179). However, these estimates do not capture other costs associated with the work force "ripple effect,"

such as the potential hiring and training of replacement workers, impact of coworkers' productivity, and forfeiture of leisure time (179).

Frequent use of opioids in managing chronic non-cancer pain has been a major strain on US health care (99,177,178,181,199-208). With the majority of patients receiving opioids for chronic pain combined with increased production of opioids, costs of opioid use have been much higher even when patients were not abusing. Evaluation of direct costs of opioid abuse in the insured population in the United States showed prescription drug claims for opioids of approximately 20%, whereas opioid abusers had drug claims of almost 60% (99, 201). Mean annual direct healthcare costs of the total prescription bill for opioid abusers were more than 8 times higher than for non-abusers with \$15,884 for abusers versus \$1,830 for non-abusers.

Costs of abuse and addiction are enormous (204). Multiple investigators (204) have shown a prevalence of drug abuse in 18% – 41% in patients receiving opioids for chronic pain. Between 1992 and 2002, the population of the United States increased by 13%. The number of prescriptions written for non-controlled drugs increased by 57% and the number of prescriptions filled for controlled drugs increased by 154% (200, 201, 206). In addition, there was a 90% increase in the number of people who admitted to abusing controlled prescription drugs during the same period.

Comorbidities among patients with spinal pain are substantial (206). Opioid abusers, compared with non-abusers, had significantly higher prevalence rates for a number of specific comorbidities including non-opioid poisoning, hepatitis, psychiatric illness, and pancreatitis, which were approximately 78, 36, 9, and 21 times higher, respectively, than to non-abusers (206).

Psychological and physical comorbidities and risk factors are common in spinal pain. There is extensive evidence associating chronic pain and psychopathology (199, 209-216). Consequently, unrecognized and untreated psychopathology can interfere with the successful management of chronic pain and patient rehabilitation, can be predictive of poor surgical outcomes, and may increase pain intensity and disability, thus serving to increase pain related dysfunction, disability, and costs (209). A multitude of physical elements also lead to increased morbidity and mortality along with increased costs in spinal pain patients. These include lack of fitness, poor health, obesity, smoking, drug dependence, and other comorbidities such as heart disease, diabetes, thyroid disease, etc.

(177,197-200,217). The prevalence of comorbidities, analgesic use, and healthcare service use, varied by the number of back pain episodes with the highest in patients with more than 6 episodes of back pain. Diabetes, rheumatoid arthritis, anxiety, psychotic illness, depression, use of opiates and nonsteroidal anti-inflammatory agents were associated with significant incremental increases in costs. In a study of cardiovascular risk factors for physician-diagnosed lumbar disc herniation (197), cardiovascular risk factors significantly and independently were associated with symptomatic lumbar disc herniation. Thus, physical and psychological comorbidities and measures of analgesic use are associated with chronicity, increased healthcare utilization, and costs. Given the association of comorbidities and exploding costs for patients with spinal pain specifically low back pain, management approaches that are effective across chronic illnesses may prove to be beneficial.

3.0 STRUCTURAL BASIS

Chronic spinal pain is a multifactorial disorder with many possible etiologies. The biopsychosocial model, which emerged in the 1980s, views chronic spinal pain as a biopsychosocial phenomenon, in which biological, psychological and social factors dynamically interact with each other. In the 1990s, the biopsychosocial approach dominated chronic spinal pain management, at least among academicians, with the introduction of "psychosocial" approaches. Medically unexplained pain is the subject of controversy with numerous publications in the medical literature (218).

Modern technology, including magnetic resonance imaging (MRI), computed tomographic axial scanning (CT), neurophysiologic testing, and comprehensive physical examination with psychological evaluation, can identify the cause of low back pain in only 15% of patients in the absence of disc herniation and neurological deficit (1-4, 219-227).

The majority of painful conditions include various types of pain originating from the spine with pain in the neck, upper back, mid back, low back, and upper or lower extremities. It was postulated that, for any structure to be deemed a cause of back pain (224):

- ◆ The structure should have a nerve supply
- ◆ The structure should be capable of causing pain similar to that seen clinically, ideally demonstrated in normal volunteers
- ◆ The structure should be susceptible to diseases or injuries that are known to be painful

- ◆ The structure should have been shown to be a source of pain in patients, using diagnostic techniques of known reliability and validity.

Kuslich et al (228) identified intervertebral discs, facet joints, ligaments, fascia, muscles, and nerve root dura as tissues capable of transmitting pain in the low back. Facet joint pain, discogenic pain, and sacroiliac joint pain also have been proven to be common causes of pain with proven diagnostic techniques (1-4,23,32-36,46,48-50,128,129,229,230). In contrast, vertebrae, muscles and ligaments have not been proven to be common sources of spinal pain with proven diagnostic techniques. In one prospective evaluation (229), consecutive adult patients with intractable low back pain (who had failed conservative therapy) of undetermined etiology (by medical history, physical examination, x-ray, CT, MRI, EMG/NCV) had pain from facet joint(s) in 24%, combined lumbar nerve root and facet disease in 24%, combined facet(s) and sacroiliac joint(s) in 4%, lumbar nerve root irritation in 20%, internal disc disorder in 7%, sacroiliac joint in 6%, and sympathetic dystrophy in 2%. In a second study (230), the relative contributions of various structures in patients with chronic low back pain who failed to respond to conservative modalities of treatments (physical therapy, chiropractic and drug therapy), with lack of radiological evidence to indicate disc protrusion or radiculopathy, were evaluated utilizing controlled, comparative, double diagnostic blocks. In this study, 40% of the patients were shown to have facet joint pain, 26% discogenic pain, 2% sacroiliac joint pain, and possibly 13% segmental dural/nerve root pain. No cause was identified in 13% (229) and 19% (230) of the patients.

3.1 Facet or Zygapophysial Joints

The facet or zygapophysial joints are paired diarthrodial articulations between posterior elements of adjacent vertebrae (231). Spinal facet joints have been shown to be a source of pain in the neck and referred pain in the head and upper extremities (232-236); upper back, mid back and referred pain in chest wall (237, 238); as well as the low back and referred pain in the lower extremity (239-244) in normal volunteers.

Facet joints are well innervated by the medial branches of the dorsal rami (245-264). Neuroanatomic studies have demonstrated free and encapsulated nerve endings in facet joints, as well as nerves containing substance P and calcitonin gene-related peptide

(264-279).

Neurophysiologic studies have shown that facet joint capsules contain low-threshold mechanoreceptors, mechanically sensitive nociceptors and silent nociceptors (264-283). Inflammation leads to decreased thresholds of nerve endings in facet capsules as well as elevated baseline discharge rates (264,277-279, 284-286). Biomechanical studies have shown that lumbar and cervical facet joint capsules can undergo high strains during spine-loading (264,287-298).

Based on controlled diagnostic blocks of facet joints, in accordance with the criteria established by the International Association for the Study of Pain (IASP) (299), facet joints have been implicated as responsible for spinal pain in 15% to 45% of patients with low back pain (128,129,230,300-308), 36% to 67% of patients with neck pain (128,129,309-312), and 34% to 48% of patients with thoracic pain (128,129,313).

3.2 Intervertebral Disc

The human intervertebral disc (IVD) is a unique structure, composed of two major regions — the outer ring, called the annulus fibrosus (AF), and the inner part, called the nucleus pulposus (NP), with a transitional zone that merges these two regions together (314-316). Even though it is controversial whether or not the lumbar intervertebral discs receive innervation, early studies failed to demonstrate nerve fibers or nerve endings within the discs (317-320). Consequently, it has been concluded that the lumbar discs lack innervation (317,321-323). In subsequent studies, it was reported that in a normal intervertebral disc, the nucleus pulposus is devoid of nerve fibers, whereas the outer annulus fibrosus contains an extensive network of sensory nerve fibers (243,317,324-330). Since then, it has also been demonstrated that a variety of free and complex nerve endings were present in the outer third of the annulus (315,317,331-344). Nerve endings in degenerated discs have been found in the deeper layers of the annulus fibrosus; in some studies, nerve endings have been found extending even into the nucleus pulposus (314,334-337). The nerve fibers have been found both in anterior, and posterior parts of the disc specimens following a vascularized zone of granulation tissue (334). The ingrowth of nerve endings has been suggested to be the pathoanatomic correlate to the dull chronic ache, which is exacerbated by the mechanical loading of the spine that is experienced by patients with chronic low back pain and which is often referred to as discogenic pain (314). In a quantitative analysis of the

innervation of the intervertebral disc in a sheep model, there was no significant difference between endplate and annulus innervation densities (344). The endplate innervation was concentrated centrally adjoining the nucleus. The richest area of innervation was in the periannular connective tissue. Consequently, these authors (344) concluded that in the sheep, the lumbar intervertebral disc has a meager innervation, which is concentrated in the periannular connective tissue and the central endplate. However, they also stated that receptor threshold is more closely related to nociceptive function than innervation density. This innervation also has been demonstrated to cervical and thoracic intervertebral discs, synonymous to lumbar discs. However, these have not been studied well (325,327,330,331,333,338-351). These nerve fibers transmit both nociceptive and non-nociceptive information (243,246,325,330,331,333, 338,339,348,352-354). In addition, many of these nerve fibers, identifiable by immunochemistry, are accompanied by blood vessels; this process of neovascularization is associated with inflammation. Neural structures that express substance P and have the morphology of nociceptive nerve terminals are found in the nucleus of painful discs; this may distinguish painful versus painless disc degeneration (349).

Degeneration of the intervertebral disc is associated with the development of fissures in the outer annular fibrosus, which may be ingrown by vascular tissue and nerve endings (315,345,355). Disc cells are capable of producing a complex mixture of neurotransmitters, cytokines, and other inflammatory mediators that may stimulate free nerve endings (356-358). While neurotransmission pathways for discogenic pain remain poorly understood, nociceptive neuropeptides, which are present within the nerve fibers in the outer annulus and dorsal root ganglion, may likely play a role in discogenic pain transmission (315,346,359-361).

Clinically, the intervertebral disc (IVD), depending on location, can produce pain in the neck, upper extremities, posterior thorax, chest wall, abdominal wall, low back, and lower extremities (228,362-378). IVD-related pain can be caused by structural abnormalities, such as disc degeneration or disc herniation; correspondingly, biochemical effects, such as inflammation, and neurobiological processes may play a role. Nerve growth factor (NGF) dependent neurons are the main neuronal subgroup within the dorsal root ganglion (DRG), that transmit and modulate pain in response to inflammation. This subgroup is responsible for sensitizing the DRG to NGF and is present in

the painful IVD. NGF may play an important role in discogenic back pain (350,371-374). The nucleus pulposus is a biologically active tissue that can respond to pro-inflammatory stimuli (374).

The first to create widespread interest in the disc as a source of pain in American literature were Mixer and Barr (364) with their 1934 hallmark description of the herniated nucleus pulposus. However, soon after, Mixer and Ayers (370) in 1935 demonstrated that radicular pain can occur without disc herniation. Subsequently, numerous investigators (229,230,367,352-354,375-377) have described pain syndromes emanating from the lumbar intervertebral disc, without mechanically compressing neural structures. Consequently, the pathophysiology of spinal radicular pain is a subject of ongoing research and controversy and discogenic pain has assumed a major role as a cause of nonspecific low back pain, beyond the more specific disc herniation. Thus, in addition to the mechanical component, inflammation of the compressed nerve root is an important factor in the pathophysiology of radicular and discogenic pain (377-385). Other proposed etiologies include neural compression with dysfunction and vascular compromise (386-390). While neurotoxicity has been attributed to many agents including phospholipase A₂ (PLA₂), metalloproteinases, and interleukin-6, both prostaglandin E₂ (353,380-384,391-396), and tumor necrosis factor (TNF α) have been shown to have an essential role in intervertebral disc-induced nerve root damage (397-400).

Cartilage oligomeric matrix protein (COMP) (401) may play a role in maintaining the normal structure of intervertebral disc. The course of macroscopic degeneration in the human lumbar intervertebral disc was described to start in the nucleus. The temporal sequence suggests a strong correlation of cleft and tears formation starting with the formation of clefts in the second decade (402). It was also shown that in rats, by creating static and dynamic posterior instability of the cervical spine, rapid intervertebral disc degeneration starts, which is characterized by increased apoptosis and local inflammation (403). TNF α , also has been shown to contribute to the degenerative changes that occur in the disc disease (404) as well as producing endplate abnormalities related to inflammation and axon growth (405).

The etiology of discogenic pain is unclear (225,360-406). Internal disc disruption (IDD) is a condition in which the internal architecture of the disc is disrupted, but its external appearance remains es-

entially normal (407). IDD can be experimentally induced by endplate damage (408). Likewise, experimentally induced annular tears can lead to adverse and progressive mechanical changes in the disc. Annular degeneration has been shown to appear at an early age in lumbar discs and is clearly related to back pain (409). Disrupted discs may not exhibit either bulging or herniation. These features with a normal or near normal contour of discs producing back pain, but with no evidence of herniation or prolapse were described by Crock (407) in 1976 as internal disc disruption. It has been suggested that endplate damage would precede disc degeneration (405,410). Further, diminution of blood supply in the endplate initiates tissue breakdown, first in the endplate and thereafter in the nucleus, starting in the first half of the second decade of life (402,411), with visible tears in the nucleus in the 11 to 16 year age group. The removal of proteoglycans from the endplate, which regulates the movement of solutes into and out of the disc, accelerates the loss of proteoglycans from the nucleus (412). It also has been shown that reduced lumbar artery blood flow may diminish nutrition through the endplates, leading to an increased incidence of disc degeneration (197,413). Discs with internal disc disruption are rendered painful by either chemical nociception or mechanical stimulation. Explanted discs, obtained during posterior lumbar interbody fusion in patients with lumbar discogenic pain, demonstrated a vascularized strip lesion extending from the NP to the AF; this lesion was accompanied by extensive innervation in the posterior disc (414).

In a controlled study, the prevalence of pain due to internal disc disruption was reported as 39% in patients suffering with chronic low back pain (367). Primary discogenic pain was reported in 7% (229) to 26% (230) when no other cause was suspected. The prevalence of cervical discogenic pain in patients with chronic neck pain of traumatic origin in informal studies was estimated to be 20% (415). Discogenic and radicular pain syndromes continue to pose challenges to patients, physicians, and the society-at-large.

3.3 Dorsal Root Ganglion

The dorsal root ganglion (DRG) plays an important role in the mechanism of spinal pain. This holds true, when the DRG itself is injured or when other spinal structures are injured. Experiments have suggested that edema in the dorsal root ganglion is the basis of the production of nerve root pain in patients

with disc herniation (350,356,357,371,416-434). Mechano- and chemosensitivity of dorsal root ganglia have been described (393,394,419-421). Experimentally applied nucleus pulposus from both healthy and degenerative discs reduces nerve root conduction velocity, suggesting a pathomechanism of neural injury (422-428). The NP can induce excitatory changes, rising endoneurial pressures-compartment syndrome, and cause intraneural thrombi in the DRG or the nerve roots (394,397,423). Anti-inflammatory agents, such as tumor-necrosis factor alpha inhibitors, may protect against NP-induced DRG and nerve root injury (393).

3.4 Sacroiliac Joint

The sacroiliac joint is a diarthrodial, synovial joint (435-440). However, the innervation of the sacroiliac joint remains a subject of much debate. The lateral branches of the L4 to S3 dorsal rami are cited by some experts as comprising of the major innervation to the posterior SI joint (437,441). Other investigators claim that L3 and S4 contribute to the posterior nerve supply (437,442,443). The innervation of the anterior joint is also controversial. The early literature asserted that the anterior sacroiliac joint is supplied by the obturator nerve, superior gluteal nerve, and the lumbosacral trunk (437,443). However, more recent literature suggests the anterior joint is innervated by L2 through S2 (437,444), L4 through S2 (445), and the L5 to S2 ventral rami (446). In addition, some authors have suggested that the anterior sacroiliac joint is devoid of nervous tissue (437,442,445). Neurophysiologic studies conducted showed mechanosensitive afferent units (437,447-450). However, it has been postulated that pain sensitivity of the sacroiliac joints may be lower than that of lumbar facet joints but higher than the anterior portions of the lumbar discs (437,449,450). In summary the sacroiliac joint is well innervated, receiving myelinated and unmyelinated axons capable of nociception (436-456).

Referral patterns of sacroiliac joint provocation or irritation have been published by multiple authors (457-460). Sacroiliac joints have been shown to be capable of producing pain in low back, buttocks, thigh, lower extremity, groin and occasionally abdomen. Since sacroiliac joints have been shown to have innervation and produce pain in normal volunteers the prevalence of sacroiliac joint pain has also been determined. Utilizing single diagnostic blocks, the prevalence of sacroiliac pain would appear to be at least 13% and perhaps as high as 30% in the United States

(460) and 10% in Taiwan (229). Utilizing controlled, comparative local anesthetic blocks in patients with low back pain in whom there was a high index of suspicion for pathology, frequency of sacroiliac joint dysfunction was established as 18.5% (461), 10% (230), or 26.6% (462) in suspected patients. High prevalence may be seen in patients with post-lumbar fusion pain (463-465). In a prospective series of 40 patients with persistent low back pain after a technically successful fusion, with single diagnostic blocks, a 35% prevalence was demonstrated (465).

3.5 Postlaminectomy Syndrome

Postlaminectomy syndrome and other synonyms, such as failed back surgery syndrome, represent a cluster of syndromes following spine surgery wherein the expectations of the patient and spine surgeon are not met (466-471). Persistent pain following spine surgery is common (466-489). Since discectomies, decompressions, and spinal fusions and more recently, minimally invasive surgical and interventional therapies, represent the largest portion of the US spine market (with expenditures of \$2.5 billion in the United States in 2003 of the estimated \$3 billion for the worldwide spine market), one may reasonably anticipate that the costs of persistent pain following spine surgery will increase substantially (471). In the year 2002, more than 1 million spinal procedures were performed in the USA. Six hundred thousand cases were not instrumented, but 400,000 were instrumented (472-476,482). The estimated yearly growth rate of uninstrumented cases ranged from 3% to 5%; in contrast, the growth rate of instrumented cases ranged from 6% to 8% (477). The "spine market" may compound as much as 22% annually (485). Lieberman (471) cautioned that all parties involved in the spine market must be vigilant in not letting the spine market turn into a "cancer," or even worse, allowing the "disc bulge bubble" to burst. A surgeon's assessment of adverse post-operative outcomes may seriously underreport a patient's self-assessment of surgical outcomes (478).

In fact, Weinstein et al (476) in a study of the United States' trends and regional variations in lumbar spine surgery from 1992 to 2003 showed that lumbar fusion rates have increased steadily since 1992 from 0.3 per 1,000 enrollees in 1992 to 1.1 per 1,000 enrollees in 2003. They also showed that Medicare spending for inpatient back surgery more than doubled over the decade, with increase for lumbar fusion of 500%, from \$75 million to \$482 million. In 1992, lumbar fu-

sion represented 14% of total spending for back surgery; by 2003, lumbar fusion accounted for 47% of spending.

Animal models of post laminectomy syndrome demonstrate paraspinous muscle spasms, tail contractures, behavioral pain behaviors, tactile allodynia, epidural and perineural scarring, and nerve root adherence to the underlying disc and pedicle (490-496). Speculated causes of postlaminectomy syndrome include acquired stenosis, adjacent segment degeneration, internal disc disruption, recurrent disc herniation, retained disc fragment, spondylolisthesis, epidural or intraneural fibrosis, degenerative disc disease, radiculopathy, radicular pain, deconditioning, facet joint pain, sacroiliac joint pain, discitis, arachnoiditis, pseudoarthrosis, segmental instability, and others (308,463-470,478-481,485,489,497-500). Among these, etiologies such as epidural fibrosis, facet joint dysfunction, sacroiliac dysfunction, internal disc dysfunction, recurrent disc herniation, and spinal stenosis can be treated by interventional pain techniques (23,32-36,39,40,46,48-50,308,463-470,489,497-500). Ultimately, many of these etiologies are interrelated. Facet joint involvement in chronic pain following lumbar surgery has been shown to be present in approximately 8% to 16% of the patients (308). Prevalence of sacroiliac joint pain following lumbar fusion has been demonstrated in a study with a single block to be 35% (465).

Epidural fibrosis may occur following an annular tear, disc herniation, hematoma, infection, surgical trauma, vascular abnormalities, or intrathecal contrast media (490-496,499-509). Epidural fibrosis may account for as much as 20% to 36% of all cases of failed back surgery syndrome (FBSS) (479,480,510-512). Alternatively, there may be a final common pathway with all these etiologies, which results in peripheral and central facilitation potentiated by inflammatory and nerve injury mechanisms (490-496). Paraspinal muscles may also become denervated and involved in the pathogenesis of FBSS (513).

3.6 Spinal Stenosis

Spinal stenosis can be defined as a narrowing of the spinal canal, resulting in symptoms and signs caused by entrapment and compression of the intraspinal vascular and nervous structures (514,515). Disc bulging, protrusion, and herniation in the cervical, as well as lumbar area, combined with osteophytes and arthritic changes of the facet joints can cause narrow-

ing of the spinal canal, encroachment on the contents of the dural sac, or localized nerve root canal stenosis (514-520).

The pain and disability associated with lumbar spinal stenosis can interfere with a patient's lifestyle (521). Treatment options for low back pain and neurogenic claudication related to lumbar spinal stenosis include surgery, but also nonoperative modalities including conservative treatment and interventional techniques.

4.0 INTERVENTIONAL TECHNIQUES

Various types of injection techniques have been described with multiple benefits including pain relief that outlasts by days, weeks, or months the relatively short duration of pharmacologic action of the local anesthetics and other agents used. No clear-cut explanations for these prolonged improvements are currently available.

4.1 Mechanism of Action

Neural blockade has been postulated to alter or interrupt nociceptive input, reflex mechanisms of the afferent limb, self-sustaining activity of neuron pools and neuraxis, and the pattern of central neuronal activities (522). Improvements may be explained in part based on the pharmacological and physical actions of local anesthetics, corticosteroids, and other agents. Local anesthetics interrupt the pain-spasm cycle and reverberating nociceptor transmission, whereas corticosteroids reduce inflammation either by inhibiting the synthesis or release of a number of pro-inflammatory substances and by causing a reversible local anesthetic effect (419,523-536).

Various modes of action of corticosteroids include membrane stabilization; inhibition of neural peptide synthesis or action; blockade of phospholipase A2 activity; prolonged suppression of ongoing neuronal discharge; and suppression of sensitization of dorsal horn neurons. Local anesthetics have been shown to produce prolonged dampening of C-fiber activity. Physical effects include clearing adhesions or inflammatory exudates from the vicinity of the nerve root sleeve. The scientific basis of some of these concepts, at least in part, is proven for spinal pain management with epidural injections of betamethasone and intravenous methylprednisolone (419,527-532).

Various mechanisms of benefits for longer periods of time than the duration of the anesthetics used

have been described (537-540). Among the several theories listed include the influence on sympathetic nervous system (541); temporary abolition of spontaneous ectopic discharges, resulting in suppression of dynamically maintained central hyperexcitability, as well as reinforcing endogenous G-protein-coupled receptor inhibition of N-type voltage-sensitive calcium channels (538,541); and glial inactivation (540).

5.0 DIAGNOSTIC INTERVENTIONAL TECHNIQUES

It has been postulated that for any structure to be deemed a cause of back pain, the structure should have been shown to be a source of pain in patients, using diagnostic techniques of known reliability and validity (224). The diagnostic blockade of a structure with a nerve supply with the ability to generate pain can be performed to test the hypothesis that the target structure is a source of a patient's pain. Evidence-based interventional diagnostic techniques include facet joint blocks, discography, sacroiliac joint injections, and transforaminal epidurals or selective nerve root blocks.

5.0.1 Rationale

The popularity of neural blockade as a diagnostic tool in painful conditions is due to multiple challenging clinical situations, which include the purely subjective nature of spinal pain and undetermined and uncertain pathophysiology in most painful spinal conditions. Precision diagnostic blocks are used to clarify these challenging clinical situations, in order to determine the pathophysiology of clinical pain, the site of nociception and the pathway of afferent neural signals. Precise anatomical diagnosis in low back pain has been described not only as elusive, but also the diagnostic evaluation is often frustrating for both physicians and patients (1-4,219-227,542-544). History, physical examination, and imaging provide limited information.

5.0.2 Reliability and Validity

Clinical studies of precision diagnostic techniques are variable in sensitivity, specificity, accuracy and quality. False-positive rate (how often patients without a condition will nonetheless have a positive test), false-negative rate (how often a patient with disease will have a negative test), and placebo response are crucial. Since none of the tests available in clinical medicine are ideal, there is a degree of uncertainty regarding the accuracy of each and every diagnostic test as applied to an individual clinical case.

The accuracy of a diagnostic test is best determined by comparing it to an appropriate reference standard (gold standard) such as biopsy, surgery, autopsy, or long-term follow-up. Tissue confirmation of the presence or absence of a disease at surgery, with a biopsy, or autopsy, which has served as the accepted gold standard across multiple medical disciplines, is not applicable to interventional pain management. Consequently, most pain provocative or relieving tests used to diagnose painful conditions of the spine are more closely related to the physical examination than to a laboratory test (38). Stability of the diagnosis over a long period of time with long-term follow-up may be also used as a gold standard. These facts are especially true in the diagnosis of facet joint pain, discogenic pain, and sacroiliac joint pain.

In interventional pain management, a diagnostic blockade of a structure with a nerve supply that can generate pain is performed to test the hypothesis that the target structure is the source of a patient's pain. Pain provocation in any structure is an unreliable criterion, except in provocative discography (1-4,23,32-36,39,40,46,48-50,219,220,542-546). In an ideal world, all controlled blocks would include placebo injections of normal saline. However, in practical terms, it may be neither logistical, nor ethical to use placebo injections of normal saline in conventional practice. It would be necessary to perform three diagnostic blocks of the same structure with application of placebo. Consequently, the use of two local anesthetics with different durations of action, on two separate occasions, has been proposed and validated (547-550). The use of comparative local anesthetic blocks with facet joint injections has been validated against challenge with placebo (549,550). Even then, the validity and relevance of diagnostic nerve blocks has been questioned (551-555). Cohen et al (556) also evaluated the causes of false-positive diagnostic facet joint blocks.

5.0.3 Environment

The requirements for safe use of diagnostic interventional techniques include a sterile operating room or a procedure room, appropriate monitoring equipment, radiological equipment, sterile preparation, resuscitative equipment, needles, gowns, injectable drugs, intravenous fluids, anxiolytic medications, and trained personnel for preparation and monitoring of patients. Minimum requirements include history and physical examination, informed consent, and appropriate documentation of the procedure.

5.0.4 Contraindications

Contraindications include ongoing bacterial infection, possible pregnancy, bleeding diathesis, and anticoagulant therapy. Precautions are warranted in patients with antiplatelet or anticoagulant therapy, diabetes mellitus and artificial heart valves (557-559).

5.1 Facet or Zygapophysial Joint Blocks

Diagnostic blocks of a facet or zygapophysial joint can be performed by anesthetizing the joint by injections of local anesthetic intraarticularly or on the medial branches of the dorsal rami that innervate the target joint, to test whether the joint is the source of pain. Valid information is only obtained by performing controlled blocks, either in the form of placebo injections of normal saline or comparative local anesthetic blocks, in which on two separate occasions, the same joint is anesthetized using local anesthetics with different durations of action.

The rationale for using facet joint blocks for diagnosis is based on the fact that facet joints are capable of causing pain and they have a nerve supply (245-264). They have been shown to be a source of pain in patients using diagnostic techniques of known reliability and validity (234-244). However, there are no specific markers of facet joint pain. Conventional clinical and radiologic techniques are unreliable in diagnosing facet or zygapophysial joint pain. Various patterns of referred pain described for facet joints in the spine are similar to other structures such as discs. Further, most maneuvers used in physical examination are likely to stress several structures simultaneously, especially the discs, muscles, and facet joints, thus, failing to provide any reasonable diagnostic criteria. The value, validity and clinical effectiveness of diagnostic facet joint nerve blocks was also illustrated by application of therapeutic modalities based on the diagnosis with controlled comparative local anesthetic blocks (30,34,50). The response was much better and longer lasting in patients after the diagnosis was made with controlled comparative local anesthetic blocks than single blocks (13,20,21,30,51,56). The evidence thus far on physical examination and diagnosis has been controversial; demographic features, pain characteristics, and other signs and symptoms may not correlate and are unreliable; and medical imaging provides little useful information with radiographic investigations, including magnetic resonance imaging (MRI), revealing only some conditions with certainty (1-4,34-36,50,128,129,300-311,544, 545,560-577).

5.1.1 Validity

Controlled diagnostic blocks with two local anesthetics (or placebo-controlled) are the only means of confirming the diagnosis of facet joint pain. The face validity of medial branch blocks has been established by injecting small volumes of local anesthetic and contrast material onto the target points for these structures and by determining the spread of contrast medium in posteroanterior and lateral radiographs (34-36,50, 250, 252, 253). Construct validity of facet joint blocks is important to eliminate placebo effect as the source of confounding results and to secure true positive results (544,549,550). The hypothesis that testing a patient first with lidocaine and subsequently with bupivacaine provides a means of identifying the placebo response has been tested and proven (544,547-550).

The specificity of the effect of cervical and lumbar facet joint blocks was demonstrated in controlled trials (250,252,253). Provocation response was shown to be unreliable in one study (545). The false-negative rate of diagnostic facet joint blocks was shown to be 8% due to unrecognized intravascular injection of local anesthetic (252). False-positive rates were evaluated in multiple investigations (128,129,230,303-308,311,312,578-580).

The validity of comparative local anesthetic blocks was determined not only by short-term relief with controlled diagnostic blocks, and ability to perform movements which were painful prior to the blocks, but also with application of another appropriate reference standard (long-term follow-up) as described in the literature (580). Utilizing the criteria established by the International Association for the Study of Pain (299), false-positive rates varying from 27% – 63% in the cervical spine, 42% – 58% in the thoracic spine and 17% – 50% in the lumbar spine were demonstrated. Minimal effect of sedation (581-583) in cervical and lumbar spine, and lack of influence of psychological factors on the validity of controlled diagnostic local anesthetic blocks of facet joints in the lumbar spine was demonstrated (584). Other variables were also evaluated (585,586).

5.1.2 Prevalence

Based on numerous evaluations utilizing controlled diagnostic blocks, facet or zygapophysial joints have been implicated as the source of chronic spinal pain in 15% to 45% of heterogenous groups of patients with chronic low back pain (128,129, 230,300-308), 34% to 48% of the patients with tho-

racic pain (128,129,313), and 36% to 67% of the patients with chronic neck pain (128,129,309-312). The data of prevalence with controlled diagnostic blocks and false positive rates in cervical, thoracic and lumbar regions are illustrated in Tables 2, 3 and 4 respectively.

5.1.3 Intraarticular or medial branch blocks

Facet joints are blocked by either injection into the joint or by blocking the nerves that innervate the joint. Intraarticular injections require intraarticular placement of a needle into the target joint under fluoroscopic control. Contrast medium is injected to obtain an arthrogram and verify intraarticular placement. Medial branch or L5 dorsal ramus blocks, in contrast, require anesthetizing both of the medial branches that innervate the target joint. Medial branch blocks are also performed under fluoroscopic visualization.

The choice between intraarticular blocks and medial branch blocks is to some extent preference and training of the physician. However, various considerations apply in choosing either intraarticular injection or medial branch blocks (544). Intraarticular injections are more difficult and time consuming than nerve blocks because they require accurate placement of the needle within the joint cavity with care not to over distend the joint. In contrast, medial branch blocks are expeditious and carry no risk of over distention. Furthermore, at times joint entry may be impossible because of the severe age related changes or post traumatic arthropathy; no such processes affect access to the nerves (544). Significant leakage of intraarticular injected fluid into epidural space and spillage over to the nerve roots has been described. With appropriate care this is minimal with medial branch blocks. Finally, intraarticular blocks are appropriate if intraarticular therapy is proposed but if radiofrequency therapy is proposed, medial branch blocks become the diagnostic procedure of choice. In addition, in the past only intraarticular injections were considered as therapeutic. However, recent evidence has shown that medial branch blocks have better evidence for the therapeutic effectiveness than intraarticular blocks (30,51). An additional advantage is that if the patient fails to respond to medial branch blocks one may proceed with denervation procedures. Furthermore, the requirements for steroids is much lower or none with medial branch blocks compared to intraarticular injections (30,51). However, if intraarticular inflammation is the proven and sole source of pain, intraarticular injection

Table 2. Data of prevalence with controlled diagnostic blocks and false-positive rates in cervical region

Study	Quality Scoring		# of Subjects	Type	Prevalence	False-Positive Rate
	AHRQ	QUADAS				
Barnsley et al (309) 1995	4/5	13/14	50	P, DB	54% (95% CI 40%–68%)	NA
Barnsley et al (579) 1993	4/5	13/14	55	RCT	NA	27% (95% CI 15%–38%)
Lord et al (310) 1996	4/5	13/14	68	RCT, DB, PC	60% (95% CI 46%–73%)	NA
Manchikanti et al (311) 2002	3/5	10/14	106	P	60% (95% CI 50%–70%)	40% (95% CI 25%–56%)
Manchikanti et al (307) 2002	3/5	10/14	120	P	67% (95% CI 58%–75%)	63% (95% CI 48%–78%)
Manchikanti et al (128) 2004	3/5	11/14	255 of 500 patients	P	55% (95% CI 49%–61%)	63% (95% CI 54%–72%)
Manchukonda et al (129) 2007	3/5	9/14	251 of 500 patients	R	39% (95% CI 32%–45%)	45% (95% CI, 37%–52%)
Speldewinde et al (312) 2001	3/5	7/14	97	R	36% (95% CI 27%–45%)	NA

RCT = randomized, controlled trial; P = prospective; R = retrospective; PC = placebo controlled; DB = double blind; NA = not available

Table 3. Data of prevalence with controlled diagnostic blocks and false-positive rates in thoracic region

Study	Quality Scoring		# of Subjects	Type	Prevalence	False-Positive Rate
	AHRQ	QUADAS				
Manchikanti et al (128) 2004	3/5	11/14	72 of 500 patients	P	42% (95% CI 30%–53%)	55% (95% CI 39%–78%)
Manchikanti et al (313) 2002	3/5	10/14	46	P	48% (95% CI 34%–62%)	58% (95% CI 38%–78%)
Manchukonda et al (129) 2007	3/5	9/14	65 of 500 patients	R	34% (95% CI 22%–47%)	42% (95% CI 26%–59%)

P = prospective; R = retrospective

with steroid may be beneficial.

5.1.4 Cost Effectiveness

Diagnostic facet joint nerve blocks were not evaluated for cost effectiveness systematically. However, multiple authors (229,230,587-589) described the feasibility and cost-effectiveness of appropriately performed controlled comparative local anesthetic blocks.

5.1.5 Evidence

The accuracy of facet joint nerve blocks is strong

in the diagnosis of lumbar and cervical facet joint pain, whereas it is moderate in the diagnosis of thoracic facet joint pain.

5.1.6 Safety and Complications

Safety of facet joint interventions with intraarticular injections and medial branch blocks has been demonstrated. Though rare and minor, the common reported complications of facet joint injections or nerve blocks are related to needle placement and drug administration. These complications include hemorrhage, dural

Table 4. Data of prevalence with controlled diagnostic blocks and false-positive rates in lumbar region

Study	Quality Scoring		# of Subjects	Type	Prevalence	False-Positive Rate
	AHRQ	QUADAS				
Schwarzer et al (300) 1994	4/5	12/14	176	P	15% (95% CI 10%-20%)	NA
Schwarzer et al (578) 1994	4/5	12/14	176	Subjects from previous study	15%	38% (95% CI 30%-46%)
Schwarzer et al (302) 1995	1/4	12/14	63	P, SB	40% (95% CI 27%-53%)	NA
Manchikanti et al (303) 1999	3/5	8/14	120	P	45% (95% CI 36%-54%)	41% (95% CI 29%-53%)
Manchikanti et al (305) 2000	3/5	11/14	200	P	42% (95% CI 35%-49%)	37% (95% CI 28%-46%)
Manchikanti et al (304) 2000	3/5	10/14	180	P	Average 36% I: 38% (CI 26%-50%) II: 32% (CI 20%-44%) III: 38% (CI 26%-50%)	Average 25% I: 22% (CI 9%-35%) II: 27% (CI 13%-41%) III: 27% (CI 13%-41%)
Manchikanti et al (230) 2001	4/5	10/14	120	P	40% (95% CI 31%, 49%)	47% (95% CI 35%, 59%)
Manchikanti et al (586) 2001	3/5	8/14	Gp I (<65 years)=50 Gp II (>65 years)=50	P	I: 30% CI 17%-43% II: 52% CI 38%-66%	I: 26% CI 11%-40% II: 33% CI 14%-35%
Manchikanti et al (498) 2001	3/5	7/14	Gp I (no prior surgery)=50 Gp II (prior surgery)=50	P	I: 44% (95% CI 31%-49%) II: 32% (95% CI 19%-45%)	I: 36% (95% CI 18%-54%) II: 24% (95% CI 9%-38%)
Manchikanti et al (809) 2001	3/5	7/14	Gp I (BMI<30)=50 Gp II (BMI >30)=50	P	I: 36% (95% CI 22%-50%) II: 40% (95% CI 26%-54%)	I: 44% (95% CI 26%-61%) II: 33% (95% CI 16%-51%)
Manchikanti et al (307) 2002	3/5	8/14	120	P	40% (95% CI 31%-49%)	30% (95% CI 20%-40%)
Manchikanti et al (306) 2003	4/5	9/14	GI: Single region =150 GII: multiple regions =150	P	I: 21% (95% CI 14%-27%) II : 41%(95% CI 33%-49%)	I: 17% (95% CI 10%-24%) II : 27% (95% CI 18%-36%)
Manchikanti et al (128) 2004	3/5	11/14	397 of 500 patients	P	31% (95% CI 27%-36%)	27% (95% CI 22%-32%)
Manchukonda et al (129) 2007	3/5	9/14	303 of 500 patients	R	27% (95% CI 22%-33%)	45% (95% CI 36%-53%)
Manchikanti et al (308) 2007	4/5	12/14	Gp I: single surgery = 64 Gp 2: 2 surgeries = 32 Gp 3: 3 or more surgeries = 21 Overall: 117	P	14% (95% CI 5%-23%) 19% (95% CI 5%-33%) 19% (95% CI 2%-36%) Overall: 16% (95% CI 9%-23%)	49% (95% CI 36%-63%) 50% (95% CI 30%-70%) 47% (95% CI 23%-71%) Overall: 49% (95% CI 39%-59%)

P = prospective; SB = single blind; R = retrospective

puncture, spinal cord trauma, infection, intraarterial or intravenous injection, chemical meningitis, neural trauma, paralysis, pneumothorax, radiation exposure, facet capsule rupture, hematoma formation, steroid side effects, and epidural, subdural or subarachnoid spread (1-4,30,34-36,50,51,559,590-610).

5.2 Provocation Discography

Discography is a procedure that is used to characterize the pathoanatomy/architecture of the intervertebral disc and to determine if the IVD is a source of chronic spinal pain. Implicitly, discography is an invasive diagnostic test that should only be applied to those chronic spinal pain patients in whom one suspects a discogenic etiology. Discography literally means the opacification of the nucleus pulposus of an intervertebral disc to render it visible under radiographs (546). The commonly practiced technical and evaluative components of discography include: sterile needle placement into the center of the IVD (nucleus pulposus), radiopaque contrast instillation to provoke pain, radiological assessment of disc morphology, and clinical assessment of the intensity and concordancy of evoked pain in relation to baseline pain. Discography has been used extensively in the study of lumbar discs, somewhat less so in cervical discs, and infrequently in thoracic discs.

5.2.1 Rationale

Formal studies have shown that the discs are innervated and can be a source of pain that has pathomorphologic correlates (243,317-415). Even though the specific neurobiological events involved in how discography causes pain have not been elucidated, sound anatomic, histopathological, radiological, and biomechanical evidence suggests that lumbar discography may help to identify symptomatic and pathological IVDs (32,39,49,546). However, the cervical and thoracic discs differ from lumbar discs and do not appear to suffer the same pathology (546,611-613).

Discography was performed in asymptomatic volunteers without spinal pain in the cervical spine (611), thoracic spine (612), and lumbar spine (613). It was shown that discographically normal discs were never painful in either symptomatic or asymptomatic groups.

The rationale is well established for lumbar discography (32,39,49,546). Discography is helpful in patients with low back or lower extremity pain to acquire information about the structure and sensitivity of their lumbar intervertebral discs and to make informed de-

terminations about treatment and modifications of activity. Although the clinical exam may demonstrate a favorable correlation with discography or disc-related pain (563,570,614-617), this information may not be sufficient to guide invasive treatment for discogenic pain. There is a significant overlap in evoked pain patterns among discs (618).

5.2.2 Validity

Examinations of cadaver discs typically confirm the presence of annular tears and disc degeneration, as revealed by discograms (619-623). Multiple authors also have investigated the accuracy of discographic and CT/discographic findings based on the ability to demonstrate accurate pathology confirmed at the time of surgery. There is a high inter- and intra-observer agreement in assessing discographic morphology, i.e., the Adam's classification (624,625). It was reported that the exact reproduction of pain was more likely in ruptured or fissured discs and less likely in degenerative discs; based on the Adam's Classification (625), the sensitivity and specificity of intervertebral disc morphology was 81% and 64% respectively for pain. While many authors (626-631) demonstrated significant correlation with confirmation of reliability of provocative discography, some (632,633) have demonstrated poor correlation. CT scanning post-discography provided minimal additional information (625). The validity of cervical discography was questioned by Holt in 1964 (634). He reported false-positive discograms in a large number of asymptomatic prison population. While multiple experiments were conducted to refute the data of Holt in lumbar spine, no such experiments were conducted in the cervical spine. Thus, the value of cervical discography still warrants further investigations.

Discography was compared with myelography, CT, MRI, and results of surgical and conservative management. CT discography was reported to be more accurate than myelography (611,619,627,628,633,635-640). On similar grounds, discography was shown to be superior to plain computed tomography (636,639-642). While comparing the results of discography with MRI, some found discography to be as good as MRI, even though MRI was preferable as it was non-invasive and allowed assessment of more levels with one test, with minimal risk of complications and minimal discomfort (643,644). However, others have identified advantages of discography with pain provocation, when MRIs were normal or equivocal (611,612,645-648). Strong correlation was demonstrated between MR/discogra-

phy and CT/discography in assessing annular tears and degeneration (642,649,650). In the cervical spine, an MRI may have a false positive rate of 51% and a false negative rate of 27% in predicting which cervical spine levels to fuse, as compared to discography (651). The role of discography in a normal MRI is of questionable value and the routine performance of discography in this setting is not advised.

A good correlation between MRI, discography, and the high intensity zone (HIZ) has been established by some (649,650,652-658), while others have reported poor correlation and limited value of discography (658-660). Finally, the relationship of discography to outcomes, including conservative management, minimally invasive surgery, and open procedures remains controversial (32,39,49).

While the accuracy of discography as an imaging test is high, with high specificity and sensitivity for the diagnosis of disc degeneration, the key question with discography is whether this test is accurate for the diagnosis of discogenic pain. An integral part of the problem is the lack of an adequate reference or gold standard. Surgical exposure can confirm the presence of disc degeneration or disruption, but it cannot definitely confirm the presence or absence of discogenic pain. However, the results from both surgical and minimally invasive treatment of discogenic pain in patients whose diagnosis was confirmed by discography should provide a reference standard for discogenic pain.

The face validity of discography has been established by injecting small volumes of contrast into the disc and determining concordant pain, with spread of the contrast medium in posteroanterior and lateral radiographs and/or computed tomography. This "face" validity can be challenged, since clinicians are relying on the transduction of a non-painful stimulus, pressure, into a painful stimulus. Nonetheless, discography may correlate with the use of frankly noxious intradiscal stimuli. Sixty-eight percent of patients undergoing intradiscal electrothermal therapy reported exact reproduction of their pain in quality and location; none reported unfamiliar pain (362).

Construct validity of the discograms is also equally important to avoid a false-positive result and obtain a true positive response. Consequently, for a response to be considered positive, concordant pain must be produced; and for the test to be valid, there must be at least one disc (preferably two) that do not elicit pain upon injection, thereby serving as a control disc

(32,39,49,661-663).

The validity of cervical discography has been established in asymptomatic patients. However, there are no modern normative data that establish that cervical discography is a specific test for cervical discogenic pain (32,49,546,664,665). There is evidence indicating that up to 40% of the positive cervical discograms may be false-positive (415). However, others (664) have concluded that cervical discography is a safe and valuable technique.

With thoracic discography, unfamiliar or discordant pain may be produced in Schmorl's nodes, in lifelong asymptomatic individuals (612). However, thoracic discography may demonstrate disc pathology that is not seen on MRI (612). The value of thoracic discography is preliminary.

The validity of lumbar discography has been studied extensively, yet the controversy remains (32,39,546,663,666,667). While there are substantial number of proponents of discography (32,39,49,54,67,663), multiple other investigators have questioned the reliability of patients reports of concordant pain (668-673). In the modern era, the opponents of discography (674-683), as well as proponents (684-688) have published numerous articles against and in support of discography.

Carragee et al (676) performed a prospective controlled study on patients without low back pain who had undergone lumbar discography. They also reported a prospective, controlled, longitudinal study on 50 subjects without low back pain who had undergone lumbar discography as well as patients with mild persistent low back pain (677); performed discography in patients with and without low back pain following laminotomy and discectomy (678); performed discography in patients in 8 patients without history of low back pain, all of whom underwent iliac crest harvesting for non-lumbar procedures (679); prospectively performed lumbar discography on 26 individuals, who had no history of low back pain (674); performed a prospective, observational study of patients with and without low back pain to investigate the relevance of the high intensity zone to discography pain provocation (683); performed a prospective, longitudinal study of 100 subjects with high risk factors for serious low back pain as determined by structural and psychosocial characteristics (680); retrospectively reviewed the data of positive disc injections at low pressures among 69 subjects with and without chronic low back pain (681); and attempted to test the hypothesis

that positive discography accurately identifies the low back pain illness due to a primary discogenic lesion as determined by clinical cure with successful arthrodesis in a best case clinical setting (682). In summary, Caragee et al (674-683) state that their findings continue to offer support for the conclusion that subjects with neither low back pain, a chronic pain state, nor previous surgery have a low risk for low-pressure painful injections. However their studies were extensively criticized by others. Despite their conclusions, their data suggests that truly asymptomatic patients and discs are at a negligible risk for discography-induced pain, at low pressure. In contrast, proponents have shown a different picture with reliability of discography when it is performed appropriately (684-688).

Derby et al (684) concluded that patients with "chemically sensitized" discs had significantly better outcomes following interbody/combined fusion versus posterolateral fusions. "Chemically sensitized" referred to those discs where in the static pressure, above opening static pressure, provoked familiar pain at least at less than 15 lbs. per square inch (PSI). They speculated that these discs present pathological discs that have undergone inflammatory sensitization of their annular nociceptors. Derby et al also performed pressure-controlled discography in 13 asymptomatic volunteers evaluating 43 discs (686); performed pressure-controlled discography in 16 volunteers without low back evaluating 55 discs and in 90 chronic low back pain patients evaluating 282 discs (687); and performed pressure-controlled discography in 86 chronic low back pain patients evaluating 279 discs (688). O'Neill and Kurgansky (685) also evaluated subgroups of patients with positive discography.

In 1968, Holt (666) reported false-positive discograms in 37% of an asymptomatic prison population in the lumbar spine. Simmons et al (667) reassessed Holt's data (666) and pointed out that discography as performed by Holt, although appropriate for its time, was quite different from discography as performed in 1988. Walsh et al (613), in a carefully controlled series of disc injections in asymptomatic volunteers, showed a 0% false-positive rate, refuting the findings of Holt (666).

It is noteworthy that provocative discography provided similar results in patients with or without somatization or combinations of the psychological triad of somatization disorder, depression, and generalized anxiety disorder (689). Over a three year period, Cohen et al (690) in a retrospective review of patients

with chronic low back pain, showed that prevalence of discogenic pain was 65% irrespective of whether the patients had prior back surgery or not. They also showed that needle insertion site does not affect the percentage of positive discogram results.

Calhoun et al (691) determined that lumbar discography has a sensitivity of 90.3% and false-negative rate of 9.7%, when surgical outcomes are used as the criterion standard. Pain provocation is most common with posterior annular tears. Outcome was 89% in those patients selected for provocative discography compared to those 52% for those selected by non-invasive imaging.

5.2.3 Indications

Much of the controversy about discography has arisen because the results of discography have been used to help decide whether a certain patient should or should not have surgery, even though patients have usually undergone other diagnostic tests, the results of which were either equivocal or non-diagnostic. Thus, discography should be performed only if the patient has failed to respond to adequate attempts at non-operative care, and if diagnostic tests such as MRI have not provided sufficient diagnostic information. Generally, discography should be viewed as an invasive test to be used to seek abnormalities when results from other tests are equivocal or inconsistent, in a patient with symptoms severe enough to require further evaluation (32,39,49). Thus, specific uses for discography include, but are not limited to:

- ◆ Further evaluation of demonstrably abnormal discs to help assess the extent of abnormality or correlation of the abnormality with clinical symptoms (in case of recurrent pain from a previously operated disc and a lateral disc herniation);
- ◆ Patients with persistent, severe symptoms in whom other diagnostic tests have failed to reveal clear confirmation of a suspected disc as the source of pain;
- ◆ Assessment of patients who have failed to respond to surgical procedures to determine if there is painful pseudoarthrosis or a symptomatic disc in a posteriorly fused segment, or to evaluate possible recurrent disc herniation;
- ◆ Assessment of discs before fusion to determine if the discs within the proposed fusion segment are symptomatic and to determine if discs adjacent to this segment are normal; and
- ◆ Assessment of minimally invasive surgical candidates to confirm a contained disc herniation or to

investigate contrast distribution pattern before intradiscal procedures.

Overall, discography is a useful imaging tool. Intradiscal pathology may be missed by other studies. A post-discography CT scan may provide useful, additional information, particularly to differentiate annular tears from annular disruption. Based on the present literature, discography can produce pain in patients with mild or chronic low back pain, with a chronic pain disorder, or with no pain at all. However, discography rarely provokes pain in morphologically normal discs. Annular tears, particularly those extending to the outer annulus, are often associated with discographically provoked pain. However, degenerative disc changes are not necessarily associated with pain provocation, whereas, progressive degeneration is associated with dissimilar and similar pain provocation.

Discography cannot predict future back pain problems or disability in asymptomatic patients. Discography should not be used as a screening test for employment. Patients with persistent back pain may have emotional and psychological problems which can influence future outcomes and response to therapy and the development of disability due to chronic back pain. In subjecting a patient to discography, false-positives may be reduced during discography, if only patients with normal psychomatic profiles and without other chronic pain syndromes or prior lumbar spine surgery are selected. However, in chronic pain this could be a major and difficult issue.

5.2.4 Prevalence

Prevalence of pain due to internal disc disruption was reported as 39% of patients suffering with chronic low back pain (367) in the United States and 7% (229) in Taiwan. In contrast, primary discogenic pain was reported in 26% of patients suffering with chronic low back pain in the United States (230). The prevalence of cervical discogenic pain in patients with chronic neck pain of traumatic origin in informal studies was estimated to be 20% (415). Prevalence of thoracic discogenic pain has not been studied.

5.2.5 Cost Effectiveness

There are no cost effectiveness studies of provocative discography available in the literature.

5.2.6 Evidence

The evidence for cervical and thoracic discography is limited. The evidence for lumbar discography is strong for discogenic pain provided that lumbar discography is performed based on the history, physi-

cal examination, imaging data, and analysis of other precision diagnostic techniques. There is no evidence to support discography without other non-invasive or less invasive modalities of treatments or other precision diagnostic injections.

5.2.7 Safety and Complications

Complications related to discography include discitis, subdural abscess, spinal cord injury, vascular injury, epidural and prevertebral abscess (665,692-706). Complications are less frequent with lumbar discography compared to cervical discography. Lack of permanent effects from discography has been reported (700-702). A review of lumbar discography and prophylactic antibiotics (703) concluded that with lumbar discography using a two-needle technique without prophylactic antibiotics, the risk of post discography discitis is minimal, and there is not enough support from the literature to justify the routine use of prophylactic antibiotics. They reported an overall incidence of 0.24% per patient and 0.091% per disc. However, other studies have shown effective prevention of discitis with intravenous cefazolin or vancomycin (704), and the combination of cefoperazone and sulbactam (705). The administration of intradiscal antibiotics accidentally into the intrathecal space can have significant complications (706).

5.3 Transforaminal Epidural Injections or Selective Nerve Root Blocks

Transforaminal epidural injection (modern nomenclature) or a selective nerve root block (old nomenclature) consists of injection of contrast, local anesthetic, or other substances around spinal nerves under fluoroscopy (1-4,33,46). Purists insist on describing them as 2 separate and distinct techniques. However, over the years authors have used them interchangeably. Consequently, we considered transforaminal epidural and selective nerve root blocks as the same procedure. Both the provocative response and analgesic response provide clinically useful information (707-709). The validity of provocative and analgesic spinal injections was recognized as early as 1938 (707). In 1971, the value of diagnostic, selective nerve root blocks in the preoperative evaluation of patients with negative or inconclusive imaging studies and clinical findings of root irritation was reported (708). Nerve blocks were utilized to diagnose the source of radicular pain when imaging studies suggested possible compression of several nerve roots (708,710-732). The

relief of usual symptoms following the injection of local anesthetic, 1 mL of 2% lidocaine, was the main determinant. Numerous authors (710-714,721-732) described results of diagnostic transforaminal epidural injections or selective nerve root blocks. The pattern of provoked symptoms from mechanical stimulation of nerve roots during selective nerve root blocks was described (713,722,724). The literature on diagnostic selective nerve root blocks in the evaluation of low back pain was analyzed with the conclusion that selective nerve root blocks provide important prognostic information about surgical outcomes (726).

5.3.1 Rationale

Diagnostic selective nerve block is typically performed in a patient with persistent pain when history, examination, imaging, and other precision diagnostic injections and electrophysiologic testing do not identify the pain generator.

5.3.2 Validity

The reported sensitivity of a diagnostic selective nerve root block ranges from 45% to 100% (554,710,711,714,718,725,727). The face validity of selective nerve root blocks may be accomplished by providing the blockade under fluoroscopic visualization utilizing contrast and small volume of local anesthetic with provocative and analgesic response. However, thus far, there are no means to eliminate false positives and establish construct validity for selective nerve root blocks. North et al (554), examined the specificity and sensitivity of a battery of local anesthetic blocks. They evaluated lumbosacral nerve root blocks, medial branch blocks, sciatic nerve blocks, and compared to lumbar subcutaneous injection of an identical volume of 3 mL of 0.5% bupivacaine. They showed that false-positive results were common and specificity was low. They concluded that there was only a limited role for uncontrolled local anesthetic blocks in the diagnostic evaluation of sciatica and referred pain syndromes in general.

The construct validity of selective nerve root blocks has not been established. As for facet joint block or sacroiliac joint blocks, and provocative discography, no standards have been established to eliminate false positive responses with transforaminal epidural injections. However, true-positive responses may be secured by performing controlled blocks with placebo injections of normal saline. Comparative local anesthetic blocks that have been shown to be val-

id in the diagnosis of facet joint pain have not been studied for transforaminal usage. The only study that compared a short acting local anesthetic (lidocaine) with a long acting local anesthetic (bupivacaine) in selective nerve root block used 2 test blocks in a random order to raise the validity of the block response (725). However, no differences in effect were found between lidocaine and bupivacaine. Significant false positive rates have been described with multiple other diagnostic interventional techniques involving facet joints, intervertebral discs, and sacroiliac joints. However, at present, there are no false positive with selective nerve root blocks if properly performed; the only outcome is either negative or positive. Further, multiple confounding factors of psychological issues and sedation have not been studied for selective nerve root blocks.

Thus, properly performed, controlled diagnostic selective nerve root blocks can be an effective technique in evaluating patients with multilevel pathology to help identify the pain generator. Similarly, they are useful when the location of symptoms seems to conflict with abnormalities identified with imaging findings (719,732) or when no other cause was found based on evaluation and application of precision diagnostic techniques.

5.3.3 Cost Effectiveness

Cost effectiveness of diagnostic transforaminal epidural injections or selective nerve root blocks has not been evaluated. However, the feasibility and cost-effectiveness of appropriately performed controlled comparative local anesthetic blocks has been described (229,587-589).

5.3.4 Evidence

The evidence is moderate for selective nerve root blocks in the preoperative evaluation of patients with negative or inconclusive imaging studies and clinical findings of nerve root irritation.

5.3.5 Safety and Complications

Complications are infrequent. However, the most common and worrisome complications of transforaminal epidural injections are related to dural puncture, infection, intravascular injection, air embolism, vascular trauma, particulate embolism, cerebral thrombosis, epidural hematoma, neural or spinal cord damage, and complications related to administration of steroids (733-760). Recent reports of paraplegia, vertebral artery dissection, neurological disorders, and death are concerning.

5.4 Sacroiliac Joint Blocks

The sacroiliac joint is accepted as a potential source of low back and/or buttock pain with or without lower extremity pain (436-456). Diagnostic blocks of a sacroiliac joint can be performed to determine whether the sacroiliac joint is the source of the patient's pain (229,230,460-465). The sacroiliac joint can be anesthetized with intraarticular injection of local anesthetic.

5.4.1 Rationale

The rationale for sacroiliac joint blocks for diagnosis is based upon the fact that sacroiliac joints are innervated and have been shown capable of being a source of low back pain and referred pain in the lower extremity (436-456). There are no definite historical, physical, or radiological features to provide accurate diagnosis of sacroiliac joint pain (229,230,436-440,460,461,465,562,761-786). Nevertheless, many authors (563,763-765,777-786) have advocated provocative maneuvers, which may enter into the differential diagnosis of sacroiliac joint pain. Multiple publications by Laslett et al (562,777,778) extensively evaluated the value of provocations tests, and they believed that multiple tests can provide appropriate diagnosis of sacroiliac joint pain or more importantly can rule it out. Various tests described by others and a systematic methodological review has been skeptical (786,787). In a study utilizing comparative, local anesthetic diagnostic intraarticular sacroiliac joint blocks to identify positive responders with chronic low back pain due to SI joint pain from non-responders, it was shown that there were no significant differences between responders and non-responders with regard to pain referral areas, while major differences between two groups were found using intensity maps; however, this study also showed correlation between the positive outcome of three or more provocative tests and the positive outcomes to the comparative local anesthetic blocks (781,783). In contrast, a review by Berthelot et al (785) assessed the literature on both provocative SI joint maneuvers as well as comparative local anesthetic intraarticular SI joint blocks, and concluded that both are unreliable for diagnosing pain originating from the SI joint. Many studies have evaluated the accuracy of plain films (766), computed tomography (767), single photon emission computed tomography (768), bone scans (769,770), nuclear imaging (771-774), and magnetic resonance imaging (775,776) with variable results.

5.4.2 Validity

The face validity of sacroiliac joint block has been established by injecting small volumes of local anesthetic with contrast into the joint and determining contrast spread in posterior, anterior and lateral radiographs. Construct validity of sacroiliac joint blocks has been established by determining the false-positive rate of single, uncontrolled, sacroiliac joint injections of 20% and 22% (230,461). False-positive responses may occur with extravasation of anesthetic agent out of the joint due to defects in the joint capsule (785). False-negative results may occur from faulty needle placement, intravascular injection or inability of the local anesthetic to reach the painful portion of the joint due to loculations (23,48,436-440,788-791).

5.4.3 Prevalence

Several authors have shown the sacroiliac joint to be a source of pain in 10% to 30% of cases by a single block (229,460) and 10% to 26.6% by a double block paradigm (230,461,462). In post fusion patients the prevalence was demonstrated to be 35% with single local anesthetic blocks (465). Table 5 shows description of prevalence studies of sacroiliac joint pain with a controlled design.

5.4.4 Cost Effectiveness

There are no studies evaluating the cost effectiveness of diagnostic sacroiliac joint blocks.

5.4.5 Evidence

The evidence for the accuracy of sacroiliac joint diagnostic injections is moderate for the diagnosis of sacroiliac joint pain.

5.4.6 Safety and Complications

Complications of sacroiliac joint injection include infection, trauma to the sciatic nerve, embolic phenomena, and complications related to drug administration.

6.0 THERAPEUTIC INTERVENTIONAL TECHNIQUES

Therapeutic interventional techniques in the management of chronic spinal pain include various types of neural blockade and minimally invasive surgical procedures. These include epidural injections, facet joint injections, neuroablation techniques, intradiscal therapies, percutaneous disc decompression, vertebral augmentation and implantables.

6.0.1 Rationale

The rationale for therapeutic interventional techniques in the spine is based upon the following considerations.

Table 5. Characteristics of reported prospective diagnostic studies evaluating prevalence

Study	Participants	Objective(s)	Interventions(s)	Result(s)
Maigne et al (461) AHRQ Score 3/5 QUADAS Score 10/14	54 patients aged 18-75 with chronic unilateral LBP with or without radiation to the posterior thigh for > 50 days (median 4.2 months). Patients had failed epidural or lumbar facet injections.	To determine the prevalence of sacroiliac joint pain in a selected population of patients with low back pain and assess certain pain provocation tests.	Successful blockade of the sacroiliac joint in 54 patients. A screening block was done with 2% lidocaine and a confirmatory block was performed with bupivacaine 0.5% > 75% relief was considered a positive block.	Prevalence = 18.5% False-positive rate = 20%
Manchikanti et al (230) AHRQ Score 4/5 QUADAS Score 11/14	120 patients (age 18-90) presenting to the clinic with > 6 months of low back pain and no structural basis for the pain by radiographic imaging. 20 patients were evaluated for SI joint pain.	To determine the frequency of various structures responsible for low back pain.	All patients had facet blocks. Nonresponders who fit criteria had double injection SIJ blocks. The screening block was done with 2% lidocaine and the confirmatory block was performed using 0.5% bupivacaine.	The incidence of SIJ pain was 2% of the overall sample and 10% of those suspected to have SIJ pain. The false-positive rate was 22%.
Irwin et al (462) AHRQ Score 3/5 QUADAS Score 7/14	158 patients underwent sacroiliac joint injections with average symptoms duration of 34 months. Patients failed conservative modalities prior to injection therapy.	To evaluate prevalence and correlation between age, gender, and body mass index by dual comparative local anesthetic blocks.	The fluoroscopically guided contrast-enhanced sacroiliac joint injections were performed initially with 2 mL of 2% lidocaine for the first injection, followed by 2 mL of 0.25% bupivacaine, a local anesthetic, for the confirmatory injection. A patient was required to have at least 70% reduction of familiar painful symptoms after the initial injection for 3 or 4 hours for positive response.	26.6% were found to have sacroiliac joint pain by dual injections.

- ◆ Chronic spinal pain is a complex problem (1-4,9-21,52-56,209-227).
- ◆ Cardinal source(s) of chronic spinal pain, particularly discs and joints, are accessible to neural blockade (1-4,11-42,46-51).
- ◆ Removal or correction of structural abnormalities of the spine may fail to cure and may worsen painful spinal conditions (1-4,41-44,64,227,463-489).
- ◆ Degenerative processes of the spine and the origin of spinal pain are complex without correlation of radiographic changes to clinical picture and prognosis (1-4,41-44,300-311,362-365,370,375,377,463-489,521,544,545,560-577,791-808).
- ◆ The effectiveness of a large variety of therapeutic interventions used to manage chronic spinal pain

has not been demonstrated conclusively (1-4,10-12,14,16,17,26,29,41-44,57-97,200-208,227,463-489,791-795).

- ◆ There is increasing evidence supporting use of interventional techniques in managing spinal pain (1-4,13,22-25,29-31,41,42,47,48,51,56).

6.0.2 Environment

The requirements for safe use of therapeutic interventions include a sterile operating room or a procedure room, appropriate monitoring equipment, radiological equipment, special instruments based on technique, sterile preparation with all the resuscitative equipment, needles, gowns, injectable drugs, intravenous fluids, anxiolytic medications, and trained personnel for preparation and monitoring of the

patients. Minimum requirements include history and physical examination, informed consent, appropriate documentation of the procedure.

6.0.3 Contraindications

Contraindications include ongoing bacterial infection, possible pregnancy, bleeding diathesis, and anticoagulant therapy. Precautions are warranted in patients with anticoagulant or antiplatelet therapy, diabetes mellitus and artificial heart valves (557-559).

6.1 Facet Joint Interventions

A preponderance of the evidence supports the existence of facet joint pain (15,30,34-36,50,51,128,129,232-313,808-820), although there are a few detractors (221-226,821,822). Based on a detailed review of the literature, the general consensus appears to be that facet joint pain can be diagnosed with reasonable certainty only on the basis of controlled diagnostic local anesthetic blocks (21,34-36,823-825). Therefore, assessment of the efficacy of interventional procedures for the treatment of facet joint pain requires that studies only employ controlled diagnostic medial branch blocks or intraarticular injections as selection criteria for such studies.

Facet joint pain may be managed by intraarticular injections, medial branch blocks, or neurolysis of medial branches (12-15,30,51).

Relief with intraarticular injections or medial branch blocks was considered as short-term if documented for less than 6 weeks, and long-term, if documented for 6 weeks or longer. Relief with medial branch neurotomy was considered short-term if it was less than 3 months, and long-term if it was 3 months or longer.

6.1.1 Intraarticular Blocks

Therapeutic benefit has been reported with the injection of corticosteroids, local anesthetics, or normal saline into the facet joints. The literature describing the effectiveness of these interventions is abundant. European guidelines for the management of chronic non-specific low back pain (56) described intraarticular facet joint injections as ineffective, reviewing the literature from January 1995 to November 2002. Boswell et al (30) concluded that intraarticular injections were ineffective on a long-term basis. Slipman et al (15) in a critical review determined that the evidence for the treatment of lumbar zygapophysial joint syndrome with intraarticular injections should be rated as level III (moderate) to IV (limited). Bogduk (823) in a narrative review suggested that intraarticular facet joint

injections were no better than placebo for chronic lumbar spine pain.

Six randomized clinical trials offer data on the use of intraarticular injections in the spine (826-831). Open, controlled and uncontrolled clinical studies that evaluated the long term relief from intraarticular facet joint injections are abundant (803,831-840). Table 6 illustrates published results.

The effectiveness of intraarticular corticosteroid lumbar facet joint injections (824,826,828-831) and cervical facet joint injections (827) was studied comparing the results to those of a similar group not receiving intraarticular steroids. Of these, 3 randomized trials, 1 by Carette et al (826) involving lumbar facet joint injections, a second study by Fuchs et al (831) and the third one by Barnsley et al (827) involving cervical facet joint injections were included. Even then, Carette et al (826) failed to exclude placebo responders, which may account for the relatively high incidence of patients in their study with presumed facet joint pain (58%), diluting the findings of true responses, making detection of differences between the study and control groups more difficult.

Fuchs et al (831) investigated the efficacy and safety of intraarticular sodium hyaluronate (SH) compared with intraarticular glucocorticoids (triamcinolone acetonide; TA) in the treatment of chronic nonradicular lumbar pain. They included 60 patients in this randomized, controlled, blind-observer clinical study and randomly assigned to 2 groups to receive 10 mg of SH or 10 mg TA per facet joint. The facet joints on both sides at level L3-4, L4-5, and L5-S1 were treated once per week under computed tomographic guidance. They used outcome parameters with visual analog scale, Roland Morris Questionnaire, Oswestry Disability Questionnaire, the Low Back Outcome Score, and the Short Form 36 questionnaire. The results showed significant pain relief, improved function and quality of life with both treatments; however, this study also showed intraarticular hyaluronic acid was not inferior to intraarticular glucocorticoid injections. The follow-up was carried out at 3 and 6 months after completion of treatment; thus, this was considered as a positive study for both hyaluronic acid and glucocorticoid injections. The drawbacks of this study include lack of diagnosis of facet joint pain by controlled local anesthetic blocks which may have increased the probability of inclusion of patients without facet joint pain.

Barnsley et al (827) included a small number of patients, a total of 41 patients, whose origin of neck

Table 6. Results of published reports of effectiveness of intraarticular injections of cervical and lumbar facet joints

Study	Study Characteristics	Methodological Quality Score(s)		No. of Patients	Initial Relief	Long-term Relief		Results	
		AHRQ Score(s)	Cochrane Score(s)		< 6 weeks	3 months	6 months	Short-term < 6 weeks	Long-term relief ≥ 6 weeks
Lumbar Spine									
Carette et al (826)	PC, RA, DB	10/10	10/10	C=50 T=51	33% vs 42%	NA	15% vs 42%	N	N
Fuchs et al (831)	RA, DB	9/10	9/10	60	SI	SI	SI	P	P
Schulte et al (834)	<i>p</i>	6/8	---	39	62%	41%	36%	9	N
Lynch and Taylor (838)	<i>p</i>	6/8	---	Extraarti.=15 Intraarti. =35	53% vs 89%	62%	56%	P	P
Murtagh (803)	<i>p</i>	6/8	---	100	NA	54%	54%	P	P
Desoutet et al (837)	<i>p</i>	6/8	---	54	54%	38%	38%	P	N
Lippit (839)	R	5/8	---	99	42%	51%	14%	N	N
Lau et al (840)	R	6/8	---	34	56%	44%	35%	P	N
Cervical Spine									
Barnsley et al (827)	RA, DB	10/10	9/10	41	50%	NA	NA	N	N
Kim et al (835)	<i>p</i>	4/8	---	60	SI	SI	SI	P	P
Folman et al (836)	<i>p</i>	4/8	---	30	SI	SI	NA	P	P

R = Retrospective; P = prospective; RA = randomized; PC = placebo controlled; DB = double blind; C = control; T = treatment; NA = not available; SI = significant improvement; P = positive; N = negative

pain was posttraumatic following whiplash. Consequently, these results, although from randomized trials, may not be applied across a heterogenous population.

Among the other 3 randomized trials, Marks et al (828) and Nash (829) compared the effects of intraarticular injections with medial branch blocks with a single injection, with only short-term evaluation. Lilius et al (830) used overly broad criteria for inclusion without confirming the diagnosis by controlled diagnostic blocks, and used excessive injectate volumes (3 mL to 8 mL) of active agents.

Both well-controlled trials of Carette et al (826) and Fuchs et al (831) were included in the lumbar evaluation. Carette et al (826) showed that 42% of the methylprednisolone group (20 patients), versus 33% of the saline group (16 patients) achieved significant relief at the 1-month follow-up. However, at 6-month follow-up, 46% of the patients in the methylprednisolone group compared to 15% of the patients in the saline group continued to experience marked pain relief, with a statistically significant difference. Fuchs et al (831) showed positive results both with intraarticular hyaluronic acid and glucocorticoid injections with in-

jections performed under fluoroscopy with excellent outcome parameters. The only drawback was the lack of diagnostic blocks and exclusion of patients with false-positive pain.

Barnsley et al (827) showed that the time to return to 50% of baseline pain was 3 days in the steroid group and 3.5 days in the local anesthetic group. Less than half of the patients reported relief of pain for more than 1 week, and fewer than 1 in 5 patients reported relief for more than 1 month, regardless of whether the injection was with steroids or local anesthetic.

Among the non-randomized trials, multiple observational studies were evaluated for inclusion. Among these, 6 prospective studies (803,834-838) and 2 retrospective evaluations (839,840) were included in evidence synthesis. Schulte et al (834) evaluated 39 patients with lumbar facet syndrome using a standardized protocol with injection of steroid, lidocaine and 5% phenol under fluoroscopic control, and evaluated on follow-up based on a specially designed questionnaire, which included McNab criteria, visual analogue scale, and pain disability index. They reported pain relief up to 6 months after treatment. The outcome was assessed excellent or good by 62% (24 patients) of the patients after one month, by 41% (16 patients) after 3 months, and by 36% (14 patients) after 6 months. They concluded that facet joint injection therapy using a standardized protocol is safe, effective, and easy to perform. Multiple disadvantages of this study include lack of appropriate diagnosis of facet joint pain by controlled local anesthetic blocks, including many false-positive patients and the injection of phenol along with steroid. Combinations of phenol and steroid have not been recommended since phenol is injected to induce inflammation whereas prednisolone suppresses the inflammation. However, the results were positive with 62% of the patients reporting excellent or good pain relief after 1 month with a single block which extended to 36% of the patients after 6 months. Lynch and Taylor (838) reported initial pain relief in 31 of 35 patients receiving intraarticular steroids, whereas 8 of 15 patients receiving extraarticular steroids reported initial pain relief. Long-term pain relief was reported in 62% at 3 months, and 56% at 6 months. Destouet et al (837) reported significant pain relief for 1 to 3 months in 54% of the patients and 3 to 6 months in 38% of the 54 patients. Murtagh (803) reported long-term relief of up to 6 months in 54% of the 100 patients. Among the retrospective evalu-

ations, Lippitt (839) reported greater than 50% relief initially in 42% of patients, which declined to 14% at 6 months and 8% at 12 months in 99 patients. Lau et al (840) also reported initial relief in 56% of the patients, which declined to 44% at 3 months, and 35% at 6 to 12 months. Thus, all the observational studies showed positive results.

Kim et al (835) classified patients with zygapophysial joint pain of C5/6 and C6/7 treated with therapeutic cervical zygapophysial joint injections with a mixture of 0.5 mL of 1% lidocaine with 5 mg of triamcinolone under fluoroscopy. The therapeutic effects were compared with reduction of numeric rating scale (NRS) of pain before and immediately after blockade and symptom-free periods after 12 months. The NRS scores decreased immediately after blockade and the symptom-free duration after blockade lasted longer in the herniated nucleus pulposus group with 11.3 ± 1.7 months than the other two groups; the myofascial pain syndrome group had relief for 3.2 ± 0.9 months, while the whiplash-associated disorders group had relief lasting for 3.0 ± 0.8 months. While it is difficult to understand the philosophy behind the longer and better response in the herniated nucleus pulposus group overall results showed that it was effective in all groups longer than 6 weeks.

Folman et al (836) prospectively evaluated the effectiveness of facet joint injections on chronic cervical pain. Their follow-up was limited to only 3 months. They reported relief of approximately 12 to 13 weeks in patients with chronic pain which was superior to the relief of 3 days as reported in patients similarly treated following whiplash neck injury. The study was excluded from the analysis as it failed to include false-positive patients, and failed to include outcome parameters and had only limited follow-up periods.

Among the observational studies Gorbach et al (833) and Shih et al (832) were excluded. Gorbach et al (833) performed the injections under fluoroscopy and reported good pain relief with a single injection however they failed to exclude false-positive patients and failed to include outcome parameters with a short-term follow-up. Basically they demonstrated that there was a positive immediate effect in 74% and a positive medium-term effect in 33% of the patients. They also postulated that outcome depended on clinical, not on morphologic or imaging findings. Shih et al (832) evaluated a large number of patients (277 patients) and included bilateral injections in 117 patients. They performed procedures under fluoros-

copy with arthrography and provided follow-up at 1, 3, 6 and 12 weeks. They reported excellent or good response in 73.6% of the patients in the treatment group, while the control group showed improvement in only 26.4% of the patients. However the relief declined from 72.1% after 3 weeks in the study group to 40.7% after 6 weeks, and 31.4% after 12 weeks. They also showed synovial cysts in 9% of the patients of whom 92% responded well. Three of the patients had contrast medium extravasating into the epidural space during injection. The study was excluded due to short-term follow-up and lack of exclusion of false-positives and lack of functional assessment parameters.

6.1.1.1 Cost Effectiveness

No studies were performed evaluating cost effectiveness of therapeutic intraarticular facet joint injections.

6.1.1.2 Evidence

For intraarticular injections of local anesthetics and steroids, there is moderate evidence for short and long-term improvement in managing low back pain and the evidence is limited for short and long-term relief in the management of neck pain.

6.1.2 Medial Branch Blocks

Therapeutic benefit has been reported with medial branch blocks with local anesthetic and local anesthetic and steroids. The literature describing the effectiveness of medial branch blocks as a therapeutic intervention is scarce. A single systematic review (30) and evidence-based guidelines (1,2) evaluated the effectiveness of medial branch blocks in the management of chronic spinal pain as a therapeutic entity. The European guidelines for the management of chronic non-specific low back pain by Airaksinen et al (56) showed no significant effectiveness of medial branch blocks however the literature search was limited from January 1995 through November 2002, even though guidelines were published in 2006.

The therapeutic role of medial branch blocks was evaluated in 6 randomized clinical trials (828,829,841-844) and 2 prospective controlled trials (845,846). Table 7 shows details of the included studies.

Among the randomized trials, Marks et al (828) and Nash (829) compared the effectiveness of intraarticular injections and medial branch blocks with one injection, without any long-term follow-up. Manchikanti et al (842) compared the effect of Sarapin on various types of nerve blocks including epidurals and medial branch blocks in a random manner. However, this was not a specific study of effectiveness of

medial branch blocks. Thus, 3 (828,829,842) of 6 studies were excluded. The three remaining studies met inclusion criteria (841,843,844).

Manchikanti et al (841) evaluated 73 patients for lumbar facet joint pain by means of controlled, comparative local anesthetic blocks. They randomly allocated patients into 2 groups, either receiving therapeutic medial branch blocks with a local anesthetic and Sarapin or with a mixture of local anesthetic, Sarapin and methylprednisolone. Significant improvement was documented in both groups in various parameters of pain relief, functional status, opioid intake, return to work, and psychological status. Significant pain relief was seen with 1 to 3 injections in 100% of the patients up to 1 to 3 months, 82% of the patients for 4 to 6 months, and 21% for 7 to 12 months. The mean relief was 6.5 ± 0.76 months.

Manchikanti et al (843) evaluated effectiveness of therapeutic medial branch blocks in managing chronic neck pain in a preliminary report of a double-blind, randomized controlled trial. They reported data from a total of 60 patients, with 15 patients in each of the 4 groups. Thirty patients were in a non-steroid group and additional 30 patients were in a steroid group. All of the patients met the diagnostic criteria of cervical facet joint pain by means of comparative, controlled diagnostic blocks. Four types of interventions were included. Group I served as control, receiving medial branch blocks using bupivacaine. Group II consisted of cervical medial branch blocks with bupivacaine and Sarapin. Group III consisted of cervical medial branch blocks with bupivacaine and betamethasone. Group IV consisted of cervical medial branch blocks with bupivacaine, Sarapin and betamethasone. Significant pain relief was defined as 50% or greater improvement in pain, and functional status improvement was evaluated by Neck Pain Disability Index, which improved significantly at 3 months, 6 months and 12 months in 80%–87%, 80%–93%, and 87%–93% of patients respectively. The average number of treatments for one year was 3.8 ± 0.7 in the non-steroid group and 3.4 ± 1.0 in the steroid group. Duration of average pain relief with each procedure was 13.4 ± 3.5 weeks in the non-steroid group, and it was 15.9 ± 8.0 weeks in the steroid group with no significant difference among the groups. Clinically significant return-to-work was seen, which was statistically insignificant. This study showed that therapeutic cervical medial branch nerve blocks, with or without Sarapin or steroids, may provide effective management for chronic neck pain of facet joint origin.

Manchikanti et al (844) evaluated effectiveness of therapeutic lumbar facet joint nerve blocks in managing chronic low back pain in a preliminary report of a prospective, randomized, double-blind trial. They reported data from a total of 60 patients, with 15 patients in each of the 4 groups. Thirty patients were in a non-steroid group and additional 30 patients were in a steroid group. All of the patients met the diagnostic criteria of lumbar facet joint pain by means of comparative, controlled diagnostic blocks. Four types of interventions were included. Group I served as control, receiving lumbar facet joint nerve blocks using bupivacaine. Group II consisted of lumbar facet joint nerve blocks with bupivacaine and Sarapin. Group III consisted of lumbar facet joint nerve blocks with bupivacaine and betamethasone. Group IV consisted of lumbar facet joint nerve blocks with bupivacaine, Sarapin and betamethasone. Significant pain relief was defined as 50% or greater decrease in pain and functional status improvement was evaluated by Oswestry Disability Index, which improved significantly at 3 months, 6 months and 12 months in 73%–87%, 87%–93%, and 73%–93% of patients respectively. The average number of treat-

ments for one year was 3.7 with no significant differences among the groups. Duration of average pain relief with each procedure was 14.8 ± 7.9 weeks in the non-steroid group, and it was 12.5 ± 3.3 weeks in the steroid group with no significant difference among the groups. Clinically significant return-to-work was seen, which was statistically insignificant. This study showed that therapeutic lumbar medial branch nerve blocks, with or without Sarapin or steroids, may provide effective management for chronic low back pain of facet joint origin.

Manchikanti et al (845) evaluated the therapeutic effectiveness of cervical facet joint nerve blocks in chronic neck pain in a prospective outcome study. They evaluated 100 consecutive patients meeting the diagnostic criteria of facet joint pain by means of comparative, controlled diagnostic blocks. There were significant differences in numeric pain scores and pain relief (> 50%) at 3 months (92%), 6 months (82%), and 12 months (56%) compared to baseline measurements. There was significant improvement in functional status, psychological status and employment among patients eligible for employment (employed and unemployed) from baseline to 12 months.

Table 7. Results of published reports of effectiveness of cervical, thoracic and lumbar medial branch blocks

Study	Study Characteristics	Methodological Quality Score(s)		No. of Patients	Initial Relief	Long-term Relief		Results	
		AHRQ Score(s)	Cochrane Score(s)		< 6 weeks	3 months	6 months	Short-term relief < 6 weeks	Long-term relief ≥ 6 weeks
CERVICAL									
Manchikanti et al (843)	RA, DB	7/10	10/10	60	80%-87%	80%-87%	80%-93%	P	P
Manchikanti et al (845)	P	8/8	---	100	92%	92%	82%	P	P
THORACIC									
Manchikanti et al (846)	P	8/10	---	55	71%	71%	71%	P	P
LUMBAR									
Manchikanti et al (844)	RA, DB	8/10	8/10	60	73%-87%	73%-87%	87%-93%	P	P
Manchikanti et al (841)	RA	8/10	6/10	73	100%	100	82%	P	P

P = prospective; RA = randomized; DB = Double-blind; P = positive

Manchikanti et al (846) in a prospective outcome study with minimum of one-year follow-up, evaluated therapeutic role of thoracic medial branch blocks in managing chronic thoracic pain. Fifty-five consecutive patients meeting the diagnostic criteria of thoracic facet joint pain by means of comparative, controlled diagnostic blocks were included. Medial branch blocks were performed with local anesthetic with or without steroids. The results showed significant differences in numeric pain scores and significant pain relief (>50%) in 71% of the patient at 3 months and 6 months, 76% at 12 months, 71% at 24 months and 69% at 36 months, compared to baseline measurements. Functional improvement was demonstrated at 1 year, 2 years and 3 years from baseline. There was significant improvement with increase in employment among the patients eligible for employment from baseline to 1 year, 2 years, and 3 years in conjunction with improved psychological function.

6.1.2.1 Cost Effectiveness

The cost effectiveness of lumbar facet joint nerve blocks was evaluated by Manchikanti et al (841) with 1-year improvement of quality of life at \$3,461. The cost of one-year improvement was similar to various investigations with neural blockade, but also was significantly better than the cost-effectiveness with intrathecal morphine delivery or lumbar laminectomy, with or without instrumented fusion.

6.1.2.2 Evidence

The evidence for lumbar, cervical, and thoracic medial branch blocks in managing chronic low back, neck, mid back and upper back pain is moderate for short-term and long-term pain relief.

6.1.3 Medial Branch Neurotomy

Percutaneous neurotomy of medial branches is a procedure that offers pain relief by denervation of the nerves that innervate a painful joint. The denervation may be performed by radiofrequency thermoneurolysis utilizing a thermal or pulsed mode, cryoneurolysis, or laser denervation.

There have been 5 systematic reviews of medial branch radiofrequency neurotomy (12-15,30) and there also have been multiple guidelines (3,4,56). However, there have not been any significant publications or systematic reviews with pulsed radiofrequency, cryoneurolysis, and laser neurotomy.

Geurts et al (12) concluded that there was moderate evidence that radiofrequency lumbar facet denervation was more effective for chronic low back pain than placebo, and there was only limited evidence ex-

istent for effectiveness of radiofrequency neurotomy for chronic cervical zygapophysial joint pain after flexion/extension injury. Manchikanti et al (13), concluded that there was strong evidence for short-term relief and moderate evidence for long-term relief of facet joint pain. Niemesto et al (14), within the framework of the Cochrane Collaboration Back Review Group, concluded that there was limited evidence that radiofrequency denervation had a positive short-term effect on chronic cervical zygapophysial joint pain, and a conflicting short-term effect on chronic low back pain. In the European Guidelines for the management of chronic non-specific low back pain (56) utilizing the literature through 2002 published in 2006, they concluded that there is conflicting evidence that radiofrequency denervation of the facet joints is more successful than placebo for eliciting short-term or long-term improvements in pain or functional disability in mechanical chronic low back pain (Level C evidence). However they also concluded that there is limited evidence that intraarticular denervation of the facet joints is more effective than extra-articular denervation. Further, they concluded that proper selection of patients with successful diagnostic blocks and an optimal technique may be important to achieve better results however they also denied the recommendation of radiofrequency facet denervation for patients with non-specific chronic low back pain. In a health technology assessment by Canadian Agency for Drugs and Technologies in Health by Murtagh and Foerster (62) an evaluation of radiofrequency neurotomy for lumbar pain, utilizing the modern evidence concluded that the procedure continues to be an emerging technology, with some studies suggesting it is efficacious, but procedural and other methodological shortcomings rendering much of this research inconclusive. They also added that the most rigorous assessment to date suggests that meticulous attention to diagnosis and treatment may generate positive results. Slipman et al (15) concluded that current studies failed to give more than sparse evidence to support the use of interventional techniques in the treatment of lumbar zygapophysial joint pain and the evidence for radiofrequency denervation was at Level 3 or moderate.

The systematic reviews by Manchikanti et al (13) and Boswell et al (30) evaluated the effectiveness of facet joint neurotomy utilizing the criteria established by Agency for Health Care Research and Quality (AHRQ) for evaluation of randomized and non-randomized trials and Cochrane Musculoskeletal Review

Group for randomized trials. They concluded that the evidence for pain relief with radiofrequency neurotomy of medial branch nerves was moderate to strong in cervical and lumbar spine. Slipman et al (15) utilized the criteria by AHRQ. Thus, the systematic reviews by Manchikanti et al (13), Slipman et al (15), and Boswell et al (30) met inclusion criteria.

Due to several deficiencies, 2 systematic reviews (12,14) were excluded from evidence synthesis.

The therapeutic role of medial branch neurotomy was evaluated in 8 randomized trials (847-854), and in 18 observational studies (855-872). Two randomized trials (847,848) and 15 observational studies (855-869) were included in evidence synthesis. Six of 8 randomized trials were excluded because of inappropriate inclusion criteria, inappropriate diagnostic evaluation, inappropriate interventions, or inadequate follow-up. Fifteen of 18 observational studies were included in evidence synthesis. Results of various studies included in evidence synthesis are illustrated in Table 8 showing the results of cervical medial branch neurotomy, in Table 9 showing the results of lumbar medial branch neurotomy and Table 10 showing thoracic medial branch neurotomy.

Lord et al (847) evaluated percutaneous radiofrequency neurotomy in patients with cervical facet joint pain with controlled local anesthetic blocks, in a double-blind, placebo-controlled trial. The results showed that the median time that elapsed before the pain returned to at least 50% of the preoperative level was 263 days in the active treatment group and 8 days in the control group. The authors concluded that in patients with chronic cervical facet joint pain, percutaneous radiofrequency neurotomy with multiple lesions of target nerves can provide long lasting relief. In the second study, Van Kleef et al (848) showed that after 3, 6, and 12 months, the number of successes in the lesion and sham groups was 9 and 4, 7 and 3, and 7 and 2, respectively. These results demonstrated that radiofrequency denervation of the lumbar facet joints can be effective for pain reduction in patients with lumbar facet joint pain.

Haspelslagh et al (853) in a randomized controlled trial of cervical radiofrequency lesions as a treatment for cervicogenic headache evaluated 30 patients with cervicogenic headache according to Sjaastad diagnostic criteria, with 15 patients receiving a sequence of radiofrequency treatments with cervical facet joint denervation followed by cervical dorsal root ganglion lesions when necessary, and other 15 patients under-

going local injections with steroid and anesthetic at the greater occipital nerve, followed by transcutaneous electrical nerve stimulation when necessary. There were no statistically significant differences between the two treatment groups at any time point in the trial. They concluded that they did not find evidence that radiofrequency treatment of cervical facet joints and upper dorsal root ganglions is a better treatment than infiltration of the greater occipital nerve, followed by TENS for patients fulfilling the clinical criteria of cervicogenic headache. This study was totally flawed not only in the diagnosis but also in the application of technique. Authors claim that they developed a sequence of various cervical radiofrequency neurotomies that proved successful in a prospective pilot trial with 15 chronic headache patients. Their diagnosis was not established by controlled diagnostic blocks; in addition, the treatments were targeting 2 different structures, namely cervical facet joints and dorsal root ganglion compared to occipital nerves. Thus, this study was excluded from evidence synthesis.

Van Wijk et al (854) in a study which sounds elegant and technically competent, described radiofrequency denervation of lumbar facet joints in the treatment of chronic low back pain: a randomized, double-blind, sham lesion-controlled trial evaluated a total of 81 out of 462 patients randomly assigned to radiofrequency denervation or sham treatment. Multiple outcome measures were used. They concluded that the combined outcome measure and VAS showed no difference between radiofrequency and sham, though in both groups, significant VAS improvements occurred. However, the global perceived effect was in favor of radiofrequency. They also concluded that in selected patients, radiofrequency facet denervation appears to be more effective than sham treatment. This study has numerous deficiencies, such as criteria for inclusion which consisted of a single diagnostic block and only 50% relief on visual analog scale reduction from the diagnostic block, which will not eliminate false-positives. There also has been criticism regarding technical aspects of this procedure (873,874). It has been compared with a study by Dreyfuss et al (865) which was meticulously performed. Other randomized trials were also excluded as they failed to meet inclusion or methodological criteria. The study by Leclaire et al (851), on the surface appeared to be an elegant, well-performed, double-blind, placebo-controlled trial, similar to the one by Van Wijk et al

(854). However this study had numerous deficiencies, as it failed to define the study population using appropriate diagnostic criteria which was a fatal error. Patients were evaluated with a single diagnostic block. These authors considered any pain relief of 1 day duration during a 7-day period following a single diagnostic block. This type of pain relief may be as a result of many, many factors including natural sequence; consequently, any results or conclusions based on this study would be erroneous (875,876). Thus, it was excluded from the analysis. A study by Gallagher et al (849) was not included because it used the invalidated Shealy technique, and failed to describe appropriate diagnostic techniques and outcome analysis. It is also unclear whether these interventions were performed with or without fluoroscopy. Two other studies were excluded from inclusion and evidence synthesis: one study used intraarticular facet joint denervation (850), which is not medial branch neurotomy and is of unclear clinical relevance, while another study (852) compared

reproducibility of lesion size of 2 current radiofrequency techniques.

Multiple non-randomized evaluations were included in the evidence synthesis. Staender et al (858) performed a computerized tomography-guided cryoneurolysis in 76 patients with lumbar facet joint syndrome. In this prospective evaluation a diagnosis was established after 3 positive CT-guided medial branch nerve blocks. Outcome measurements which included visual analog score, use of medication, ability to work and physical conditions was evaluated at 3 days, 3 months and every 3 months thereafter, with a median follow-up period of 22.5 months ranging from 6 to 43 months. They reported significant reductions in the VAS at 3 months and 6 months postoperatively. In 40% of patients pain was reduced for 12 months or longer, 18 patients underwent a second, 7 a third, and 1 a fourth cryorhizotomy. Birkenmaier et al (857) evaluated cryoneurolysis for lumbar facet pain diagnosed with positive medial branch local anesthetic blocks. In this prospective evaluation, the outcome

Table 8. Characteristics of results of studies of cervical medial branch neurotomy

Study	Study Characteristics	Methodological Quality Score(s)		No. of Patients	Initial Relief < 3 months	Long-term Relief		Results	
		AHRQ Score(s)	Cochrane Score(s)			6 mos.	12 mos	Short-term relief < 3 months	Long-term relief ≥ 3 months
Lord et al (847)	PC, RA, DB	9/10	9/10	LA=12 RFTN=12	50% vs 67%	8% vs 58%	8% vs 58%	P	P
Barnsley (855)	P	7/8	---	35	74%	74%	74%	P	P
McDonald et al (862)	P	7/8	---	28	71%	71%	71%	P	P
Sapir and Gorup (861)	P	7/8	---	46	NA	NA	NA	P	P
Shin et al (856)	P	7/8	---	28	68%	68%	68%	P	P
Schaerer (864)	R	5/8	---	117	NA	NA	68%	N	N
Tzaan and Tasker (863)	R	5/8	---	90	NA	41%	NA	N	N

R = Retrospective; P = prospective; RA = randomized; PC = placebo controlled; DB = double blind; LA = local anesthetic; RFTN = radiofrequency thermoneurolysis; NA = not available; P = positive; N = negative

Table 9. Characteristics of results of studies of lumbar medial branch neurotomy

Study	Study Characteristics	Methodological Quality Score(s)		No. of Patients	Initial Relief < 3 mos.	Long-term Relief		Results	
		AHRQ Score(s)	Cochrane Score(s)			6 mos.	12 mos.	Short-term relief < 3 mos.	Long-term relief ≥ 3 months
Van Kleef et al (848)	PC, RA, DB	9/10	7/10	C=16T=15	38% vs 67%	19% vs 47%	13% vs 47%	P	P
Dreyfuss et al (865)	P	8/8	---	15	93%	87%	87%	P	P
Mogalles et al (859)	P	6/8	---	15	93%	93%	93%	P	P
Vad et al (867)	P	8/8	---	12	83%	83%	83%	P	P
Schofferman and Kine (866)	R	5/8	---	20	85%	85%	85%	P	P
Schaerer (864)	R	5/8	---	117	NA	NA	68%	N	N
Tzaan and Tasker (863)	R	5/8	---	90	NA	41%	NA	N	N
North et al (868)	R	6/8	---	42	45%	45%	45%	N	N
Birkenmaier et al (857)	P	5/8	---	46	72%	57%	57%	P	P
Staender et al (858)	P	5/8	---	76	40%	40%	40%	P	P
Martinez-Suarez et al (860)	R	4/8	---	252	75%	75%	75%	P	P

R = Retrospective; P = prospective; RA = randomized; PC = placebo controlled; DB = double blind; NA = not available; P = positive; N = negative

Table 10. Characteristics of results of studies of thoracic medial branch neurotomy

Study	Study Characteristics	AHRQ Methodological Quality Score(s)	No. of Patients	Initial Relief < 3 month	Long-term Relief		Results	
					6 months	12 months	Short-term relief < 3 months	Long-term relief ≥ 3 months
Stolker et al (869)	P	5/8	40	83%	83%	83%	P	P
Tzaan and Tasker (863)	R	5/8	90	NA	41%	NA	N	N

R = Retrospective; P = prospective; NA = not available; P = positive; N = negative

parameters were low back pain improvement, improved activity and overall satisfaction, with determination of outcomes at 6 weeks, 3, 6, and 12 months. They reported significant improvement in low back pain (>50%) achieved in 72% of patients at 6 weeks; 70% at 3 months, and 57% at 12 months. Thus, only one study (857) evaluating cryoneurolysis has shown positive results. This was only a prospective study and there have not been any randomized studies evaluating cryoneurolysis thus far. Further, this was evaluated only in the lumbar spine.

Mogalles et al (859) evaluated percutaneous laser denervation of the zygapophysial joints in the facet pain syndrome. This prospective evaluation included percutaneous laser denervation in 15 patients in whom the facet joint pain was confirmed by 2 diagnostic blocks. Of the 15 patients undergoing laser denervation, 8 experienced complete relief and 6 experienced more than 50% pain relief. They concluded laser denervation is an effective treatment; however this appears to be the first published study on laser denervation in a prospective study. Though selection criteria was appropriate, there were no appropriate outcome parameters and the results have not been substantiated in a randomized double-blind trial.

Barnsley (855) evaluated the results of radiofrequency for cervical facet joint pain as applied in usual clinical practice. He included the diagnosis of cervical facet joint pain made with comparative local anesthetic blocks. The primary outcome was duration of complete pain relief for all consecutive procedures performed over a 2-year period. Of the 35 patients included in the study, 60% of patients obtained complete pain relief for a duration in excess of 35 weeks. McDonald et al (862) determined the long-term efficacy of percutaneous radiofrequency medial branch neurotomy in the treatment of chronic neck pain in 28 patients diagnosed as having cervical zygapophysial joint pain, on the basis of controlled diagnostic blocks. They reported complete relief of pain in 71% of patients after an initial procedure. The median duration of relief after a first procedure was 219 days when failures were included, but 422 days when only the successes were considered. Dreyfuss et al (865) described lumbar facet joint radiofrequency neurotomy in 15 patients utilizing strict criteria and procedural considerations, and noted 60% of the patients were improved at 1 year. Sapir and Gorup (861) studied 46 patients reporting overall reduction in cervical whiplash symptoms and visual analog pain scores in a signif-

icant proportion of patients at 1 year in both litigant and non-litigant patients. Vad et al (867) described the role of lumbar radiofrequency denervation in baseball pitchers. They reported a median pain relief of 1.3 years (range: 1 to 2.1 years) and improved function in 83%, or 10 of 12 patients. Stolker et al (869) studied thoracic facet joint neurolysis in 40 patients and reported positive results, with 47.5% of the patients being pain-free and an additional 35% having relief greater than 50% at 2-months follow-up. After a follow-up of 18 to 54 months, they reported 83% of the patients with greater than 50% pain relief.

A prospective study by Shin et al (856) evaluated the role of radiofrequency neurotomy of cervical medial branches for chronic cervicobrachialgia, radiating in typical facet joint patterns to the neck and shoulder. Twenty-eight patients with facet joint pain were identified with comparative local anesthetic blocks and subsequently underwent radiofrequency neurolysis. The primary outcome was pain relief at 1, 2, 3, 6, 9, and 12 months. Sixty-eight percent of patients had a successful outcome (> 50% pain relief) after 6 months of follow-up; 29% reported complete pain relief.

Among the retrospective evaluations, Martinez-Suarez et al (860) evaluated 252 patients with diagnosis of lumbar facet joint pain. They reported effectiveness in 74.7% of cases. Schofferman and Kine (866), in a chart review of 20 patients, reported 10.5 months of mean relief (range: 4–9 months) following lumbar radiofrequency neurotomy. Tzaan and Tasker (863) evaluated 118 consecutive percutaneous radiofrequency facet rhizotomies performed on 90 patients. They reported that with the first procedure, 41% of patients had greater than 50% subjective reduction of pain. The study included cervical, thoracic and lumbosacral facets. North et al (868) evaluated radiofrequency lumbar facet denervation with long-term outcome assessment by a disinterested third party interview. Forty-five percent of patients undergoing denervation reported at least 50% relief of pain at long-term follow-up. Schaerer (864) evaluated radiofrequency facet rhizotomy in 117 consecutive patients with chronic neck and low back pain and reported that overall results were fair to excellent in 68% of patients, with an average follow-up of 13.7 months.

The effectiveness of pulsed radiofrequency was evaluated in 2 studies (870,871). Mikeladze et al (870) retrospectively evaluated 114 patients with clinical signs of facet joint involvement and a favorable response to a diagnostic medial branch block utilizing

local anesthetic. With application of pulsed radiofrequency they reported that of 114 patients with positive response to diagnostic block, 46 patients did not respond favorably to pulsed radiofrequency application. They reported success in 68 patients, with average relief lasting 3.93 ± 1.86 months. Eighteen patients also had the procedure repeated with the same duration of pain relief that was achieved initially. Previous surgery, duration of pain, sex, spinal levels (either cervical or lumbar), and stimulation levels did not influence outcomes. This study is not only preliminary but also had many flaws. They applied a single diagnostic block, which is subject to multiple false-positives. In a sense, 46 patients who did not respond may represent the false positives themselves. Further, appropriate outcome parameters were not applied. Lindner et al (871) also evaluated pulsed radiofrequency treatment of the lumbar medial branch for facet pain, the results of which were reported in 48 patients. Authors offered the pulsed radiofrequency treatment to patients who did not respond with conventional radiofrequency heat lesioning. They defined a successful outcome as a greater than 60% improvement on the numeric rating scale at 4-month follow-up. The successful outcome was established in 21 of 29 non-operative patients and 5 of 19 operative patients. In the unsuccessful patients who were subsequently treated with heat lesions, the success rate was 1 of 6. While this study did not compare heat lesioning directly to pulsed radiofrequency, it appears that patients failed to respond to heat lesioning when they failed to respond to pulsed radiofrequency. This may confirm a proposed neuropathic component in facet joint pain, with patients responding better to medial branch nerve blocks (albeit for a shorter period) than radiofrequency. Overall the evidence for pulsed radiofrequency is very preliminary and indeterminate.

6.1.3.1 Cost Effectiveness

No cost effectiveness evaluations were performed with medial branch neurotomy.

6.1.3.2 Evidence

Evidence for radiofrequency neurotomy of medial branch of cervical spine utilizing the techniques described by Lord et al (847), McDonald et al (862) and Barnsley (855) with multiple lesioning and strict criteria of 100% pain relief with diagnostic blocks, a tedious and time consuming procedure as described by Bogduk (877), is strong for short and long-term relief of cervical facet joint pain.

Utilizing traditional radiofrequency neurotomy techniques as practiced in the United States in the cervical and lumbar region, the evidence for radiofrequency neurotomy of medial branches is strong for short-term and moderate for long-term relief. Evidence for cryo denervation, and pulsed radiofrequency is indeterminate.

6.1.4 Safety and Complications

Complications are rare. Among them, the most common and worrisome complications of facet joint interventions are related to needle placement, drug administration, and neurolysis (1-4,30,34-36,50,51,590-610,699,878-885). Complications include dural puncture, spinal cord trauma, infection, intraarterial or intravenous injection, spinal anesthesia, chemical meningitis, neural trauma, pneumothorax, radiation exposure, facet capsule rupture, hematoma formation, and steroid side effects. In addition, potential side effects with radiofrequency denervation include painful cutaneous dysesthesias, increased pain due to neuritis or neurogenic inflammation, anesthesia dolorosa, cutaneous hyperesthesia, pneumothorax and deafferentation pain.

6.2 Epidural Injections

Several approaches are available to access the lumbar epidural space: caudal, interlaminar, and transforaminal (1-4,24,25,45,886). There are substantial differences between the 3 approaches. The interlaminar entry is directed more closely to the assumed site of pathology, requiring less volume than the caudal route. The caudal entry is relatively easily achieved, with minimal risk of inadvertent dural puncture. The transforaminal approach is target specific with smallest volume, fulfilling the aim of reaching the primary site of pathology; the ventrolateral epidural space.

Due to the inherent variations, differences, advantages, and disadvantages applicable to each technique (including the effectiveness and outcomes), caudal epidural injections; interlaminar epidural injections (cervical, thoracic, and lumbar epidural injections); and transforaminal epidural injections (cervical, thoracic, and lumbosacral) are considered as separate entities within epidural injections and are discussed as such below.

In this evaluation, we considered all relevant quality systematic reviews (11,24-26,28,886-888) along with randomized, and non-randomized trials for each category, including caudal, interlaminar, and transfo-

raminal epidural injections. Short-term effect was defined as a significant relief of less than 6 weeks and long-term effect was defined as 6 weeks or longer relief.

Of particular importance are the European guidelines for the management of chronic non-specific low back pain (56). They included 4 high quality systematic reviews (11,26,887,888); however they included studies only through 2002 even though the guidelines were published in 2006. They did, however, include a 2004 systematic review. Based on the available literature at the time and also not separating the types of epidurals (caudal, interlaminar and transforaminal) they summarized the evidence as no evidence for the effectiveness of epidural corticosteroids in patients with non-radicular, non-specific low back pain. However, they also concluded the epidural corticosteroid injections should only be considered for radicular pain, if a contained disc prolapse is the cause of the pain and if the corticosteroid is injected close to the target. Further, they added that the injection should be fluoroscopically guided and should aim at the ventral part of the epidural space, meaning a transforaminal approach. They also concluded that there was conflicting evidence that conventional epidural steroids without fluoroscopic guidance are effective in radicular pain. The general consensus from the systematic reviews (11,26,28,887,888) is that there is conflicting evidence for the effectiveness of epidural and perineural corticosteroid injections for radicular pain (Level C) (56). However, Abdi et al (24), Boswell et al (25) and Bogduk et al (886), utilizing separate though more stringent criteria, separating interlaminar, caudal and transforaminal injections, have arrived at different conclusions. These reviewers demonstrated the effectiveness of transforaminal and caudal epidural injections and ineffectiveness of interlaminar epidural injections in managing lumbar pain. Boswell et al (25) and Abdi et al (24) have shown moderate effectiveness of cervical interlaminar epidural injections.

6.2.1 Caudal Epidural Injections

Several systematic reviews have evaluated the effectiveness of epidural steroids in general and interlaminar epidural steroids in particular (11,24-26,28,886-888). While all the reviews included caudal epidural steroid injections, some failed to separate caudal and interlaminar techniques, arriving at erroneous conclusions (11,28,887,888). Of importance are systematic reviews performed by Nelemans et al (11),

Koes et al (26), Van Tulder et al (28), and guidelines by Airaksinen et al (56). All these studies included essentially the same criteria as well as the same studies, uniformly arriving at inaccurate conclusions. In contrast, Abdi et al (24) and Boswell et al (25) in a systematic review and Bogduk et al (886) in a comprehensive review, evaluated caudal epidural steroid injections as a separate procedure, reaching opposite conclusions. They concluded that the effectiveness of caudal epidural injections in managing lumbar radiculopathy was moderate.

Among the multitude of trials, there were 11 randomized trials (889-899), 5 prospective evaluations (900-904), and many retrospective evaluations (886,905-907). The results of published reports of the randomized trials and prospective trials of caudal epidurals utilized in evidence synthesis and guideline preparation are shown in Table 11.

Of the 11 randomized trials, 3 studies were excluded (897,898,899) from evidence synthesis, due to non-availability of analyzable information (898), due to lack of data at 3 months (897), and due to lack of appropriate data and nonuse of fluoroscopy in 2005 (899). Of the 8 randomized trials, 6 trials evaluated predominantly patients with disc herniation or radiculitis (889,891,894-896,899), 2 trials evaluated post surgery syndrome (892,893), 1 study (899) evaluated a mixed population with 1 half with post surgery syndrome and the other half with sciatica, and 1 study (891) evaluated similarities between interlaminar and caudal. Four of the 6 trials of disc herniation or radicular pain were positive for long-term relief (889,894,896,899), whereas, only one of the 2 trials (892) for post surgery syndrome was positive for short-term relief. The study of a mixed population (899) was positive for long-term relief. Thus, overall 4 of 6 studies were positive for pain of disc herniation and radiculopathy, and 1 of 2 were positive for post surgery syndrome pain.

Among the 5 prospective evaluations (900-904), the role of caudal epidural steroids was evaluated in 2 studies in patients with radiculopathy or sciatica (902,903), in 2 studies in patients with chronic low back pain (900,901), and in 1 study (904) with spinal stenosis. All showed positive results for short-term and long-term pain relief.

Only 1 study was performed with fluoroscopic visualization (889). In this study, caudal steroid epidural was compared with targeted steroid placement during spinal endoscopy for chronic sciatica in a prospective, randomized, double-blind trial. In this

Table 11. Results of published reports on caudal epidural steroid injections

Study	Study Characteristics	Methodological Quality Score(s)		No. of Patients	Initial Relief	Long-term Relief		Results	
		AHRQ Score(s)	Cochrane Score(s)		< 6 weeks	3 mos	6 mos	Short-term relief <6 weeks	Long-term relief ≥6 weeks
Dashfield et al (889)	RA, DB	9/10	8/10	Caudal=30 Endoscopy=30	SI	SI	SI	P	P
Brevik et al (894)	RA, DB	8/10	7/10	C=19T=16	25% vs. 63%	20% vs 50%	20% vs. 50%	P	P
Bush and Hillier (895)	RA, DB	8/10	8/10	C=11T=12	100%	NA	64% vs 83%	P	N
Matthews et al (896)	RA, DB	8/10	7/10	C=34T=23	56% vs 67%	SI	NA	N	P
Helsa and Breivik (899)	RA, DB	7/10	7/10	69crossover	NA	NA	59% vs 25%	P	P
Revel et al (892)	RA	7/10	6/10	Forceful injection=29 Regular=31	NA	NA	49% vs 19%	P	N
Meadeb et al (893)	RA	6/10	6/10	D= 16 D±G = 15 G = 16	NA	NA	NA	N	N
McGregor et al (891)	RA	6/10	5/10	Caudal=14 Interlaminar =16	NA	NA	NA	N	N
Manchikanti et al (901)	P	5/8	---	ND=45 PD=17	71% vs 65%	67% vs 65%	47% vs 41%	P	P
Yates (902)	P	5/8	---	20	NA	NA	NA	P	P
Waldman (903)	P	5/8	---	53	63%	67%	71%	P	P
Ciocon et al (904)	P	5/8	---	30	SI	SI	SI	P	P
Manchikanti et al (900)	P	5/8	---	G1=15 G2=22 G3=33	0% 100% 97%	0% 59% 55%	0% 19% 15%	P	P

P = prospective; RA = randomized; DB = double blind; C = control; T = treatment; NA = not available; SI = significant improvement; vs = versus; P = positive; N = negative; ND = negative discography; PD = positive discography; D = disruption, G = Glucocorticoid

study, for the caudal group significant improvements were found for descriptive pain at 6 months, as well as visual analog scale at 6 weeks, 3 months, and 6 months. This study also showed present pain intensity improvements at 3 months and 6 months along with improvements in anxiety at 6 weeks, 3 months and 6

months and depression at 6 months only. The authors concluded that the targeted placement of epidural steroid onto the affected nerve root causing sciatica does not significantly reduce pain intensity and anxiety and depression compared with untargeted caudal epidural steroid injection. However, both techniques

benefited the patients.

6.2.1.1 Cost Effectiveness

The cost-effectiveness of fluoroscopically directed caudal epidural steroids was \$3,635 and that of transforaminal steroids was \$2,927 per year (905). In a prospective evaluation, the cost for 1-year improvement for quality-of-life was \$2,550 in patients treated with caudal epidurals using local anesthetic and Sarapin or steroids under fluoroscopy (900).

6.2.1.2 Evidence

The evidence for caudal epidural steroid injections is strong for short-term relief and moderate for long-term relief, in managing chronic low back and radicular pain. The evidence in post-lumbar laminectomy syndrome and spinal stenosis is limited.

6.2.2 Interlaminar Epidural Injections

Multiple systematic reviews provided conflicting opinions (11,24-26,28,886-888). Further, most of the systematic reviews (11,26,28) utilized combined caudal and interlaminar epidural steroid injections. Consequently, no reasonable definitive conclusions may be drawn from these systematic reviews, and their conclusions may not be applied in clinical practice settings. Thus far, all the systematic reviews concluded that interlaminar epidural steroid injections lacked long-term effectiveness. Multiple guidelines (1-4,56) also showed no significant evidence for long-term relief. Two systematic reviews (24,25) separately analyzed caudal and interlaminar epidurals. However, they also concluded that there was strong evidence for short-term relief with only limited evidence for long-term relief of greater than 6 weeks. European guidelines for the management of chronic non-specific low back pain (56) evaluated the literature from January 1995 through November 2002. In addition, they also used evidence from 4 high quality systematic reviews (11,26,887,888). But, they combined transforaminal, caudal and interlaminar epidural injections in the management of chronic low back pain. They concluded that epidural corticosteroid injections could only be considered for radicular pain and the evidence was conflicting with regards to conventional epidural steroids without fluoroscopy even in radicular pain. They also concluded that there is no evidence for the effectiveness of epidural corticosteroids in patients with non-radicular, non-specific low back pain.

Twenty-three randomized trials (891,908-929), 9 prospective evaluations (930-938), and numerous retrospective studies (939-949) were identified. Among the 23 randomized trials, 13 met inclusion criteria and

were utilized for evidence synthesis with exclusion of 10 studies (908,912,917-919,923-925,927,928). Since 11 randomized trials (over 10) were available in evidence synthesis for interlaminar epidural steroid injections in the lumbar spine, no prospective or other observational evaluations were utilized in the evidence synthesis.

Of the 13 randomized trials included in the evidence synthesis, 11 of them evaluated the effectiveness of interlaminar epidural steroid injections, either on disc herniation, sciatica, or radiculopathy in the lumbar spine (891,909,910,912-916,920,921,929), whereas, 2 randomized evaluations included cervical disc herniation with radiculitis or brachialgia (922,926). One study resulted in 2 publications (910,911). None of the randomized evaluations were performed to manage axial low back pain. Results of the included studies are illustrated in Table 12. Of the 11 randomized trials evaluating lumbar radiculitis, 6 were positive for short-term relief (909,910,912,913,920,921,929), whereas only one study was positive for long-term relief (916). Among the negative studies, Cuckler et al (915) included patients suffering with post surgery syndrome.

For evaluation of cervical pain and radiculopathy, two randomized trials (922,926), 1 prospective trial (930), and multiple retrospective evaluations (938-949) were available. In the evaluation of cervical interlaminar epidural steroids in managing cervical radiculopathy, both randomized trials were positive (922,926). None of the randomized evaluations were performed to evaluate the management of axial neck pain.

Results of included studies are illustrated in Table 13. Among the retrospective evaluations (938-947,949), the majority demonstrated favorable results. In the one prospective study of the cervical spine (930), patients received cervical interlaminar epidural steroid injections for cervical radiculopathy and cervical transforaminal epidural steroid injections if they failed to respond to the interlaminar epidural steroid injections.

6.2.2.1 Cost Effectiveness

In the evaluation of cost effectiveness, Manchikanti et al (905) and Price et al (911) concluded that interlaminar epidural steroid injections were not cost effective.

6.2.2.2 Evidence

The evidence of interlaminar epidural steroid injections in managing lumbar radiculopathy is strong for short-term relief and limited for long-term relief. In managing cervical radiculopathy, the evidence is

Table 12. Results of published reports of lumbar interlaminar epidural steroid injections

Study	Study Characteristics	Methodological Quality Score(s)		No. of Patients	Initial Relief	Long-term Relief		Results	
		AHRQ Score(s)	Cochrane Score(s)		< 6 weeks	3 mos	6 mos	Short-term relief <6 weeks	Long-term relief >6 weeks
Arden et al (910)	RA, DB, PC	10/10	9/10	228	75%	NSD	NSD	<i>P</i>	<i>N</i>
Carette et al (913)	RA, DB, PC	10/10	10/10	C=80 T=78	SIT	NSD	NSD	<i>P</i>	<i>N</i>
Cuckler et al (915)	RA, DB	9/10	9/10	C=31 T=42	NSD	NSD	NSD	<i>N</i>	<i>N</i>
Rogers et al (921)	RA, SB	6/10	5/10	C=15 T=15	SI	NSD	NSD	<i>P</i>	<i>N</i>
Wilson-McDonald et al (909)	RA	10/10	7/10	93	SI	NSD	NSD	<i>P</i>	<i>N</i>
Snoek et al (914)	RA	7/10	6/10	C=24 T=27	NSD	NSD	NSD	<i>N</i>	<i>N</i>
Dilke et al (916)	RA	7/10	7/10	C=48 T=51	31% vs 60%	SI	NA	<i>P</i>	<i>P</i>
Ridley et al (920)	RA	9/10	8/10	C=16 T=19	19% vs 90%	19% vs 90%	NA	<i>P</i>	<i>N</i>
Kraemer et al (929)	RA	6/10	5/10	C=46 T=40	SI	NA	NA	<i>P</i>	<i>N</i>
Pirbudak et al (912)	RA	6/10	6/10	steroid = 46 steroid ± amitriptyline = 40	SI (AM)	SI (AM)	SI (AM)	<i>P</i>	<i>P</i>
McGregor et al (891)	RA	6/10	5/10	14=caudal 16=interlaminar	NSD	NSD	NA	<i>N</i>	<i>N</i>

RA = randomized; SB = single blind; DB = double blind; PC = placebo controlled; NA = not available; SI = significant improvement; SIT = significant improvement in treatment group; AM = amitriptyline; NSD = no significant difference; vs = versus, C = control, T = treatment; P = positive; N = negative

moderate for short-term and long-term relief. The evidence is indeterminate in the management of neck pain, low back pain, and lumbar spinal stenosis.

6.2.3 Transforaminal Epidural Injections

Transforaminal epidural injections have emerged as a target-specific modality for the treatment for management of spinal pain. Review of the literature showed 4 systematic reviews (18,24,25,56).

Two systematic reviews (24,25) showed the evidence of lumbar transforaminal epidural steroid in-

jections for lumbar nerve root pain was strong for short-term and moderate for long-term improvement. The evidence for cervical transforaminal epidural steroid injections for cervical nerve root pain was moderate. The evidence was limited for lumbar radicular pain in post surgery syndrome. DePalma et al (18) performed a critical appraisal of the evidence for selective nerve root injection in the treatment of lumbosacral radiculopathy and showed there was moderate evidence in support of these mini-

Table 13. Results of published reports of cervical interlaminar epidural steroid injections

Study	Study Characteristics	Methodological Quality Score(s)		No. of Patients	Initial Relief	Long-term Relief		Results	
		AHRQ Score(s)	Cochrane Score(s)		< 6 weeks	3 mos.	6 mos	Short-term relief <6 weeks	Long-term relief ≥6 weeks
Castagnera et al (922)	RA	7/10	6/10	Local anesthetic ± steroids =14 Local anesthetic ± steroids ± Morphine =10	75% vs 96%	79%	79%	P	P
Stav et al (926)	RA	6/10	5/10	C=17 T=25	36% vs 76%	12% vs 68%	12% vs 68%	P	P

RA = randomized; vs = versus, C = control, T = treatment; P = positive

mally invasive and safe procedures in treating painful radicular symptoms. European guidelines for the management of chronic non-specific low back pain (56) also provided a favorable level of evidence for transforaminal epidural steroid injections. Following the review of the literature from January 1995 to November 2002, they concluded that epidural corticosteroid injections should only be considered for radicular pain, if a contained disc prolapse is the cause of the pain and if the corticosteroid is injected close to the target through a transforaminal approach under fluoroscopic guidance (56). Favorable evidence was also provided in other guidelines (1-4).

The evaluation for evidence synthesis led to identification of 12 randomized controlled trials (950-961), and 15 observational reports (930,962-976), and multiple retrospective evaluations (905,977-998). Of the 12 randomized controlled trials (950-961), 7 trials were included in evidence synthesis (950,952,953,955,956,958-961), whereas of 15 prospective evaluations (930,962-976), 7 were included (930,965,968,973-975,977). A summary of reported studies is listed in Tables 14 and 15.

Among the 7 randomized trials included in the evidence synthesis meeting inclusion criteria, 6 of them evaluated effectiveness in lumbar disc herniation and radiculopathy (950,952,955,956,958,961), showing positive results in 4 of the 6, both in short-term and long-term with 2 negative studies (951,958). The seventh trial (953) studied effectiveness in post surgery syndrome and yielded negative results.

Among the 7 prospective evaluations included for evaluation, 2 studies evaluated the effectiveness of cervical transforaminal epidurals (930,975), showing positive results. The remaining 5 studies (965,973-975,977) evaluated lumbar transforaminal epidural steroid injections. One study (975) compared effectiveness of transforaminal epidural steroid injections in lumbar spine with discectomy. One evaluation reported the effect on spinal stenosis (977). Multiple retrospective evaluations also showed positive results.

The results of the included studies are described in Tables 14 and 15. Riew et al (961) performed a study with a minimum 5-year follow-up to evaluate nerve root blocks in the treatment of lumbar radicular pain. This was a continuation of a previous randomized double blind controlled study evaluating the effect of nerve root blocks on the need for operative treatment of lumbar radicular pain (950). All of the patients in both studies (950,961) were considered to be operative candidates by the treating surgeon and all had initially requested operative intervention. They had been then randomized to be treated with a selective nerve-root block with either bupivacaine or bupivacaine and betamethasone. Both the treating physician and the patient were blinded to the type of medication. Of the 55 randomized patients, 29 avoided an operation in the original study; 21 of those 29 patients were reevaluated with a follow-up questionnaire at a minimum of 5 years after the initial block, and 17 of 21 patients still had not had operative intervention. There was no difference between the

group treated with bupivacaine alone and the group treated with bupivacaine and betamethasone with regard to the avoidance of surgery for 5 years. At the 5-year follow-up evaluation, all of the patients who had avoided operative treatment had significant decrease in neurological symptoms and back pain compared with baseline values. Authors concluded that the majority of patients with lumbar radicular pain who avoid an operation for at least one year after receiving a nerve root injection with bupivacaine alone or in combination with betamethasone will continue to avoid operative intervention for a minimum of 5 years. Ng et al (958) studied periradicular infiltration of nerve roots (synonymous with transforaminal or selective nerve root injections) with local anesthetic and steroid versus local anesthetic alone. They evaluated 86 patients with unilateral leg pain and an MRI showing a lumbar herniated disc or foraminal stenosis at a level compatible with the symptoms. All the patients received a single level injection under fluoroscopy with 2 mL of 0.25% bupivacaine with 40 mg of methylprednisolone in one group, while the second group received bupivacaine alone. The results showed no significant difference between the groups. In both the groups there was only a modest decrease in VAS at 3 months. Criticism of this study is that only one injection was offered. Yang et al (960) evaluated the effect of transforaminal epidural steroids on candidates for discectomy in 21 patients. They reported that 63% of the patients had significant pain relief lasting through 24-month follow-up, avoiding surgery, with the transforaminal epidural resulting in significant relief of leg pain and improvement in activities of daily living. However, there was no improvement in the low back pain. Kolstad et al (959) studied cervical transforaminal epidural steroids on 21 patients awaiting cervical disc surgery. Patients were given 2 epidural injections 2 weeks apart and followed for 4 months. They used 2 outcome assessment measures including visual analog scale for neck and radicular pain and Odom's criteria. Outcomes were measured at baseline, 6 weeks and 4 months. Five of the 21 patients canceled their surgery due to improvement in pain, and overall there was a significant decrease in radicular pain at 6 weeks and 4 months. Neck pain was also improved, and patients with spondylosis responded as well as patients with disc herniations.

There was one new retrospective evaluation for cervical disc herniation and radiculopathy (949). Lin et al (949) evaluated cervical epidural steroid injections

for symptomatic disc herniation in 70 patients; 63% or 44 had significant relief of their symptoms and did not wish to proceed with surgical treatment with an average of 13-month follow-up. In addition, 75% would attempt cervical epidural steroid injections again in the future. They concluded that cervical epidural injections are a reasonable part of the non-operative treatment of patients with symptomatic cervical disc herniations. They also concluded that a large percentage of the patients may obtain relief from radicular symptoms and avoid surgery for the follow-up period up to one year. In addition, patients older than 50 years and those who received the injection earlier, less than 100 days from diagnosis, seemed to have a more favorable outcome.

6.2.3.1 Cost Effectiveness

In the management of chronic low back pain, cost per 1 year improvement of quality of life was \$2,927 per year with transforaminal epidural steroid injections (905). Furthermore, in patients treated with transforaminal steroids, operations were avoided for contained herniations, costing \$12,666 less per responder in the steroid group (952). Cost effectiveness was also demonstrated by others by avoiding surgical intervention (949,950,959,961).

6.2.3.2 Evidence

In managing lumbar radicular pain with interlaminar lumbar epidural steroid injections, the evidence is strong for short-term relief and limited for long-term relief. In managing cervical radiculopathy with cervical interlaminar epidural steroid injections, the evidence is moderate for short-term improvement and long-term improvement. The evidence is indeterminate in the management of axial neck pain, axial low back pain, and lumbar spinal stenosis.

6.2.4 Safety and Complications

Complications of caudal, interlaminar, and transforaminal epidural injections are predominantly of two types: those related to needle placement and those related to drug administration (556-558,601-603,735-760,877,878,882,886,996-1035). Reported complications include dural puncture, spinal cord trauma, infection, hematoma formation, abscess formation, subdural injection, intracranial air injection, epidural lipomatosis, pneumothorax, nerve damage, headache, death, brain damage, increased intracranial pressure, intravascular injection, vascular injury, cerebral vascular or pulmonary embolus and effects of steroids. Spinal cord trauma and spinal cord or epidural hematoma formation are cata-

Table 14. Results of published reports on lumbar transforaminal epidural injections

Study	Study Characteristics	Methodological Quality Score(s)		No. of Patients	Initial Relief	Long-term Relief		Results	
		AHRQ Score(s)	Cochrane Score(s)		< 6 weeks	3 months	6 months	Short-term <6 weeks	Long-term ≥6 weeks
Karppinen et al (951, 952)	RA, DB, PC	9/10	8/10	C=80 T=80	NA	NA	NA	N	N
Riew et al (961)	P, RA, DB	8/10	7/10	55	33% vs 77%	33% vs 77%	33% vs 77%	P	P
Riew et al (950)	RA, DB	8/10	7/10	LA = 27 LA+S = 28	33% vs 77%	33% vs 77%	33% vs 77%	P	P
Ng et al (958)	RA, DB	8/10	8/10	LA=43 LA+S=43	42% vs 48%	42% vs 48%	NSI	N	N
Devulder et al (953)	RA	6/10	5/10	60	NSI	NSI	NSI	N	N
Vad et al (955)	RA	7/10	7/10	48	48% vs 84%	8% vs 84%	8% vs 84%	P	P
Thomas et al (956)	RA	6/10	5/10	C=15 T=16	SI	SI	SI	P	P
Yang et al (960)	P	4/8	---	21	63%	63%	63%	P	P
Lutz et al (965)	P	4/8	---	69	75%	75%	75%	P	P
Butterman (973)	P	4/8	---	232	SI	SI	SI	P	N
Buttermann (974)	P	4/8	---	169	NA	NA	42%- 56%	P	P
Botwin et al (977)	P	4/8	---	34	75%	75%	75%	P	P

P = prospective; RA = randomized; DB = double blind; PC = placebo controlled; LA = local anesthetic; LA+S = Local anesthetic ± steroid; NA = not available; SI = significant improvement; SIT = significant improvement in treatment group; AM = amitriptyline; NSI = no significant improvement; vs = versus, C = control, T = treatment; P = positive; N = negative

strophic complications, but rarely seen following epidural injections.

6.3 Epidural Adhesiolysis

Percutaneous epidural adhesiolysis or lysis of epidural adhesions or epidural adhesiolysis with a spinal endoscope (myeloscope or epiduroscope) are interventional pain management techniques that play an active role in managing chronic intractable low back pain (1-4,31,65,499,500,1036).

The purpose of percutaneous epidural lysis of adhesions is to minimize the deleterious effects of epidural scarring, which can physically prevent direct

application of drugs to nerves and other spinal tissues and to treat chronic back pain. Epidural lysis of adhesions and direct deposition of corticosteroids in the spinal canal can also be achieved with a 3-dimensional view provided by epiduroscopy or spinal endoscopy.

Duration of relief of less than 3 months was considered as short-term and longer than 3 months was considered as long-term.

6.3.1 Percutaneous Adhesiolysis

Clinical effectiveness of percutaneous adhesiolysis was evaluated in 1 systematic review (31), and 1 health technology assessment (65). Chopra et al (31)

Table 15. Results of published reports on cervical transforaminal epidural injections

Study	Study Characteristics	AHRQ Methodological Quality Score(s)	No. of Patients	Initial Relief	Long-term Relief			Results	
				< 6 weeks	3 months	6 months	Short-term <6 weeks	Long-term ≥6 weeks	
Bush and Hillier (930)	P	4/8	68	93%	93%	93%	P	P	
Kolstad et al (959)	P	4/8	21	SI	SI	SI	P	P	
Cyteval et al (975)	P	4/8	30	60%	60%	60%	P	P	
Lin et al (949)	R	4/8	70	63%	63%	63%	P	P	

P = prospective; R = retrospective; SI = significant improvement; P = positive

concluded that there was strong evidence to indicate effectiveness of percutaneous epidural adhesiolysis with administration of epidural steroids for short-term and long-term in chronic, refractory low back pain and radicular pain. There was moderate evidence of effectiveness of the addition of hypertonic saline. The evidence of effectiveness of hyaluronidase was negative. In preparation of European guidelines for the management of chronic non-specific low back pain, Airaksinen et al (56) evaluated percutaneous adhesiolysis as part of epidural corticosteroid injections. They concluded that epidural corticosteroid injections should only be considered for radicular pain if a contained disc prolapse is the cause of the pain and if the corticosteroid is injected close to the target. Further, they also concluded that the injection should be fluoroscopically guided and should aim at the ventral part of the epidural space, near the spinal nerve root, indirectly improving targeted delivery after adhesiolysis of epidural steroid injections. In the technology assessment of epidural adhesiolysis for the treatment of back pain (65) from Office of the Medical Director, Washington State Department of Labor and Industries, randomized, prospective, and retrospective studies were evaluated. They concluded that the number of prospective studies on epidural adhesiolysis was small and adhesiolysis may provide benefit by eliminating scar

tissue, thereby allowing application of drugs to the nerves for the treatment of low back pain.

Of the 14 relevant articles identified, 5 randomized trials (1037-1041) and 2 prospective evaluations (1042,1043), and 3 retrospective evaluations (1044-1046) were included in the analysis. The remaining studies failed to meet inclusion criteria and were excluded from the evidence synthesis (1047-1050).

Three randomized trials (1037,1039,1040) and one of the two retrospective studies (1044) included patients with and without previous surgery. One study (1045) included only post surgical patients. All the studies included patients with chronic, refractory low back pain and lower extremity pain. Among the 3 recent studies, one was randomized (1041) and 2 were prospective (1042,1043), included patients with radiculitis secondary to disc herniation and also post surgery syndrome.

Of the 4 randomized trials included in evidence synthesis (1037,1039-1041), all were positive for short-term and long-term pain relief. Of the 2 prospective evaluations (1042,1043) both were positive for short-term relief, whereas only one study (1043) was positive for long-term relief of 6 months. Among the two retrospective evaluations (1044,1045), both were positive for short-term relief. However, only one study (1045) was positive for long-term relief. The summary of the studies included in the evidence synthesis is described in Table 16.

Table 16. Results of published reports of percutaneous lysis of lumbar epidural adhesions and hypertonic saline neurolysis

Study	Study Characteristics	Methodological Quality Score(s)		No. of Patients	Initial Relief	Long-term Relief				Results	
		AHRQ Score(s)	Cochrane Score(s)			< 3 mos.	3 mos.	6 mos.	12 mos.	Short-term <3 mos	Long-term >3 mos
Manchikanti et al (1036)	RA, DB	10/10	10/10	G1=25 G2=25 G3=25	33% 64% 72%	0% 64% 72%	0% 60% 72%	0% 60% 72%	P	P	
Heavner et al (1037)	RA, DB	7/10	7/10	59	83%	49%	43%	49%	P	P	
Manchikanti et al (1040)	RA	5/10	6/10	C=15 Tx=30	NSI 97%	NSI 97%	NSI 93%	NSI 47%	P	P	
Veihelmann et al (1041)	RA	6/10	5/10	99	SI	SI	SI	SI	P	P	
Gerdesmeyer et al (1042)	P	5/8	---	25	SI	SI	SI	SI	P	P	
Gerdesmeyer et al (1043)	P	5/8	---	61	SI	SI	SI	SI	P	P	
Manchikanti et al (1044)	R	4/8	---	129	79%	68%	36%	13%	P	N	
Manchikanti et al (1045)	R	4/8	---	60	100%	90%	72%	52%	P	P	

RA=randomized; DB=double blind; R=retrospective; C=control; T=treatment; NA=not available; SI=significant improvement; NSI= no significant improvement; P= positive; N=negative

6.3.1.1 Cost Effectiveness

Cost effectiveness for 1-year of improvement in the quality of life varied from \$2,028 to \$5,564 (1040,1044,1045).

6.3.1.2 Evidence

The evidence is strong in managing chronic low back and lower extremity pain in post surgery syndrome. The evidence is moderate in managing low back and lower extremity pain secondary to disc herniation producing radiculopathy. The evidence is limited in managing back and/or lower extremity pain secondary to spinal stenosis.

6.3.2 Endoscopic Adhesiolysis

Spinal endoscopic adhesiolysis and target delivery of steroids (1051) were evaluated in 1 systematic review (31), and 1 health technology assessment (65).

The systematic review by Chopra et al (31) concluded that there was strong evidence to indicate effectiveness of spinal endoscopic adhesiolysis and epidural steroid administration for short-term improvement, and moderate evidence for long-term improvement in managing chronic, refractory low back and lower ex-

tremity pain. The technology assessment (65) concluded that the number of studies was too small with aberrant innervation and capability to be a source of low back and referred pain in the lower extremity.

There were 3 reports, one preliminary report (1052), and 2 randomized double-blind trials (889,1053); however, only one study met inclusion criteria (1053). The study by Dashfield et al (889) studied endoscopic delivery of steroids or caudal epidural steroid injection in patients without adhesions. The primary purpose of spinal endoscopy is adhesiolysis; thus, since there was no adhesiolysis, the study failed to meet inclusion criteria. In addition, 3 prospective evaluations (1054-1056), and 2 (1056,1057) of the 3 retrospective evaluations were included (1056-1058). One randomized trial (1053) included in the analysis showed significant short-term and long-term improvement. Among the 3 prospective, observational studies, one study (1058) evaluated the effectiveness of spinal endoscopic adhesiolysis in lumbar spinal stenosis, showing good short-term and long-term improvement in patients with low back pain; however,

long-term improvement of leg pain was seen only in the mono-segmental group. The other 2 prospective evaluations (1054,1056) also showed positive results. Both the retrospective evaluations (1045,1046) showed positive short-term and long-term relief. Table 17 illustrates the results of various studies of spinal endoscopy. The majority of the studies included a heterogenous group of patients, most with post surgery syndrome or epidural fibrosis.

6.3.2.1 Cost Effectiveness

The cost effectiveness of spinal endoscopy in patients failing to respond to all conservative modalities of treatments, including percutaneous adhesiolysis with a spring-guided catheter, was shown to be \$7,020 to \$8,127 (1045,1057).

6.3.2.2 Evidence

Evidence for spinal endoscopy is strong for short-term relief and moderate for long-term relief, in managing chronic refractory low back and lower extremity pain secondary to post-lumbar surgery syndrome.

6.3.3 Complications

Complications were typically minor: mild back pain and recognized dural puncture. However, severe complications, following adhesiolysis and spinal endoscopy, have been reported (1059-1073). These include spinal cord compression, catheter shearing, excessive intra-

spinal and intracranial pressures, epidural hematoma, bleeding, infection, elevated intraocular pressures with resultant visual deficiencies, and even blindness. Steroids, hypertonic saline, hyaluronidase, instrumentation with endoscope, and administration of high volumes of fluids may cause elevated epidural hydrostatic pressures (556,557,878,1009-1011,1014,1015,1018,1024-1036), and consequently, spinal cord compression. Unintended access of the subarachnoid or subdural space is possible. If recognized early, injection of local anesthetic or hypertonic saline may be avoided; hypertonic saline injected into the subarachnoid space has been reported to cause cardiac arrhythmias, myelopathy, paralysis, and loss of sphincter control.

6.4 Sacroiliac Joint Interventions

The sacroiliac joint is a diarthrodial synovial joint with abundant innervation and capability of being a source of low back pain and referred pain in the lower extremity (435-465). The sacroiliac joint has been shown to be a source of pain in 10% to 26.6% of the suspected cases (230,461,462).

Sacroiliac joint pain may be managed by intraarticular injections, or neurolysis of the sacroiliac joint. Relief with intraarticular injections was considered short-term if less than 6 weeks and long-term if

Table 17. Results of published reports of spinal endoscopy

Study	Study Characteristics	Methodological Quality Score(s)		No. of Patients	Initial Relief	Long-term Relief				Results	
		AHRQ Score(s)	Cochrane Score(s)			< 3 mos	3 mos	6 mos	12 mos	Short-term <3 mos	Long-term ≥3 mos
Manchikanti et al (1053)	RA, DB	10/10	10/10	C = 33 Tx=50	33% vs 90%	0% vs 80%	0% vs 56%	0% vs 48%	P	P	
Igarashi et al (1055)	P	5/8		Mono=34 Multi=24	SI	SI	SI in mono	SI in mono	P	P	
Geurts et al (1054)	P	6/8		20	55%	55%	40%	35%	P	P	
Richardson et al (1056)	P	4/8		34	SI	SI	SI	SI	P	P	
Manchikanti et al (1045)	R	4/8		60	100%	75%	40%	22%	P	P	
Manchikanti et al (1057)	R	4/8		85	100%	77%	52%	21%	P	P	

P = prospective; R = retrospective; RA = randomized; DB = double blind; vs=versus; SI =s ignificant improvement; Mono = monosegmental; Multi = multisegmental; P = positive

Table 18. Results of published reports on therapeutic intraarticular sacroiliac joint injections.

Study	Study Characteristics	Methodological Quality Score(s)		No. of Patients	Initial Relief	Long-term Relief		Results	
		AHRQ Score(s)	Cochrane Score(s)		< 3 mos	3 mos	6 mos.	Short-term <3 mos	Long-term ≥3 mos
Maugars et al (1075)	RA	6/10	6/10	10 6 joints - steroids 7 joints - placebo	70%	62%	58%	P	P
Pereira et al (1085)	P	5/8	---	10	80%	80%	80%	P	P
Chakravarty and Dias (1089)	R	4/8	---	33	50%	NSI	NSI	N	N
Slipman et al (1088)	R	6/8	---	31	SI	SI	SI	P	P

P = prospective; R = retrospective; RA = randomized; SI = significant improvement; NSI = no significant improvement; N = negative; P = positive

6 weeks or longer. Relief with radiofrequency neurotomy was considered short-term if less than 3 months, and long-term 3 months or longer.

6.4.1 Intraarticular Injections

The effectiveness of intraarticular sacroiliac joint injections was evaluated in a systematic review (23) and was also mentioned in European Guidelines for the management of chronic non-specific low back pain (56). McKenzie-Brown et al (23) in the systematic review concluded that the evidence for the therapeutic intraarticular sacroiliac joint injections was limited to moderate. Airaksinen et al (56) showed that there was limited evidence that injection of the sacroiliac joint with corticosteroids to relieve sacroiliac pain of unknown origin for a short time, thus Level C evidence. However, they added that a sacroiliac joint injection is not recommended for the treatment of non-specific chronic low back pain.

Four randomized trials (1074-1077), 9 prospective evaluations (1078-1086), and 4 retrospective evaluations (463,1087-1089) were identified. However, only 1 randomized trial (1075), 1 prospective evaluation (1085), and 2 retrospective evaluation (1088,1089) met the inclusion criteria (Table 18).

Maugars et al (1075), in a double-blind study of evaluation of 10 patients suffering with painful sacroiliitis, reported improvement in 5 of the 6 sacroiliac joints injected with corticosteroid with 70% relief

at 1-month, with none of the 7 of the placebo joint injections reporting any significant relief. There was significant improvement in 62% of the patients at 3 months and 58% of the patients at 6 months. Slipman et al (1088) in a retrospective study with independent clinical review of 31 patients receiving an average of 2.1 therapeutic injections concluded that fluoroscopically guided therapeutic sacroiliac joint injections are a clinically effective intervention in the treatment of patients with sacroiliac joint syndrome.

Pereira et al (1085) in a prospective observational study evaluated 10 patients with sacroiliitis of which 9 of them had bilateral sacroiliitis. They treated 21 joints with corticosteroid without local anesthetic. The results showed good to excellent pain relief in 8 of 10 patients lasting a mean of 13.5 months. The 2 non-responders suffered from fibromyalgia and reactive depression. They used MRI to guide the injections. They also showed that subchondral marrow edema resolved on follow-up MRI, minimally in 3 patients, partially in 3 patients, and completely in 3 patients.

Chakravarty and Dias (1089) in an audit of conservative management of chronic low back pain in a secondary care setting, evaluated the results of intraarticular sacroiliac joint injections with corticosteroid included in 33 patients, averaging 40 years of age with a range of 70 to 74 year experiencing 50% pain

relief for the duration of local anesthetic only. There was a 50% global subject to improvement 1 day to 4 weeks after the injection, 9% improvement 4 weeks to 3 months later, and only 1% at 3 to 6 months.

Slipman et al (1088) in a retrospective evaluation with independent clinical review, reported a significant reduction in Oswestry Disability scores, visual analogue pain scores, and work status.

6.4.1.1 Cost Effectiveness

No studies were performed evaluating the cost effectiveness of therapeutic sacroiliac joint injections.

6.4.1.2 Evidence

The evidence for intraarticular sacroiliac joint injections is limited for short and long-term relief.

6.4.2 Radiofrequency Neurotomy

Percutaneous radiofrequency neurotomy of sacroiliac joints has been reported to provide long-term relief (453,454,1090-1094).

Of all the evaluations performed on radiofrequency neurotomy in managing sacroiliac joint pain, 4 reports were prospective (454,1091,1093,1094) and 3 were retrospective (453,1090,1092,1093). However, 2 prospective evaluations (1091,1093) only had 3-months follow-up and consequently failed to meet inclusion criteria. Two prospective evaluations (454,1094) were included.

Burnham and Yasui (1094) published the results of an alternate method of radiofrequency neurotomy of the sacroiliac joint in a pilot study. They evaluated 9 subjects with sacroiliac joint pain confirmed by a local anesthetic joint block. They were treated with a series of radiofrequency strip lesions performed adjacent to the lateral dorsal foraminal aperture plus conventional monopolar lesions at the L5 dorsal ramus. The follow-up was at 1, 3, 6, 9, and 12 months after the procedure. After the procedure, significant reductions of back and leg pain frequency and severity, analgesic intake, and dissatisfaction with their current level of pain occurred. Complications were minimal. Overall, 8 of the 9 subjects were satisfied with the procedure. The median improvement in pain intensity was 4.1 NRS and reduction of disability was 17.8 (Oswestry Disability Scale). Overall satisfaction was 67% at 12-month follow-up.

Vallejo et al (454) from a total of 126 patients with presumptive sacroiliac joint dysfunction based on history and physical examination, performed arthrographically confirmed steroid/local anesthetic sacroiliac joint injections, and selected 22 patients to undergo pulsed radiofrequency when they failed to respond to physical therapy, repeated sacroiliac joint

injections, and analgesics. The pulsed radiofrequency denervation of the medial branch of the L4, posterior primary rami of L5, and lateral branches S1 and S2 were carried out. Outcomes measures included visual analogue score and quality of life assessments. Sixteen patients or 73% experienced excellent or good pain relief followed pulsed radiofrequency denervation.

All 3 retrospective evaluations were included in the evidence synthesis (Table 19). Among the retrospective reports, Ferrante et al (1090) evaluated effectiveness of sacroiliac joint radiofrequency denervations in 33 patients. They reported that only 36% of the patients met the criteria for successful denervation at 6 months. Yin et al (453) in a retrospective evaluation of 14 patients reported that 64% of the patients experienced a successful outcome. Finally, Cohen and Abdi (1092) evaluated radiofrequency lesioning on 9 patients and reported that 89% obtained > 50% pain relief from this procedure that persisted at the 9-month follow-up. In addition to the thermal radiofrequency Vallejo et al (454) evaluated effectiveness of pulsed radiofrequency however, there has not been any significant literature on utilization of pulsed radiofrequency not only in the sacroiliac joints but other areas also. Thus, it was not included in evidence synthesis.

6.4.2.1 Cost Effectiveness

No cost effectiveness evaluations were performed with radiofrequency neurotomy of sacroiliac joint innervation.

6.4.2.2 Evidence

The evidence for thermal and pulsed radiofrequency neurotomy in managing sacroiliac joint pain is limited.

6.4.3 Safety and Complications

No complications have been reported in any of the studies included in this review. However, expected complications include infection, hematoma formation, neural damage, trauma to the sciatic nerve, potential gas and vascular particulate embolism, leakage of the drug from the joint, and other complications related to drug administration.

6.5 Intradiscal Therapies

The pathologic basis for some forms of low back pain may lie in internally disrupted intervertebral discs and in particular, sensitized annular tears (229, 230,367,375-377,407,1095). Multiple treatments described to manage internal disc disruption and discogenic pain include surgical intervention with total disc excision and arthrodesis or conservative measures

Table 19. Results of published reports of sacroiliac joint radiofrequency thermoneurolysis

Study	Study Characteristics	Methodological Quality Criteria AHRQ Score	No. of Patients	Initial Relief	Long-term Relief			Results	
				<3 mos	3 mos	6 mos	1 year	Short-term relief <3 mos	Long-term relief ≥3 mos
Burnham and Yasui (1094)	P	6/8	9	89%	89%	89%	89%	P	P
Vallejo et al (454)	P	6/8	22	56%	41%	32%	NA	P	N
Yin et al (453)	R	4/8	14	64%	64%	64%	64%	P	P
Ferrante et al (1090)	R	4/8	33	35%	35%	35%	NA	N	N
Cohen and Abdi (1092)	R	4/8	9	89%	89%	89%	NA	P	P

R = retrospective; P = prospective; NA = not available; P = positive; N = negative

such as intradiscal steroid, chemonucleolysis, intradiscal glycerol, and the use of intradiscal laser devices.

Two minimally invasive procedures have been promoted as alternatives to major surgical intervention. Both involve the introduction of a flexible electrode into the painful disc, with the aim of coagulating the posterior annulus (1096). The first technique is described as intradiscal electrothermal therapy or IDET and the second technique was named radiofrequency posterior annuloplasty or RFA.

For this evaluation, relief of less than 6 months was considered as short-term and relief of 6 months or longer was considered as long-term.

6.5.1 Intradiscal Electrothermal Therapy.

Intradiscal electrothermal therapy (IDET), as originally described, did not require placement of the electrode explicitly in the annulus fibrosus (1097). The electrode is a flexible, thermal resistive coil which coagulates the adjacent tissue with radiant heat. However, the mechanism of action of IDET has not been established. It has been proposed that heating the annulus may serve to strengthen the collagen fibers, seal fissures, denature inflammatory exudates, or coagulate nociceptors (1098).

The evidence for intradiscal electrothermal therapy includes 3 systematic reviews (41-43), a technology assessment update (64), critical appraisal of the evidence (57) and other multiple reviews. Evidence for

IDET was also reviewed in multiple guideline preparations (1,2,56).

Appleby et al (42) in a systematic review reviewed the literature from all the available studies and concluded that there was compelling evidence for the relative efficacy and safety of intradiscal electrothermal therapy. From 1998 to March 2005, authors selected a total of 17 published reports and included in the review. This metaanalysis showed an overall mean improvement in pain intensity of 2.9 points, physical function of 21.1 points as measured by SF-36 and disability of 7.0 points as measured by the Oswestry Disability Index. Andersson et al (41) performed a systematic review of spinal fusion and intradiscal electrothermal therapy in the treatment of intractable discogenic low back pain. They concluded that the majority of patients reported improvement in symptoms following both spinal fusion and IDET procedure. However, the IDET procedure appeared to offer sufficiently similar symptom amelioration to spinal fusion without attendant complications. Gibson and Waddell (43) concluded that the preliminary results of 3 similar trials of intradiscal electrotherapy suggests it is ineffective, except possibly in highly selected patients.

Freeman (57) performed a critical appraisal of the evidence of IDET and concluded that the evidence for the efficacy of IDET remains weak and has not passed the standard of scientific proof. European Guidelines

for the Treatment of Chronic Non-specific Low Back Pain (56) concluded that there is conflicting evidence that IDET, in patients with discogenic low back pain, is not more effective than sham treatment (Level C). Interventional Pain Management Guidelines by Boswell et al (1) and Manchikanti et al (2) concluded that the evidence for intradiscal electrothermal therapy was strong for short-term relief and moderate for long-term relief in managing chronic discogenic low back pain.

In a technology assessment update (64) evaluating all the available studies concluded that initial results from the studies are promising. However, the majority of data comes from small case series studies. This assessment considered IDET as a controversial and investigational therapy until more randomized controlled trials with long-term follow-up were conducted and showed the effectiveness of IDET.

The relevant studies identified included 2 randomized controlled trials (1099,1100), 2 non-randomized controlled trials (1101,1102), 12 prospective evaluations (1097,1103-1113), and multiple case series (1114-1120). Of the 12 prospective evaluations, 2 non-randomized controlled trials reported outcomes on the same patient population with different lengths of follow-up (1101,1102). Of the remaining 10 prospective trials, 5 reported outcomes on the same patient population with different lengths of follow-up (1097,1104,1105,1107,1108). Table 20 summarizes demographic and study characteristics of studies included in the evidence synthesis.

Two randomized controlled trials (1099,1100) of percutaneous intradiscal electrothermal therapy for chronic discogenic low back pain were double-blind and placebo-controlled. Pauza et al (1099) in evaluation of the effectiveness of intradiscal electrothermal therapy for the treatment of discogenic low back pain in a randomized, placebo-controlled trial reported significant improvements in pain, disability and depression in the group treated with IDET. However, only 40% of patients treated with IDET achieved greater than 50% relief of pain at 6 months. Freeman et al (1100), in a prospective randomized, double-blind, placebo-controlled trial with crossover offered to the placebo subjects when unblinding occurred at 6 months, with a total of 57 subjects, randomized 38 patients to IDET and 19 patients to placebo (sham) treatment. The results showed no benefit from IDET over placebo.

Karasek and Bogduk (1101) and Bogduk and Karasek (1102) studied 53 patients with back pain and followed them for 2 years. They concluded that

in carefully selected cases, IDET can eliminate or dramatically reduce the pain of internal disc disruption in a substantial proportion of patients and appears to be superior to conventional conservative care for internal disc disruption. At 24 months, 54% of the patients had achieved at least 50% relief with functional improvement.

Saal and Saal (1097,1104,1108) reported results of their experience over a period of 6 months, 1 year, and 2 years, with overall improvement in 71% of the patients. They reported a VAS change for the entire group of 3.2 with a mean change on the SF-36 physical function subscale of 20, and the mean change on the SF-36 bodily pain subscale of 17.8.

Derby et al (1103) reported that 63% of the 32 patients had a favorable outcome, with no change in outcome measures at the 6-month and 12-month follow-ups. Bryce et al (1113) evaluated 51 patients, at 6 months follow-up the demonstrated statistically significant improvement as measured by a mean change of over 20 points from the pretreatment score on the Roland Morris Disability Questionnaire. At one year, data remained with significant improvement in the 33 patients who had achieved this time point.

Kapur et al (1096) evaluated 21 patients with electrothermal therapy and an additional 21 patients with radiofrequency annuloplasty. From the third to twelfth month after the procedure, intradiscal electrothermal therapy group had significantly lower mean pain scores with an average VAS pain score decrease of 7.4 ± 1.9 . There was also improvement in pain disability index.

The present evidence summarizes 1 positive randomized trial, 1 negative randomized trial, 7 positive prospective evaluations (1096,1101,1103,1107,1108,1110,1112), with 2 negative reports (1109,1116).

6.5.1.1 Cost Effectiveness

Cost effectiveness of intradiscal electrothermal annuloplasty has not been evaluated. Andersson et al (41) in their systematic review of intractable low back pain treatment with IDET versus spinal fusion surgery concluded that, more than half of patients treated with IDET can avoid surgery and therefore spare the cost of surgery and its complications.

6.5.1.2 Evidence

The evidence for intradiscal electrothermal therapy (IDET) is moderate in managing chronic discogenic low back pain.

6.5.1.3 Complications

Complications include catheter breakage, nerve

Table 20. Results of published reports of IDET

Study	Study Characteristics	Methodological Quality Score(s)		No. of Patients	Initial Relief	Long-term Relief		Results	
		AHRQ Score(s)	Cochrane Score(s)		< 6 mos	6 mos	1 year	Short-term relief <6 mos	Long-term relief ≥6 mos
Paauz et al (1099)	RA, DB, PC	10/10	10/10	C = 27 T = 37	Equal	50% Treat	NA	P	N
Freeman et al (1100)	RA, DB, PC	10/10	10/10	C = 19 T = 38	0%	0%	0%	N	N
Kapural et al (1096)	P	5/8	---	21	SI	SI	SI	P	P
Karasek & Bogduk (1101, 1102)	P	5/8	---	C = 17 T = 35	6% vs 70%	53%	53%	P	P
Saal and Saal (1097, 1104, 1108)	P	5/8	---	58	SI	SI	SI	P	P
Gerszten et al (1107)	P	5/8	---	27	75%	75%	75%	P	P
Mekhail and Kapural (1112)	P	5/8	---	32	SI	SI	SI	P	P
Lutz et al (1110)	P	5/8	---	33	SI	SI	SI	P	P
Freedman et al (1116)	P	5/8	---	36	NA	47%	16%	N	N
Spruit and Jacobs (1109)	P	4/8	---	20	NSI	NSI	NSI	N	N
Derby et al (1103)	R	4/8	---	99	64%	64%	64%	P	P
Bryce et al (1113)	P	4/8	---	51	SI	SI	64%	P	P

P = prospective; PC = placebo controlled; RA = randomized; R = retrospective; C = control; T = treatment; SI = significant improvement; NSI = No significant improvement; NA = not available; P = positive; N = negative

root injuries, post-IDET disc herniation, cauda equina syndrome, infection, epidural abscess, and spinal cord damage (1121-1130). Appleby et al (42) tabulated all the complications included in their meta-analysis. Complications included burning sensation, paresthesias and numbness, foot drop, increasing low back pain, increase in radicular pain, headache, increase in the size of the herniation, cerebral spinal fluid leak, inability to thread the catheters through scar tissue, nerve root injury and foot drop, decreased sphincter control, discitis, and anterolisthesis.

6.5.2 Radiofrequency Posterior Annuloplasty

Radiofrequency posterior annuloplasty (RFA) is also commonly known by the name of the device used to place the lesion (discTRODE). The electrode is

a semirigid, radiofrequency probe, which does not, by itself, generate heat. However, the electrode focuses an alternating, radiofrequency current onto surrounding tissues, whose component molecules are isolated and thereby heated. The electrode also serves to monitor the temperature generated in those tissues. There is far less literature on this procedure than there is for IDET (1096).

There are no systematic reviews performed on radiofrequency posterior annuloplasty. The relevant studies identified on intradiscal radiofrequency ablation included 2 prospective evaluations (1096,1131).

Finch et al (1131) studied 31 patients by heating of their annular tears with a flexible radiofrequency electrode placed across the posterior annulus and

compared 15 patients with conservative management. The visual analog scale decreased significantly after the radiofrequency treatment and this decrease persisted at 12 months follow-up. The VAS did not change over 12 months in untreated controlled subjects. The Oswestry Disability Index also decreased in treated patients but not in controlled subjects.

Kapural et al (1096) performed a prospective matched controlled trial of intradiscal thermal annuloplasty versus intradiscal radiofrequency ablation for treatment of discogenic pain. They matched 42 patients with 21 having IDET and 21 having radiofrequency annuloplasty. They reported the IDET group had significantly lower mean pain scores than the radiofrequency annuloplasty group however; there was improvement noted in both groups. VAS pain scores decreased from 6.6 ± 2.0 before to 4.4 ± 2.4 at one year after radiofrequency annuloplasty, whereas in IDET group the average VAS pain score decreased from 7.4 ± 1.9 before IDET to 1.4 ± 1.9 at 1-year follow-up. Similarly, pain disability index scores in the IDET group had a significantly larger improvement than those for patients who received radiofrequency annuloplasty.

6.5.2.1 Cost Effectiveness

Cost effectiveness of radiofrequency annuloplasty has not been performed.

6.5.2.2 Evidence

The evidence for radiofrequency posterior annuloplasty was limited for short-term improvement, and indeterminate for long-term improvement in managing chronic discogenic low back pain.

6.5.2.3 Complications

Complications are similar to IDET with catheter breakage, nerve root injuries, discitis, disc herniation, cauda equina syndrome, infection, epidural abscess, and spinal cord damage (42,1121-1130).

6.6 Percutaneous Disc Decompression

A herniated intervertebral lumbar disc results from a protrusion of the nucleus pulposus. A ruptured annulus fibrosus causes an extruded disc while an intact but stretched annulus fibrosus results in a contained disc prolapse which may compress one or more nerve roots (63).

The primary goal of surgical treatment of a disc prolapse, protrusion or extrusion is the relief of nerve root compression by removing the herniated nuclear material. The primary modality of treatment has been open discectomy however, several alternative techniques include microdiscectomy, chemonucleolysis,

automated percutaneous discectomy, laser discectomy, radiofrequency Coblation or plasma discectomy known as nucleoplasty, mechanical disc decompression with a high rotation per minute device for nuclear extraction also known as DeKompressor, and manual percutaneous lumbar discectomy. Claims have been made from the literature that all of these alternative procedures can produce satisfactory results with small wounds and fewer serious complications, however, these claims remain controversial.

The subject of the guidelines in this section is of percutaneous discectomy, which is a class of minimally invasive surgical procedures that specifically treat contained, herniated discs. Manual percutaneous lumbar discectomy, microdiscectomy, and chemonucleolysis are not included in this review.

6.6.1 Automated Percutaneous Lumbar Discectomy

Automated percutaneous lumbar discectomy or APLD is performed with a pneumatically driven, suction-cutting probe in a cannula with a 2.8 mm outer diameter. Most of the disc removal occurs 1 cm anterior to the herniation removing approximately 1 to 3 grams of disc material to reduce intradiscal pressure and decompress the nerve roots (1132-1134).

Waddell et al (1135), in a systematic review based on Cochrane Collaboration Review and meta-analysis of surgical interventions in the lumbar spine (44), identified 3 trials comparing automated percutaneous discectomy with other surgical techniques and concluded there was limited and contradictory evidence (Strength of Evidence C) that automated percutaneous discectomy gives poorer clinical results than alternative surgical techniques with which it has been compared.

The technology assessment performed for the Department of Labor and Industries, Washington State on Percutaneous Discectomy (63) evaluated the literature until 2004. Published studies included 5 randomized trials (1133,1136-1139) of which Haines had 2 publications from one study (1138,1139). Revel et al (1133) and Krugluger and Knahr(1136) performed randomized trials of APLD and chemonucleolysis, whereas Chatterjee et al (1137) and Haines et al (1138,1139) performed trials comparing APLD with microdiscectomy. Multiple prospective and case series studies were also reported (1140-1147). Other studies included predictive factors, side effects and complications (1148-1151). Evidence from 4 of the 4 randomized published studies were shown to be negative, while all observational studies were positive.

Among the published randomized trials, 2 trials (1133,1136) compared APLD and chemonucleolysis. Revel et al (1133) randomized patients with sciatica caused by a disc herniation to undergo as an APLD or chemonucleolysis. The study measured outcomes with visual analog scale to measure sciatica and low back pain, a straight leg test, the Schoebert Test, neurologic status, self-assessment, disc height and herniation size. Patients were followed at 1 month, 3 months, and 6 months. The trial included 72 chemonucleolysis and 69 APLD patients of which 43% of chemonucleolysis patients and 26% of APLD patients were considered sedentary subjects, and the disc appeared degenerated more often in the chemonucleolysis group (92%) than in the APLD group (76%). The study had 32 patients withdrawing during trial as therapeutic failures. They described that there were no significant differences between the two groups in most of the demographic data, clinical and radiographic variables between the two groups. They concluded that the results of both chemonucleolysis and APLD were generally disappointing, because 48% of the overall population entering the study considered treatment a failure and 20% submitted to open laminectomy within 6 months. They further described that while the failure rate of chemonucleolysis was similar to that observed in various controlled studies, the results observed in the APLD group were strikingly different from most reported previous uncontrolled series. They also postulated that APLD success rate in this study approached that observed in the placebo groups in the chemonucleolysis trials. At one-year follow-up, overall success rates were 66% in the chemonucleolysis group and 37% in the APLD group.

Many aspects of the Revel et al study, such as patient selection criteria, which led to poor results have been criticized. The size of the disc herniation was an issue as for APLD it should not occupy more than 30% of the spinal canal, whereas in Revel et al's study (1133) in 59% of APLD and 64% of chemonucleolysis patients the disc herniation covered between 25% and 50% of the spinal canal. Further, in 71% of the APLD patients and 79% of chemonucleolysis patients, the disc herniation had migrated up to 5 mm cranially or caudally to the endplate levels, considered a contraindication of APLD. Other factors included that at discography 39% of the tested discs showed epidural leakage, 76% of the discs were severely degenerated (APLD is not effective in diffuse annular bulging), 9% had marked disc space narrow-

ing, and 21% of patients had severe back pain, but no correlation to leg pain was made.

Krugluger and Knahr (1136) also conducted a study comparing APLD with chemonucleolysis. The study initially selected 29 patients with symptomatic disc lesion confirmed by discography, however, due to epidural leakage of contrast material, 7 patients were excluded with 22 patient randomized to either chemonucleolysis or APLD. The results showed that at 6 weeks, both groups showed significant improvement in neurological deficits and Oswestry score. However, the differences between groups were not statistically significant at the 12-month follow-up.

Randomized trials of APLD and microdiscectomy included Chatterjee et al (1137) and Haines et al (1138,1139). Chatterjee et al (1137) compared APLD to microdiscectomy in the treatment of contained lumbar disc herniation in a randomized study with blind assessment. The study included 71 patients with radicular pain as their dominant symptom after failure of conservative therapy for at least 6 weeks and with MRI demonstration of contained disc herniation at a single level with a disc bulge of less than 30% of the canal size. The study excluded patients with dominant symptoms of low back pain, disc extrusion, sequestration, subarticular or foraminal stenosis, or multiple levels of herniation. The results showed satisfactory outcomes in 29% of the patients in APLD group and 80% of the microdiscectomy group. They concluded that APLD was ineffective as a method of treatment for small, contained lumbar disc herniations. Authors were criticized in that they failed to utilize CT discography.

Haines et al (1138) conducted a randomized study comparing APLD to conventional discectomy as a first line treatment for herniated lumbar discs. The study measured outcomes with physical signs related to the severity of low back pain and sciatica, but used a modified Roland Scale for disability assessment, and the SF-36 for general health status. The primary endpoint was the patients' outcome ratings 12 months after surgery. The study included patients with unilateral leg pain or paresthesia with no history of lumbar spinal surgery, whereas exclusions included moderate or advanced lumbar spondylosis, spondylolisthesis, lateral restenosis, herniated disc fragment occupying more than 30% of the AP diameter of the spinal canal, herniated disc fragment migrating more than 1 mm above or below the disc space, calcified disc herniation, lateral disc herniation, or posterior disc space height less than 3 mm. Success rate of the

2 procedures was identified as APLD 41% compared to conventional discectomy 40%. However they concluded that the study did not have power to identify clinically important differences because of insufficient patient enrollment.

Among the prospective evaluations and case series studies (1142,1147), all of them reported positive results in greater than 50% of patients in a large population.

Delamarter et al (1132) described the predictive factors and concluded that it is difficult to predict the clinical outcome in percutaneous discectomy. Dullerud et al (1149) in a prospective study concluded that patients with normal or slightly narrow disc space experienced better results compared to patients with a larger degree of disc space narrowing.

6.6.1.1 Complications

A retrospective review of 243 patients with treatment at 271 disc levels showed technical failure rate of 2.6%, clinical and radiological changes consistent with discitis in 2 patients, mild spasm in the extensor muscles in 9% of the patients, 25% of the patient with mild to moderate sensation on instability and 1 patient developing functional paresis of lower limbs one month after treatment (1149). In another case report of a 24-year-old who underwent APLD at L5/S1 for relief of low back pain, it was reported that patient developed acute right lumbar radicular syndrome, with MRI showing far lateral extraforaminal disc herniation at L5/S1 with compression of the right nerve root, which corresponded to the nucleotomy site of the probe (1150). In a multicenter analysis of percutaneous discectomy, two psoas muscle hematomas and one transient radicular deficit was reported (1151).

6.6.1.2 Cost Effectiveness

No cost effectiveness studies were conducted in the United States. However, Stevenson et al (1152) conducted a prospective cost evaluation including socioeconomic data comparing APLD to microdiscectomy. Average cost of treatment and follow-up surgery was \$2,317 per APLD patient compared to \$1,567 per microdiscectomy patient. Further, the average cost per APLD successful outcome was \$3,264 compared to \$1,958 for microdiscectomy successful outcome.

6.6.1.3 Evidence

The evidence is moderate for short-term and limited for long-term relief.

6.6.2 Percutaneous Laser Discectomy

Percutaneous laser discectomy or PLD is an alter-

native to the standard open discectomy treatment. Laser energy is used to reduce pressure by vaporizing a small volume of the nucleus pulposus. It is hypothesized that the change in pressure between the nucleus pulposus and the peridiscal tissue causes retraction of the herniation away from the nerve root (1153-1168). Laser discectomy may be performed with or without endoscopy. The majority of the studies were without endoscopy.

Based on the systematic review by Waddell (1135) there is no acceptable evidence (Strength of Evidence D) for laser discectomy. Relevant studies evaluating the effectiveness of laser disc decompression included 14 studies (1154-1158,1160-1168) meeting inclusion criteria. There were no randomized trials.

Table 21 illustrates results of percutaneous disc decompression with laser-assisted disc removal. All the studies showed positive results (1154-1158,1160-1168). Results varied from satisfactory improvement in 57% of the patients to 93% of the patients. In the 14 reports included in the evidence synthesis, 2,181 patients were studied with positive outcome in 1,600 patients.

6.6.2.1 Complications

Complications of percutaneous discectomy laser discectomy can be divided into intraoperative and postoperative categories (1169-1171). The general complications are often due to patient positioning, anesthesia or allergic reaction. The intraoperative complications may be correlated to the surgical technique or instrumentation. Post operative complications refer to bleeding or infection and general post operative complications associated with the procedure itself such as cardiovascular problems.

Ohnmeiss et al (1157) in a series of 164 laser discectomies, reported the tip of the instrument bent in one case, 12 patients complained of postoperative dermatomal dysesthesia, which resolved in five cases, and two patients had signs of reflex sympathetic dystrophy.

Mayer et al (1169) in a retrospective analysis of 658 cases treated at 9 different centers observed 1.1% intraoperative complications and 1.5% postoperative complications. They reported for radicular deficits in 4 patients (0.5%), L5 nerve root injury in 3 cases, vascular injuries in 2 cases, sigmoid artery injury in 1 patient, anomalous iliolumbar artery injury in 1 patient, and transverse process injury in 1 patient.

In a report of 10 cases, complications were present in 1.5% of the total number of cases, which reported

Table 21. Results of percutaneous disc decompression with laser assisted disc removal

Study	No. of patients	1 year relief		Results
		Percent	Number of Patients	
Siebert et al (1156)	180	73%	131	Positive
Ohnmeiss et al (1157)	164	71%	116	Positive
Leibler (1158)	117	70%	82	Positive
Bosacco et al (1154)	61	72%	44	Positive
Choy (1155)	518	75%	389	Positive
Senel et al (1160)	102	57%	58	Positive
Knight and Goswami (1161)	388	73%	283	Positive
Groemeyer et al (1162)	200	73%	126	Positive
Tassi (1163)	92	83%	76	Positive
Zhao et al (1164)	173	82%	142	Positive
Lee et al (1165)	60	93%	56	Positive
Tonami et al (1166)	26	65%	17	Positive
Nerubay et al (1167)	50	74%	37	Positive
Simons et al (1168)	50	86%	43	Positive
Total	2181	73%	1600	Positive

to have spondylodiscitis (1170). In another report, after PLAD a patient developed a subacute cauda equina syndrome (1171).

6.6.2.2 Cost Effectiveness

In 1996 the average hospital cost for percutaneous laser discectomy was \$3,720 (1153). This was 35% of the average hospital cost for the open discectomy of \$10,600.

6.6.2.3 Evidence

The evidence is moderate for short-term and limited to long-term relief.

6.6.3 Nucleoplasty

Percutaneous disc decompression (PDD) with nucleoplasty (coblation technology) is performed with RF energy to dissolve nuclear material through mo-

lecular dissociation. Bipolar RF coagulation denatures proteoglycans, changing the internal environment of the affected nucleus pulposus with reduction in intradiscal pressure (1172-1174). The proposed advantage of the coblation technology is that the procedure provides for a controlled and highly localized ablation, resulting in minimal thermal damage to surrounding tissues. The bi-products of this non-heat driven process are elementary molecules and low-molecular weight inert gases, which escape from the disc via the needle (1172,1175,1176).

There were no systematic reviews evaluating the effectiveness of nucleoplasty thus far in the literature. However, the literature was synthesized in preparation of the guidelines and limited evidence was provided (1, 2). The effectiveness of nucleoplasty has been reported in 6 prospective (1174,1175,1177-1180) evaluations.

Sharps and Isaac (1175) evaluated 49 patients with low back pain with or without radicular pain. The study excluded patients due to sequestered herniation, contained herniation larger than one-third of the sagittal diameter of the spinal canal or stenosis. The study evaluated pain on visual analog scale at 1 month, 3 months, 6 months and 1 year. Success was defined as a 2-point reduction on the VAS, patient satisfaction, no use of narcotics, and return to work. Results showed significant improvement in visual analog scale at 1-month, 3 months, 6 months and 12 months however, follow-up of the reported patients declined to 41 at 3 months, 24 at 6 months, and 13 at 12 months.

Among 3 studies published by Singh et al (1174,1177,1178), initially they (1174) published a prospective study of 67 patients with back and leg pain, with 80% of the reporting statistically significant improvement in numeric pain scores at 1-year. Singh et al (1178) also published results of 80 patients with back and leg pain followed for 1-year with similar findings as in first study (1174). Singh et al (1177) evaluated 47 patients presenting with predominantly back pain and undergoing treatment with nucleoplasty procedure using Coblation technology. The proportion of patients who reported >50% pain relief was 80%, 74%, 63%, and 53% at the 1, 3, 6, and 12-month follow-up time periods, respectively. Functional improvements were also reported by 46% of patients for sitting ability, 41% for standing ability, and 49% for walking ability at 12 months.

Marin (1179) published results of 64 patients with contained disc herniation undergoing radiofrequency coblation to treat low back pain and/or leg pain. The average duration of pain before treatment with coblation was 18 months. The results demonstrated improvement in pain scores in 75% as very good and in 5% as good at the 6- to 12-month follow-up. Fifteen percent of the patients showed some improvement however it was not good, and 5% of the patients showed no improvement at all.

Gerszten et al (1180) in a prospective, non-randomized, longitudinal cohort study evaluated 67 patients with primarily radicular pain due to contained disc herniation. They measured quality of life outcomes at 3 months and 6 months. The 34 patients in whom a 3-month follow-up was available, VAS scores were significantly improved. However, at 6 months following nucleoplasty, there was no longer a difference in VAS pain score in 23 patients compared with preoperative pain levels. Table 22 illustrates results of published trials meeting inclusion criteria.

6.6.3.1 Complications

The side effects and complications after percutaneous disc decompression using coblation technology have been reported (1181). From a total of 53 patients, the most common side effects at 24 hours post procedure was soreness at the needle insertion site (76%), new numbness and tingling (26%), increased intensity

of preprocedure back pain (15%) and new areas of back pain (15%). However, all the other complications reported with electrothermal therapy are also potential complications with nucleoplasty.

Table 22 illustrates the results of published evaluations of nucleoplasty. All of them were prospective evaluations with no randomized trials. Further, all of them had patients less than 100 in the study. However, 5 of 6 showed positive results.

6.6.3.2 Cost Effectiveness

Cost effectiveness of percutaneous disc decompression with coblation nucleoplasty has not been evaluated.

6.6.3.3 Evidence

The evidence of nucleoplasty is limited for short and long-term relief.

6.6.4 Mechanical High RPM Device

The DeKompressor probe is a mechanical high rotation per minute device designed to extract the nuclear material through an introducer cannula using an auger like device that rotates at high speeds.

DeKompressor is one of the new methods that extract the nuclear material of the disc using a high RPM spiral tip instrument.

There have been no systematic evaluations of percutaneous disc decompression utilizing DeKompressor. There also have not been any guidelines describing this technology.

Table 22. Results of published evaluations of nucleoplasty

Study	Study Characteristics	Methodological Quality Score AHRQ Score	No. of patients	Initial relief	Long-term relief		Results	
				<6 mos	6 mos	1 year	Short-term relief <6 mos	Long-term relief ≥6 mos
Sharps and Isaac (1175)	P	5/8	49	SI	SI	SI	P	P
Singh et al (1174)	P	5/8	67	79%	59%	56%	P	P
Singh et al (1177)	P	5/8	80	73%	60%	54%	P	P
Singh et al (1178)	P	5/8	80	79%	76%	77%	P	P
Marin (1179)	P	5/8	64	80%	80%	80%	P	P
Gerszten et al (1180)	P	5/8	67	50%	NSD	NSD	N	N

P = prospective; SI = significant improvement; NSD = No significant difference; P = positive; N = negative

Relevant literature included 2 publications from 1 prospective clinical trial (1182,1183) and 1 case series report (1184). Both reports showed positive results.

Alo et al (1182,1183) published the findings on the outcome of disc herniations treated with the DeKompressor in 2 publications from 1 study. Clinical response in an initial cohort of 50 consecutive patients with chronic radicular pain, was evaluated in a randomized prospective clinical trial. Data was collected on the 6-month outcomes. Their inclusion criteria were radicular pain with contained herniation ≤ 6 mm., correlating history and physical findings, pain for >6 months, failure of conservative therapies, good to excellent short term relief (<2 weeks) after a fluoroscopically guided transforaminal injection, confirmatory selective segmental spinal nerve block with 0.5 – 1.5 cc of anesthetic providing $>80\%$ relief lasting at least the duration of the local anesthetic and preservation of disc height (less than 50% loss). They excluded patients with progressive neurological deficits, more than 2 symptomatic levels, previous open surgery at the proposed treatment level, spine instability, fracture or tumor; pain drawing inconsistent with clinical diagnosis and significant coexisting medical or psychological condition. They assessed the outcome using VAS score, analgesic usage; self reported functional improvement and overall satisfaction. It may have been more objective had they utilized some form of functional improvement measure. After 6 months, 74% patients reported reducing their analgesic intake, 90% reported improvement in functional status and overall satisfaction with the therapy was 80%. After 1-year follow-up, the data was published on 42 patients (54 levels). They noted an average reduction in pre-operative pain score (VAS) of 65%. Also noted was a reduction in the analgesic intake in 79% and functional improvement in 91% of patients.

Amoretti et al (1184) published results of a clinical follow-up of 50 patients treated by percutaneous lumbar discectomy using the DeKompressor. Although the study is not a blinded and randomized study, the data collection was thought to be good. The inclusion and exclusion criteria were clearly defined. They included patients with "lumbar sciatica of disco-lumbar origin" secondary to a herniated disc documented by an MRI. Patients had undergone medical therapies such as "CT-guided infiltration" which one assumes to be a corticosteroid injection. There was no change in disc height and the discs possessed satisfactory hydration as documented by a T2

signal on MRI. They excluded patients with extruded herniations and inconsistency between MRI and clinical findings as well as other common exclusions like infection, coagulopathy. Patients were also being medically treated with morphine and anti-inflammatory drugs pre-operatively. Using a DeKompressor instrument under CT or fluoroscopic guidance they performed disc decompression on mainly L4-5 and L5-S1 discs with some L3-4 discs. They found that 11 patients did not respond satisfactorily to the treatment, but 39 patients were either able to suspend their medications (31 patients) or definitely reduce their medications (8 patients). The reduction in pain was found to be stabilized after about 7 days in most patients. Of the ones who responded favorably, 25-36 out of 50 showed $>70\%$ relief. More importantly they noted $>70\%$ improvement in 79% patients with postero-lateral hernias versus 50% of patients with postero-medial hernias.

6.6.4.1 Complications

A critical failure of the DeKompressor probe was reported. The complication (1185) happened while performing a discectomy at L4/5 level using a percutaneous DeKompressor probe on a 54 year old patient. After operating the instrument for 1 to 2 minutes, the probe was removed and it was found to be broken. The remaining 4-inch tip was removed surgically, and patient recovered without any major complication. Similar instances have been reported by two other authors in the past. One of the instances was thought to have happened because of a bent cannula which may have contributed to the break of the device.

6.6.4.2 Cost Effectiveness

There were no cost effectiveness studies of percutaneous disc decompression utilizing DeKompressor available in the literature.

6.6.4.3 Evidence

The evidence for percutaneous disc decompression utilizing DeKompressor is limited for short and long-term relief.

6.7 Vertebral Augmentation Procedures

Vertebral compression fractures (VCFs) constitute a major healthcare problem in the United States, not only because of high incidence of these lesions, but also due to their direct and indirect negative consequences for patient health-related quality of life and the costs to the healthcare system (75,76,1186-1188). Vertebral fractures may result in pain about the fracture site, loss of height caused by vertebral collapse,

spinal instability, and in many cases kyphotic deformity (76,1189). Regardless of their etiology, the mainstay of management for symptomatic vertebral compression fractures has been medical therapy that may include analgesics, bedrest, external fixation, and rehabilitation (75,1190,1191). However, such treatments are only partially effective in addressing symptoms and about one-third of patients have been reported to suffer from persistent pain and progressive functional limitation and loss of mobility (1192). The surgical treatment for vertebral compression fractures, refractory to medical therapy, that addressed deformity has been decompression and stabilization of the fractured vertebra with different kinds of metal implants (1193). However, surgical fixation often fails because of poor quality of osteoporotic bone (1194). Further, the procedures have generally been limited to cases where there is concurrent spinal instability or neurological deficit, due to the risks of open surgery in often elderly, frail patients (75,1194,1195).

Vertebroplasty and balloon kyphoplasty are 2 minimally invasive surgery approaches developed for the management of symptoms of vertebral compression fractures (76,1196-1204). Galibert et al (1196) first reported vertebroplasty in 1987 for the minimally invasive of hemangiomas, which, since then has been adapted for use in the treatment of intractable, focal, intense pain localized to a vertebral fracture. Kyphoplasty was introduced in 1998 to restore vertebral body height and help realign the spine, using an inflatable balloon to reduce the fracture before the injection of cement (1197,1198). Currently, vertebroplasty and kyphoplasty have been gaining popularity to stabilize vertebral fractures mainly caused by osteoporosis but also including malignant involvement of the spinal column, hemangioma, and vertebral osteonecrosis (75,76,1199).

6.7.1 Vertebroplasty

Vertebroplasty involves a percutaneous injection of poly methyl methacrylate (PMMA) into the fractured vertebral body.

A number of reviews of literature and commentaries have promoted the use of vertebroplasty in patients with symptomatic vertebral compression fractures from osteoporotic or neoplastic etiology (75, 76,1192,1198,1200-1210). Of these, 2 systematic reviews (75,76) of effectiveness and safety published in 2006 concluded that there is level 3 evidence to support vertebroplasty as effective therapy in the management of patients with symptomatic osteo-

porotic vertebral compression fractures refractory to conventional medical therapy (75). However, it was also concluded that there was no definite evidence to state that vertebroplasty is a safe and effective procedure due to lack of comparative, blinded, randomized clinical trials.

Relevant studies evaluating vertebroplasty included multiple systematic reviews, prospective and comparative studies, as well as case series. Taylor et al (75) identified 2 non-randomized, comparative studies (1211,1212) and 57 case series meeting the inclusion criteria. Hulme et al (76) identified 65 non-controlled, single-group cohort studies for vertebroplasty and kyphoplasty combined.

The evidence was considered short-term for less than 6 months and long-term for 6 months or longer.

Taylor et al (75) showed that 1 prospective study (1211) compared vertebroplasty with conventional medical care and another prospective study compared vertebroplasty and kyphoplasty (1212). Included patients were predominantly women over 65 years who had experienced symptomatic osteoporotic VCF, the remainder had neoplastic lesions. Even though, no difference in pain relief compared with medical therapy was reported in the 1 vertebroplasty study (1212) and improvement in the functional capacity was observed. In the study comparing balloon kyphoplasty and vertebroplasty (1211), both procedures appeared to provide a similar level of pain relief after surgery, although there was insufficient patients with follow-up in order to comment on 1-year outcome. In case series, a total of 3,029 patients with 4,861 vertebral fractures were included in 57 case series of vertebroplasty. Study sample size varied considerably (75), across the studies as did study duration with up to 55 months of follow-up available following vertebroplasty. Most patients (greater than 80%) had experienced painful VCF as the result of primary or secondary osteoporosis (75). Only a small proportion of studies were specifically conducted in patients with neoplastic lesions. Overall the quality of case series studies was poor.

Hulme et al (76) demonstrated that methodological quality of various studies was poor. None of the studies were randomized and only a few studies had an aspect of the study that was blinded (1213-1218). In addition, confounding factors, bias, and limitations were not consistently reported. There were 12 prospective, 29 retrospective and 6 unreported vertebroplasty studies showing the results

of 2,958 subjects (in 47 studies), including 1,959 females, 676 males, and 323 unreported with a mean age of 72 years, who underwent 4,456 procedures. Fifty percent of the vertebroplasty procedures were performed within the thoracolumbar regions of the spine including T11 to L2.

6.7.1.1 Effectiveness

Hulme et al (76) reported a 16% to 47% full-scale improvement in physical function after vertebroplasty in 7 studies using different variants of a 5-point mobility scale (1215,1219-1224). Hulme et al (76) reported that 49% to 90% of subjects reported ambulation improvements in 4 studies assessed by qualitative patient response (1199,1213,1214,1225). They also reported results of 3 studies that showed improvements in physical function using a validated health-related outcomes instrument (1226-1228). Vertebral height restoration data was not reported qualitatively, however, 39% of the patients (512 patients in 8 studies) following vertebroplasty intervention did not result in an appreciable restoration of height or kyphotic angle.

Taylor et al (75) reported that a total of 3,029 with 4,861 vertebral fractures were included in 57 case series of vertebroplasty. There was a significant reduction in the pooled level of pain following vertebroplasty. However, only 1 vertebroplasty study reported to use a validated outcome tool Oswestry Disability Index, but failed to report numerical outcomes (1229). Five vertebroplasty studies reported quality of life, 4 using a variety of validated health-related quality of life measures (1199,1229-1231). Of these, 3 reported significant improvement in quality of life following vertebroplasty while 1 study reported no change (1231). Taylor et al (75) also reported that 4 vertebroplasty studies (1216,1218,1232,1233) reported a significant increase in vertebral height and 3 of these studies (1218,1232,1233) reported on a reduction in kyphotic angle. Visual analog pain scores reduced from an average of 8.2 to 3.0.

6.7.1.2 Cost Effectiveness

There were no cost effectiveness studies of vertebroplasty available in the literature.

6.7.1.3 Evidence

The level of evidence for vertebroplasty is moderate.

6.7.2 Kyphoplasty

Balloon kyphoplasty is performed by injection of poly methyl methacrylate (PMMA) following insertion of a tamp (balloon) into the vertebral body in order to

compress the cancellous bone creating a cavity, and if possible realignment of the endplate of the vertebral body (75). After the removal of the bone tamp the PMMA fixes and stabilizes the fracture.

A number of reviews of literature and commentaries have promoted the use of kyphoplasty in patients with symptomatic vertebral compression fractures from osteoporotic or neoplastic etiology (75,76,1195,1198,1200-1210). Of these, 2 systematic reviews (75,76) of effectiveness and safety published in 2006 concluded that there is level 3 evidence to support balloon kyphoplasty as effective therapy in the management of patients with symptomatic osteoporotic vertebral compression fractures refractory to conventional medical therapy (75). However, it was also concluded that there was no definite evidence to state that kyphoplasty is a safe and effective procedure due to lack of comparative, blinded, randomized clinical trials.

Relevant studies evaluating kyphoplasty have been many. Taylor et al (75) identified 4 non-randomized comparative studies (1211,1233-1235) and multiple case series (1197,1201,1236-1243) that met the inclusion criteria. Of these 1 prospective study and 2 retrospective studies compared balloon kyphoplasty and conventional medical care. One prospective study compared the 2 procedures. Included patients were predominantly women over 65 years who had experienced a symptomatic osteoporotic VCF, the remainder having neoplastic lesions. In 1 study (1234) patients had experienced pain for at least 12 months, whereas, in another study (1235) patients experienced pain for an average of only 34 days. In case series a total of 641 patients with 1,070 vertebral fractures in 13 case series of balloon kyphoplasty were included. The duration of pain was infrequently reported, although rare details were available. The mean duration across balloon kyphoplasty was 7.4 months, indicating that patients were likely to be refractory to conventional medical therapy. Several balloon kyphoplasty studies assessed health-related quality of life utilizing an instrument (1197,1237,1238) with substantial overall improvements.

Hulme et al (76) included 13 prospective, 8 retrospective and 1 unreported study evaluating kyphoplasty showing the results of 1,288 subjects in 22 studies including 829 females, 403 males and 56 unreported with a mean age of 72 years who underwent 1,624 procedures. Sixty percent of kyphoplasty procedures were performed within the thoracolumbar region of

the spine including T11 to L2.

6.7.2.1 Effectiveness

A large proportion of patients had some pain relief with 92% of the population involving 447 patients in 7 studies. Visual analog pain scores reduced from an average of 7.5 to 3.4. Two studies showed change in SF-36 scores for kyphoplasty (75,76). Two kyphoplasty studies showed improvements in disability, mean Oswestry Disability Index preoperative 60% and postoperative 32% in 77 subjects (1228,1244). Hulme et al (76) also showed mean kyphotic angle restoration was 6.6 degrees in 9 studies however, not all subjects had a reduction in kyphotic angular restoration of height. A mean of 34% of kyphoplasty interventions did not result in an appreciable restoration of height or kyphotic angle. Taylor et al (75) showed that compared with medical therapy alone, balloon kyphoplasty significantly improved patients level of pain and functionality based on the studies evaluated. One study (1234) reported statistically significant improvements in both vertebral height and kyphotic angle following balloon kyphoplasty.

6.7.2.2 Cost Effectiveness

No systematic evaluation of cost effectiveness was performed for kyphoplasty.

6.7.2.3 Evidence

The level of evidence for kyphoplasty is moderate.

6.7.3 Complications

Immediate complications associated with vertebroplasty and kyphoplasty can be separated into 2 categories, procedural and cement leakage (76). Reported procedural complications include infection (1222,1245,1246), fractures of the transverse process, pedicle, sternum and ribs (1199,1212,1216,1219, 1222,1247), and respiratory distress caused by the anesthetic (1213,1248). Cement leakage occurred in 41% of vertebra during vertebroplasty and 9% of vertebra during kyphoplasty (76). The distribution of leaks was 32% and 11% epidural, 32.5% and 48% paraspinal, 30.5 and 38% intradiscal, 1.7% and 1.5% pulmonary and 3.3% and 1.5% foraminal for vertebroplasty and kyphoplasty respectively with 1,081 leakage locations reported in 30 studies and 65 leakage locations reported in 10 studies for vertebroplasty and kyphoplasty respectively. Most leaks were clinically asymptomatic (76). Clinical complications occurred for 2.6% and 1.3% of augmented vertebra and 3.9% and 2.2% of subjects for vertebroplasty and kyphoplasty respectively. Because pulmonary emboli can cause serious ramifications, asymptomatic emboli were counted as

clinical complication. Pulmonary emboli occurred in 0.6% and 0.01% of augmented vertebra for vertebroplasty and kyphoplasty, respectively, while neurologic complications occurred in 0.6% and 0.03% of vertebra (76).

Taylor et al (75) reported that rates of adverse events including pulmonary embolism, neurologic complications, and perioperative mortality were low for both procedures although poorly reported across studies. A significantly higher rate of cement leakage was reported for vertebroplasty than balloon kyphoplasty. In addition, no leaks were reported to be symptomatic with balloon kyphoplasty, while some 3% of leaks with vertebroplasty were reported to be symptomatic. The study of Fourny et al (1211), comparing balloon kyphoplasty and vertebroplasty reported no cement leakages in balloon kyphoplasty patients while 6 of 65 (9.2%) of patients treated with vertebroplasty reported asymptomatic extravasations.

The systematic review by Hulme et al (76) reported 17 vertebroplasty and 12 kyphoplasty clinical trials that reported new fractures. Of new vertebral fractures using vertebroplasty and kyphoplasty, 60% and 66%, respectively, were adjacent to the augmented vertebra.

Taylor et al (75) reported incidence of new vertebral fractures, both total and adjacent, was somewhat higher for balloon kyphoplasty than vertebroplasty, although their 95% confidence intervals overlapped.

6.8 Implantable Therapies

Spinal cord stimulation systems and implantable intrathecal devices are frequently used in managing chronic intractable pain (1,2,22,29,56,67,71,1249-1253). Significant improvements of less than one year were considered as short-term, whereas, one year or longer were considered as long-term.

6.8.1 Spinal Cord Stimulation

Spinal cord stimulation consists of implanting epidural electrodes transcutaneously and connecting them to a generator which is internalizing during a second procedure if the test stimulation is successful.

In the United States, the primary indications for spinal cord stimulation are failed back surgery syndrome and complex regional pain syndromes type I and type II (22,29,56,67,1249-1253).

Multiple systematic reviews (22,29,56,67,1250-1254), 5 randomized controlled trials (1255-1259), 6 prospective trials (1260-1265), multiple case series (1266-1283) were identified.

Three of the 5 randomized trials were included (1256,1257,1259). Two reports by Kemlar et al (1257,1258) and two studies by North et al (1255,1259) were included as one each. Raphael et al (1256) reported positive results of spinal cord stimulation in failed back surgery syndrome. Kemler et al (1257,1258) evaluated the effectiveness in complex regional pain syndrome. Taylor et al (22,1251,1252,1254) in multiple systematic reviews included analysis of spinal cord stimulation (SCS) cost and its use in the back and extremity pain, failed back surgery syndrome (FBSS), and complex regional pain syndrome (CRPS). Their reviews revealed moderate evidence to support SCS in CRPS Type I, FBSS, and chronic low back and lower extremity pain with strong evidence supporting its use in CRPS Type I and moderate evidence to support in use in the other diagnoses listed. Furthermore, they reported evidence to support the long term cost effectiveness of spinal cord stimulation compared to other standard modalities used for treatment of FBSS with a cost of \$29,123 in the SCS group and \$38,029 in the control group (1266). With CRPS, there is an estimated savings of \$60,000 over lifetime use.

Turner et al (1250) have reported on SCS for low back pain, FBSS, and CRPS in 2 large systematic reviews also evaluated which revealed similar findings in whole to Taylor's conclusions (29,1250-1253).

Cameron's 20-year literature review (1253) included studies totaling 3679 patients and showed that SCS has a "positive, symptomatic, long-term effect in cases of refractory angina pain..." as well as with PVD, peripheral neuropathic pain, and chronic low back pain. It also concluded that SCS is safe and effective for treatment of chronic neuropathic pain.

Of the 5 randomized controlled studies, 3 that met the criteria for this review, all reported positive findings. Kemler et al (1257) reported on CRPS plus physical therapy (PT) versus PT alone. North et al (1259) evaluated FBSS patients who either underwent repeat spinal surgery or SCS placement and found favorable results with SCS superior to reoperation through three years follow-up. Raphael et al (1256) also revealed positive results for the use of SCS in FBSS patients.

Among the prospective evaluations, 3 studies (1260-1262) evaluated the use of SCS in patients with low back pain. All revealed positive findings for control of back pain and/or lower extremity radicular pain. Two prospective trials (1263,1264) showed improvements in CRPS Type I pain, post-herpetic neuralgia pain and acute herpes zoster pain. Another

prospective trial (1265) demonstrated pain relief in patients with interstitial cystitis with average pain score. Change from 5.8 out of 10 to 1.6 out of 10 at a 14-month average follow-up timeframe. Of the 19 case series included, all showed favorable findings for the use of SCS in neuropathic pain (1266-1276). Three addressed the use of SCS in CRPS (1266-1268). Two reported its use in FBSS (1269,1270), 2 in chronic back pain (1271,1272) and 1 with lumbar spinal stenosis (1273). One studied its utility in refractory leg pain, one studied abdominal pain, one reported on SCS and interstitial cystitis, and one evaluated unilateral limb pain conditions. Three studies (1274-1276) revealed the results of large numbers of patients treated with SCS in a variety of neuropathic pain conditions.

6.8.1.1 Cost Effectiveness

Taylor et al (1254) performed a systematic review of the literature. They reviewed 99 abstracts and found 14 studies that met the criteria of the review. They found across a range of health care institutions that the initial healthcare acquisition costs were offset by a reduction in post-implant healthcare resource demand and costs. Costs were \$29,123 in intervention group, compared to \$38,029 in the control group for failed back surgery syndrome. In contrast, for CRPS (1254) in the lifetime analysis, spinal cord stimulation per patient was estimated to be \$60,000 cheaper than control therapy.

6.8.1.2 Evidence

The evidence for spinal cord stimulation in failed back surgery syndrome and complex regional pain syndrome is strong for short-term relief and moderate for long-term relief.

6.8.1.3 Complications

Complications with spinal cord stimulation range from infection, hematoma, nerve damage, lack of appropriate paraesthesia coverage, paralysis, nerve injury, and death (1271,1277).

6.8.2 Implantable Intrathecal Drug Administration Systems

Continuous infusion of intrathecal medication is used for control of chronic, refractory, malignant and non-malignant pain. In an exhaustive review of available literature, Bennett et al (1278) concluded that clinical efficacy in large-scale randomized controlled trials utilizing intrathecal delivery of most compounds has not been demonstrated and variations between study designs make useful comparisons of existing studies difficult. In another review, Walker et al (1279) concluded that the evidence for the safety and effec-

tiveness of combination spinal analgesic therapies is moderate in acute pain, whereas, they found limited or no evidence to support the combination analgesics in chronic pain. Turner et al (71) performed a systematic review of effectiveness and complications of programmable intrathecal opioid delivery systems for chronic non-malignant pain. They included six studies in the evidence synthesis and found improvement in pain on average among patients who received a permanent intrathecal drug delivery systems (1280-1285). All of the articles also reported some improvement in physical function. Guidelines also have been published on the drugs used in intrathecal infusion systems (1286).

The literature supporting the use of intrathecal infusion systems includes four randomized trials (1287-1290), multiple prospective trials (80-1286), and multiple retrospective evaluations (1294-1298).

Among the randomized trials (1287-1290), Siddall et al (1287) compared the effectiveness of intrathecal morphine or clonidine, alone or in combination, in the treatment of neuropathic pain after spinal cord injury. They concluded that the combination of morphine and clonidine produced significantly more pain relief than placebo 4 hours after administration. van Hilten et al (1288) evaluated the use of intrathecal baclofen for the treatment of dystonia in patients with complex regional pain syndrome, in a double-blind, randomized, controlled, crossover trial of bolus intrathecal injections of baclofen in various doses. They concluded that in some patients, the dystonia associated with reflex sympathetic dystrophy responded markedly to intrathecal baclofen. Smith et al (1289) reported significant improvement in patients treated with intrathecal infusion systems when compared to patients treated with conventional aggressive medical management in patients with malignant pain. Staats et al (1290) in a multicenter, double-blind trial, reported that a neuron-specific calcium channel blocker delivered via an implanted intrathecal pump in patients with cancer and AIDS-related pain syndromes significantly decreased pain scores in 51% of the patients compared to 18% in the placebo group at the 7-day follow-up. Thus, all the randomized trials were performed for neuropathic pain utilizing various types of drugs and short-term follow-up.

Among the prospective studies, Hassenbusch et al (1291) reported favorable results in patients with long-standing non-malignant neuropathic pain in a

study of 14 patients, 61% reported good or fair pain control with a mean follow-up duration of 2.4 years. Angel et al (1292) reported good to excellent analgesic response in 73% of 11 patients. Deer et al (1285) reported the results of the National Outcomes Registry for low back pain collected at 6- and 12-month follow-ups. They reported that in the implant group, numeric pain ratings dropped by more than 47% for back pain and more than 31% for leg pain at 12-month follow-up. They also reported improvement in Oswestry scores in 65% of the patients.

Anderson and Burchiel (1280) in 30 patients implanted with diverse diagnosis including 14 patients with failed back surgery syndrome with a mean age of 58 years showed positive outcomes. Kumar et al (1281,1283), Rainov et al (1282), and Anderson et al (1284) all showed positive outcomes.

Retrospective reports dominate the literature on intrathecal pain management (1294-1298). Among the retrospective evaluations, the reports provided significant improvement at short-term and long-term follow-up.

6.8.2.1 Cost Effectiveness

In post lumbar laminectomy syndrome, it was shown that intrathecal morphine delivery resulted in lower cumulative 60-month costs of \$16,579 per year and \$1,382 per month versus medical management at \$17,037 per year or \$1,420 per month (1299).

In another study (194), the expected total cost of intrathecal morphine over 60 months was \$82,893 (an average of \$1382 per month).

6.8.2.2 Evidence

The evidence for implantable intrathecal infusion systems is strong for short-term improvement in pain of malignancy or neuropathic pain. The evidence is moderate for long-term management of chronic pain.

6.8.2.3 Complications

The complications include post-dural puncture headache, infection, nausea, urinary retention, pruritus, catheter and pump failure, pedal edema, hormonal changes, granuloma formation, and decreased libido.

Turner et al (71) described complications derived from 10 published reports. Non-pharmacological biological complications included wound infection 12% across in 3 studies, meningitis 2% in 3 studies, and pump malposition in 17% in 2 studies. CSF leaks during catheter placement leading to postdural headache were not commonly reported. Among the 10

studies, 7 studies (1281-1284,1291,1300,1301) did not mention this complication at all, 2 (1280,1285) mentioned, it but did not provide both the number of patients assessed for this complication and the number who had the complication, and 1 (1292) reported that no patients had it. In 1 study, one of 30 patients showed drug-seeking behavior and 1 patient received an overdose of morphine and bupivacaine due to a programming error (1285). The most commonly reported drug side effects were nausea/vomiting. Other side effects mentioned in some articles in the complications review were provocation of asthma, insomnia, dry mouth, nightmares, myoclonic jerk/spasm, dizziness, loss of appetite, diarrhea, and headache (1281,1301).

Hardware complications were reported commonly on average across studies, 12% of patients with permanent systems had catheter migration or dislodgement, 19% had a catheter obstruction or occlusion, and 5% had mechanical failure of the pump or battery, not including normal battery replacement (71). Equipment revision was reported in 27% of patients and pump removal was reported in 5% of the patients (71).

There were a number of intrathecal granulomas at the tip of the intrathecal catheter some of which were large enough to cause spinal cord compression and neurologic dysfunction such as urinary incontinence and paraparesis or paraplegia (71). Traumatic syrinx, local erythema and edema in the area of the abdominal wall pocket and lower extremity edema, transverse myelitis due to catheter-tip infection, post-dural puncture headache, diplopia, cranial nerve palsy, and intracranial subdural hematoma, dissociative mental state, symptoms of withdrawal, and patient self-draining of morphine have been reported (71).

7.0 EVALUATION AND MANAGEMENT

7.1 Evaluation

Appropriate history, physical examination, and medical decision making are essential to provide appropriate documentation and patient care (1302-1307). There are numerous acceptable medical methods to evaluate a chronic spinal pain patient. These methods vary from physician to physician and textbook to textbook. The guidelines established by the Centers for Medicare and Medicaid Services (CMS) and the American Medical Association's Current Procedural Terminology (CPT) aid the physician in performing

a comprehensive and complete evaluation, and assist in complying with regulations. The CMS guidelines define 5 levels of services. The 3 crucial components of evaluation and management services are: history, physical examination, and medical decision-making. Other components include: counseling, coordination of care, nature of presenting problem, and time.

Suggested algorithm for comprehensive evaluation and management of chronic spinal pain is illustrated in Figure 1.

7.2 Medical Necessity Management

The following criteria should be considered carefully in performing interventional techniques:

1. Complete initial evaluation, including history and physical examination.
2. Physiological and functional assessment, as necessary and feasible.
3. Determination of indications and medical necessity:
 - ◆ Suspected organic problem.
 - ◆ Nonresponsiveness to less invasive modalities of treatments except in acute situations such as acute disc herniation, herpes zoster and postherpetic neuralgia, reflex sympathetic dystrophy, and intractable pain secondary to carcinoma.
 - ◆ Pain and disability of moderate-to-severe degree.
 - ◆ No evidence of contraindications such as severe spinal stenosis resulting in intraspinal obstruction, infection, or predominantly psychogenic pain.
 - ◆ Responsiveness to prior interventions with improvement in physical and functional status to justify repeat blocks or other interventions.
 - ◆ Repeating interventions only upon return of pain and deterioration in functional status.

8.0 DELIVERY OF INTERVENTIONAL TECHNOLOGY

There is no consensus among the interventional pain management specialists with regards to type, dosage, frequency, total number of injections, or other interventions. Yet significant attention in the literature seems to be focused on the complications attributed to the use of epidural steroids in the entire arena of interventional pain management. Thus, various limitations of interventional techniques, specifically neural blockade, have arisen from basically false impressions. Based on the available literature and scientific application, the most commonly used formulations of long-acting steroids, which

include methylprednisolone (Depo-Medrol), triamcinolone diacetate (Aristocort), triamcinolone acetonide (Kenalog), and betamethasone acetate and phosphate mixture (Celestone Soluspan), appear to be safe and effective (882,890,966,1308-1330). Based on the present literature, it appears that if repeated within 2 weeks, betamethasone may be the best choice in avoiding side effects; whereas, if treatment is carried out at 6-week intervals or longer, any one of the 4 formulations will be safe and effective. In recent years, there has been substantial attention focused on particulate and non-particulate steroids. Complication rates with epidural steroid injections are typically low and include paresthesia, hematoma, epidural abscess, meningitis, arachnoiditis, and inadvertent subdural or subarachnoid injection. However, in recent years, complications with persistent paraplegia, cerebral infarct, and other multiple central nervous systems sequelae have been reported. Undetected intraarterial injection or arterial trauma have been implicated as possible causes (743,1025). Tiso et al (1025) reported a case of massive cerebellar infarction after uneventful selective cervical transforaminal block, which was attributed to intraarterial injection of corticosteroid. Subsequently, they conducted studies with focus on particulate size of compound versus blood vessel dimension. They proposed a potential role for corticosteroid particulate embolus during unintended intraarterial injection as a potential mechanism. Others (900,901,950,961,966,1329,1330) have evaluated effectiveness of various types of steroids comparing to other types, along with comparing to local anesthetic. There were no significant differences in some studies (900,901,950,961) in the duration of relief whether steroids were used or not. In 1 study (966), compared dexamethasone and triamcinolone for cervical transforaminal injection with results indicating the effectiveness of dexamethasone was slightly less than that of triamcinolone but the difference was neither statistically nor clinically significant. Thus, authors of this study felt that non-particulate agent, namely dexamethasone, theoretically appears to be a valid alternative to particulate agents such as triamcinolone. Noe and Haynsworth (1330) compared Depo-Medrol to a non-depo form of betamethasone in patients with low back pain. The results showed that patients who received epidural methylprednisolone (Depo-medrol) reported significant reduction in pain ratings as well as dis-

ability scores after 4 weeks, while patients receiving betamethasone showed no significant difference in pain or disability scores. They concluded that the anti-inflammatory effect of a depo-steroid can be greater than a non-depo-steroid even at equally potent doses. Stanczak et al (1329) reviewed the results of 597 patients who received Kenalog or Celestone Soluspan as an epidural injection for treatment of low back pain from 1997 to 2002. The procedures were performed under fluoroscopy. They showed that on days 0 to 3, on day 17, and on day 14 response was better with Kenalog than Celestone. On day 14, 54% of Celestone recipients and 71% of Kenalog recipients showed improvement in low back pain and improvement in leg or buttock pain. Anwar et al (890) in a prospective, randomized, single-blind study of epidural steroid injection comparing triamcinolone with methylprednisolone acetate used a non-fluoroscopic technique with a caudal approach. Comparing 20 subjects in each group, they showed that there marked improvements in symptoms with both agents but there were no differences in terms of superiority from one agent to another.

The questions also have been raised with regards to the effectiveness of intraarticular facet joint injections with steroids and medial branch blocks with steroids (826,828,829,843,844).

Frequency and total number of injections or interventions are key issues, although controversial and rarely addressed (1-4,1331-1334). Descriptions of the frequency of various types of interventional techniques are included here. These are based on available evidence and consensus regarding the safety, clinical effectiveness, and cost effectiveness. However, they are not based on evidence synthesis methodology. Descriptions are provided only for commonly used procedures, which frequently require repeat interventions. Medicare, Medicaid and third party payers in each region and state may have rules and regulations different from these guidelines. Interventions permitted per year and per region are also variable.

8.1 Facet Joint Injections and Medial Branch Blocks

- ◆ In the diagnostic phase, a patient may receive 2 procedures at intervals of no sooner than 1 week or preferably 2 weeks.
- ◆ In the therapeutic phase (after the diagnostic phase is completed), the suggested frequency

would be 2–3 months or longer between injections, provided that > 50% relief is obtained for 6 weeks.

- ◆ If the interventional procedures are applied for different regions, they may be performed at intervals of no sooner than 1 week or preferably 2 weeks for most types of procedures. It is suggested that therapeutic frequency remain at 2 months for each region. It is further suggested that all regions be treated at the same time, provided all procedures can be performed safely.
- ◆ In the treatment or therapeutic phase, the inter-

ventional procedures should be repeated only as necessary according to the medical necessity criteria, and it is suggested that these be limited to a maximum of 4-6 times for local anesthetic and steroid blocks over a period of 1 year, per region.

- ◆ Under unusual circumstances with a re-current injury or cervicogenic headache, procedures may be repeated at intervals of 6 weeks after stabilization in the treatment phase.

8.2 Medial Branch Neurotomy

- ◆ The suggested frequency would be 3 months or

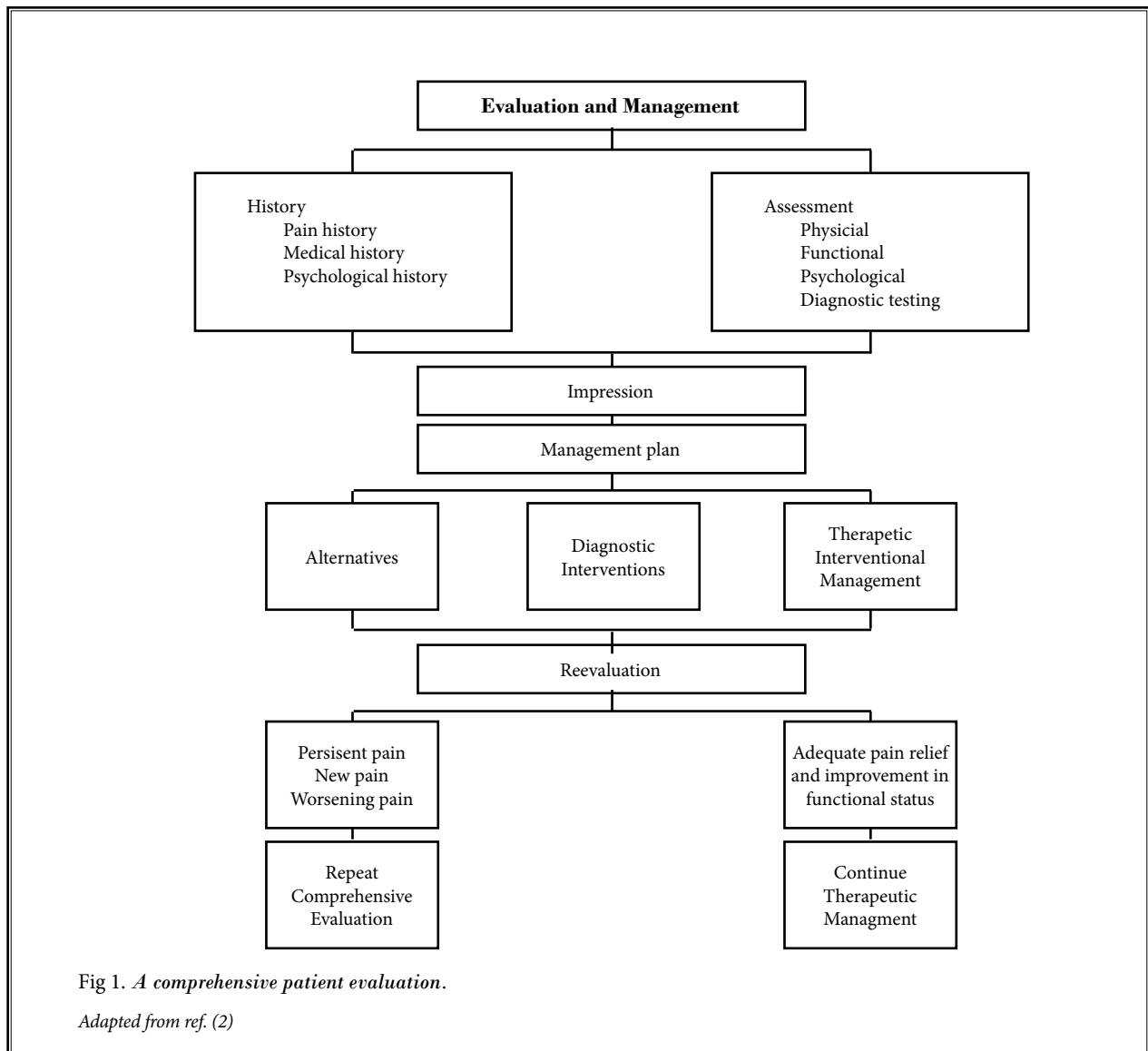


Fig 1. A comprehensive patient evaluation.

Adapted from ref. (2)

longer (maximum of 3 times per year) between each procedure, provided that > 50% relief is obtained for 10 to 12 weeks.

- ◆ The therapeutic frequency for medial branch neurotomy should remain at intervals of at least 3 months for each region. It is further suggested that all regions be treated at the same time, provided all procedures are performed safely.

8.3 Epidural Injections

- ◆ Epidural injections include caudal, interlaminar, and transforaminal.
- ◆ In the diagnostic phase, a patient may receive two procedures at intervals of no sooner than 1 week or preferably 2 weeks except in cancer pain or when a continuous administration of local anesthetic is employed for reflex sympathetic dystrophy.
- ◆ In the therapeutic phase (after the diagnostic phase is completed), the suggested frequency of interventional techniques should be 2 months or longer between each injection, provided that >50% relief is obtained for 6 to 8 weeks.
- ◆ If the neural blockade is applied for different regions, they may be performed at intervals of no sooner than 1 week and preferably 2 weeks for most type of procedures. The therapeutic frequency may remain at intervals of at least 2 months for each region. It is further suggested that all regions be treated at the same time, provided all procedures can be performed safely.
- ◆ In the treatment or therapeutic phase, the interventional procedures should be repeated only as necessary according to medical necessity criteria, and it is suggested that these be limited to a maximum of 4–6 times per year.
- ◆ Under unusual circumstances with a recurrent injury, carcinoma, or reflex sympathetic dystrophy, blocks may be repeated at intervals of 6 weeks after diagnosis/stabilization in the treatment phase.

8.4 Percutaneous Adhesiolysis

- ◆ The number of procedures are preferably limited to:
 - With a 3-day protocol, 2 interventions per year,
 - With a 1-day protocol, 4 interventions per year.

8.5 Spinal Endoscopic Adhesiolysis

- ◆ The procedures are preferably limited to a maximum of 2 per year provided the relief was > 50% for > 4 months.

8.6 Sacroiliac Joint Injections

- ◆ In the diagnostic phase, a patient may receive two procedures at intervals of no sooner than 1 week or preferably 2 weeks.
- ◆ In the therapeutic phase (after the diagnostic phase is completed), the suggested frequency would be 2 months or longer between injections, provided that > 50% relief is obtained for 6 weeks.
- ◆ If the procedures are done for different joints, they be performed at intervals of no sooner than 1 week or preferably 2 weeks. It is suggested that therapeutic frequency remain at 2 months for each joint. It is further suggested that both joints be treated at the same time, provided the injections can be performed safely.
- ◆ In the treatment or therapeutic phase, the interventional procedures should be repeated only as necessary according to the medical necessity criteria, and it is suggested that they be limited to a maximum of 4–6 times for local anesthetic and steroid blocks over a period of 1 year, per region.
- ◆ Under unusual circumstances with a recurrent injury, procedures may be repeated at intervals of 6 weeks after stabilization in the treatment phase.

8.7 Sacroiliac Joint Radiofrequency Neurotomy

- ◆ The suggested frequency is 3 months or longer between each procedure (maximum of 3 times per year), provided that > 50% relief is obtained for 10 to 12 weeks.
- ◆ The therapeutic frequency for neurotomy should remain at intervals of at least 3 months for each region. It is further suggested that all regions be treated at the same time, provided all procedures are performed safely.

9.0 AN ALGORITHMIC APPROACH

In the changing paradigm of modern medicine, with its major focus on evidence-based medicine, interventional pain physicians may benefit from the practice of evidence-based interventional pain management. An algorithmic approach, if developed properly, may assist the physician in the clinical practice of interventional pain management.

An algorithmic approach was developed, based on the structural basis of spinal pain, and incorporated acceptable evidence of diagnostic and therapeutic interventional techniques available in managing chronic spinal pain. Consensus was utilized in the absence of specific evidence. Figure 2 describes a proposed algorithmic approach for the diagnosis of chronic low back pain and Figure 3 describes an algorithmic approach to management of chronic low back pain. Figure 4 describes a proposed algorithmic approach for diagnosis and management of chronic neck pain.

10. CONCLUSION

American Society of Interventional Pain Physicians has developed and updated evidence-based practice guidelines for interventional techniques in the man-

agement of chronic spinal pain, as an ongoing process utilizing the best available clinical evidence from systematic research. A policy committee with broad representation, consisting of academic and clinical practitioners recognized as experts in one or more interventional techniques under consideration and representing a variety of practices and geographic areas, assisted in preparation of these guidelines. All types of relevant and published evidence and consensus were utilized. These guidelines are a comprehensive review of interventional techniques for managing chronic spinal pain. It is hoped that these guidelines will assist both physicians and patients in making appropriate health care decisions for the diagnosis and treatment of chronic spinal pain.

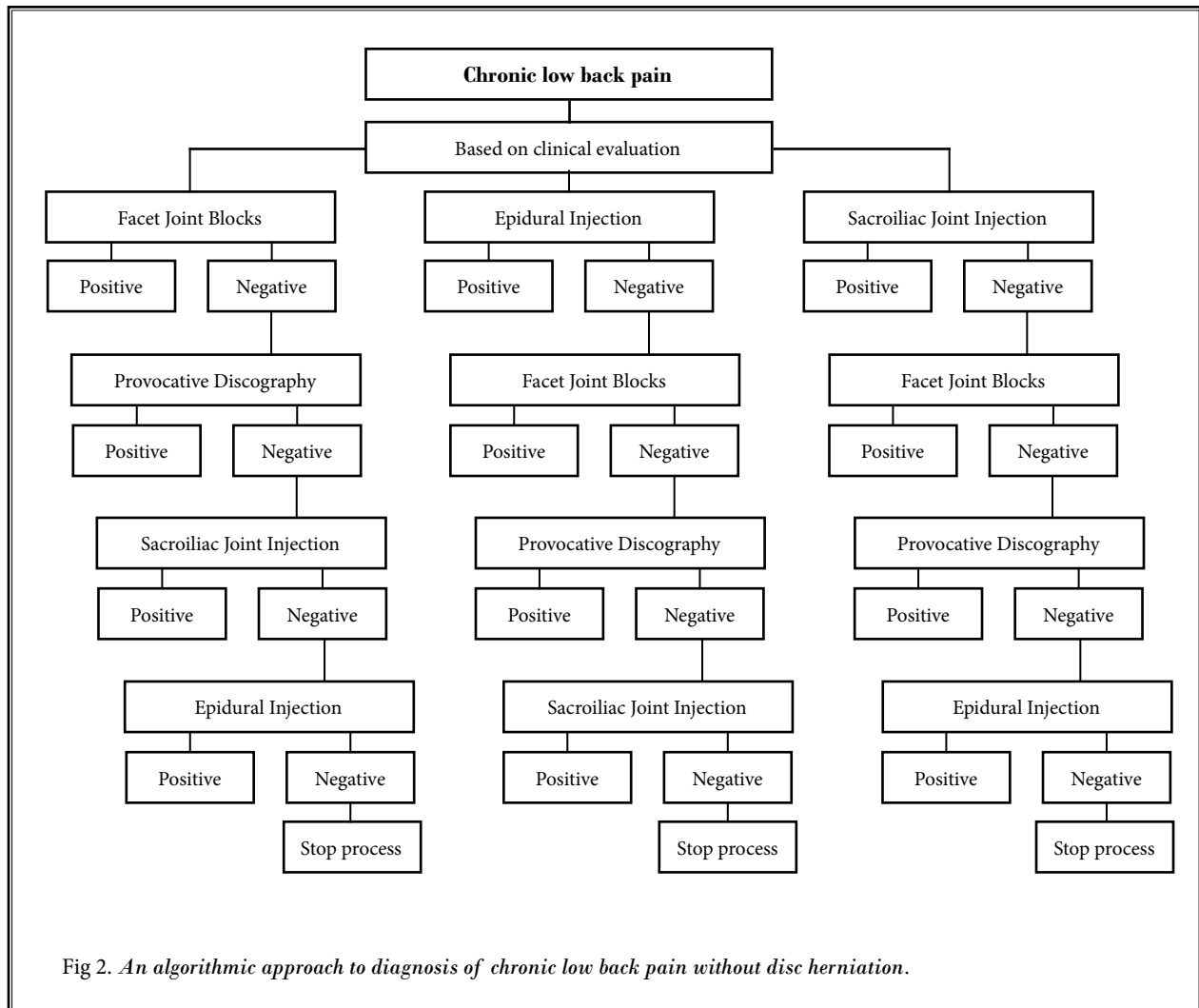
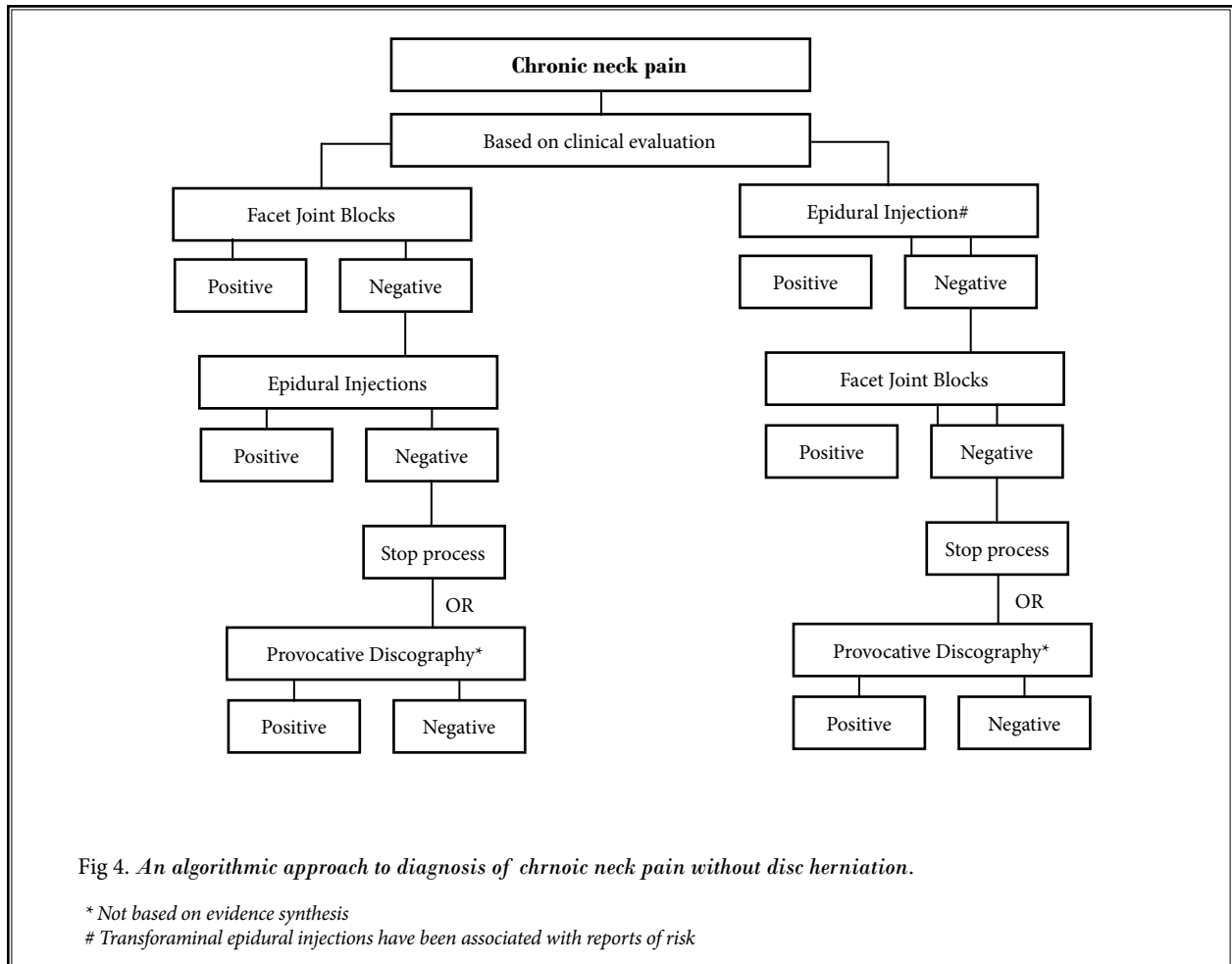
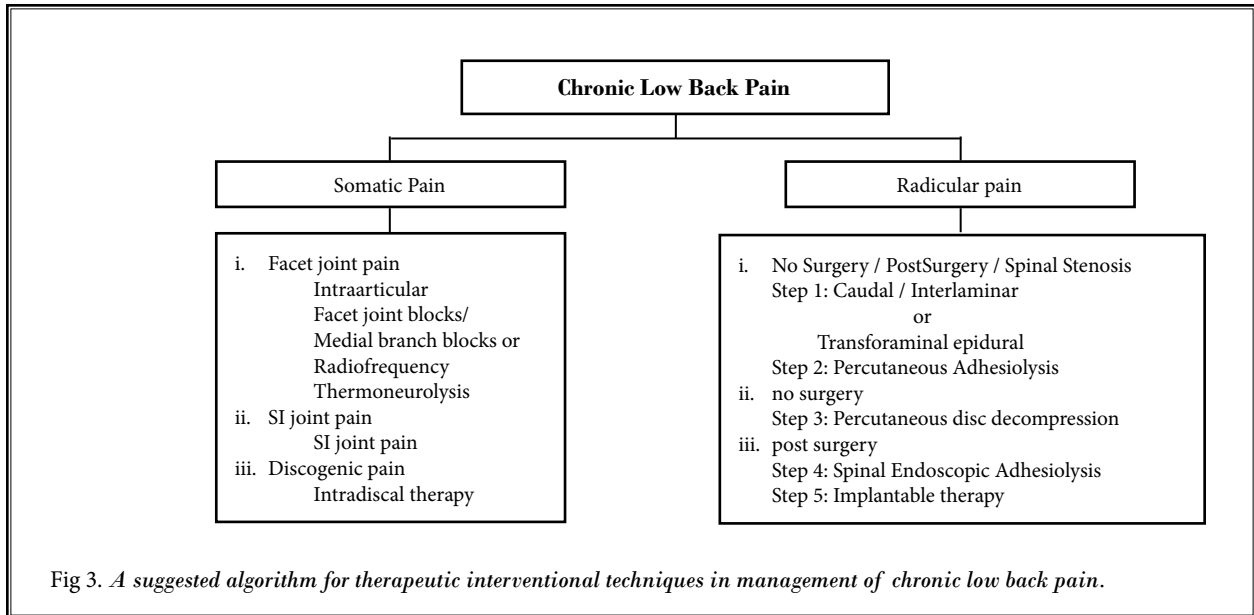


Fig 2. An algorithmic approach to diagnosis of chronic low back pain without disc herniation.



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